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1 **Origin of modern syphilis and emergence of a pandemic *Treponema pallidum* cluster**

2
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35 **Abstract:** Syphilis swept across the world in the 16th century as one of most prominent documented
36 pandemics and is re-emerging worldwide despite the availability of effective antibiotics. Little is known
37 about the genetic patterns in current infections or the evolutionary origins of the disease due to the
38 non-cultivable and clonal nature of the causative bacterium *Treponema pallidum* subsp. *pallidum*. In this
39 study, we used DNA capture and next generation sequencing to obtain whole genome data from syphilis
40 patient specimens and from treponemes propagated in the lab. Phylogenetic analyses indicate that the
41 syphilis strains examined share a common ancestor posterior to the 15th century. Moreover, most
42 contemporary strains are azithromycin resistant, and form part of a global dominant cluster that began
43 diversifying from a common ancestor only in the mid-20th century. This cluster has the population
44 genetic and epidemiological features indicative of the emergence of a pandemic lineage.

45 **One Sentence Summary:** Direct genomic sequencing of treponemal DNA from syphilis patients and
46 from laboratory strains reveals a post-Columbian ancestor for all syphilis samples, and the
47 contemporary prevalence of globally successful lineage.

48 **Main Text:**

49 The abrupt onslaught of the syphilis pandemic starting in the late 15th century consolidated this
50 devastating infectious disease as one of the most feared in human history. The first reported outbreaks
51 in Europe were during the War of Naples in 1495 (1). Subsequently the epidemic spread to other
52 continents turning into a severe health burden until the discovery of penicillin in the 20th century. This
53 bacterial infection causes system damage to the body through the dissemination of the agent
54 *Treponema pallidum* subsp. *pallidum* (TPA). Surprisingly, the last few decades have witnessed a dramatic
55 global re-emergence, with annual incidence reaching 10.6 million (2). This resurgence despite the
56 availability of antibiotics is striking in high-income western nations such as Switzerland, the UK, and the
57 USA (3, 4). Furthermore, while resistance to penicillin has not been identified, there has been an
58 increase in strains not responding to the second line antibiotic azithromycin (4).

59 The epidemiological characteristics of this re-emergence are poorly understood, particularly the
60 underlying patterns of genetic diversity. Obtaining genetic data for TPA is hindered by the low quantities
61 of endogenous DNA in clinical samples, and inability to cultivate the pathogen (5). Consequently, much
62 of our molecular understanding comes from propagating strains in laboratory animals to obtain
63 sufficient DNA. The few published whole genomes were obtained after amplification through rabbit
64 passage (6–8), and represent limited diversity for phylogenetic analyses. These sequences indicate that
65 the TPA genome of 1.14 Mb is genetically monomorphic. The genetic diversity, while low, remains
66 unexplored because clinical samples are mostly typed by PCR amplification of only 1-5 loci from roughly
67 1000 genes in the genome (9, 10). These genetic studies are mainly epidemiological and driven by the
68 inability of serologic or microscopic tests to distinguish among TPA strains or among the subspecies
69 *Treponema pallidum* subsp. *pertenue* (TPE) and *Treponema pallidum* subsp. *endemicum* (TEN), which
70 cause the diseases yaws and bejel, respectively. All three diseases are transmitted through skin contact
71 and show an overlap in their clinical manifestations. While syphilis is geographically widespread and
72 generally transmitted sexually, yaws and bejel are mainly found endemically in hot climates and
73 primarily transmitted between children by incidental skin contact (11). The precise relationships among
74 the bacteria are still debated, particularly the evolutionary origin of syphilis.

75 The paucity of molecular studies and the focus on epidemiological typing of a few genes means that we
76 are often in the dark as to the evolution and spread of epidemic TPA across the globe. To assess
77 genomic variation in syphilis infections, we utilized DNA capture techniques (12) to enrich for
78 treponemal DNA before high throughput sequencing. In total, we obtained 70 samples from 13
79 countries, including 52 syphilis swabs collected directly from patients between 2012 and 2013, and 18
80 syphilis, yaws, and bejel samples collected from 1912 onwards and propagated in laboratory rabbits
81 (Table S1). By examining whole genome variation and reconstructing phylogenies, our results shed light
82 on the evolutionary history of TPA and identify epidemiologically relevant haplotypes.

83 ***The distinct evolutionary histories of treponemal lineages***

84 Due to the large background of host DNA, extracts from all 70 samples were turned into Illumina
85 sequencing libraries and enriched genome-wide using DNA array hybridization capture prior to
86 sequencing (12). The obtained 483,450 to 100,414,614 reads were mapped to the TPA reference
87 genome (Nichols, NC_021490; Table S2). Genomic coverage ranged from 0.13-fold to over 1000-fold,
88 with the highest genome coverage in laboratory strains propagated in rabbits, and highest variation in
89 the samples collected from patients (0.13-fold to 223-fold) (Table S3 and Supplementary materials). To
90 ensure robust inferences in genome-wide analyses, we incorporated the 28 samples where at least 80%
91 of the genome was covered by a minimum of three reads (supplementary text). We investigated
92 structural variation by using the sequencing reads of the four highest covered syphilis swab samples
93 (NE17, NE20, CZ27, AU15) and one Indonesian yaws isolate (IND1) for a *de novo* genome assembly. Gaps
94 were expected for the 8% of the genome containing repetitive regions and related genes such as the *tpr*
95 subfamilies or the ribosomal RNA operons. We found no significant structural changes in the five
96 genomes (Fig.1A), except for the deletion in IND1 of the virulence-factor encoding candidate gene
97 TP1030. The deletion was shared across all the yaws infection isolates (supplementary text), consistent
98 with other studies (13).

99 Prior to phylogenetic reconstruction, we checked for signatures of non-vertical descent. While *T.*
100 *pallidum* is considered to be a clonal species (14), previous studies suggest recombinant genes in a
101 Mexican syphilis and a Bosnian bejel isolate (8, 15). We examined each of the 978 annotated genes
102 across a total of 39 genomes, adding to our 28 sequenced genomes the 11 publicly available genomes
103 from laboratory strains (Table S2). Genes were considered putative recombinants if they satisfied 3
104 conditions: i) had twice the expected SNP density as compared to the average distribution, ii) produced
105 gene tree topologies incongruent with that of the genome-wide tree, and iii) had four or more
106 homoplasies in at least 2 branches. This procedure identified 4 genes coding for outer membrane
107 protein genes (Table S6) two of which (TP0136 and TP0326) are used in typing studies (6).

108 ***The independent history and origin of TPA***

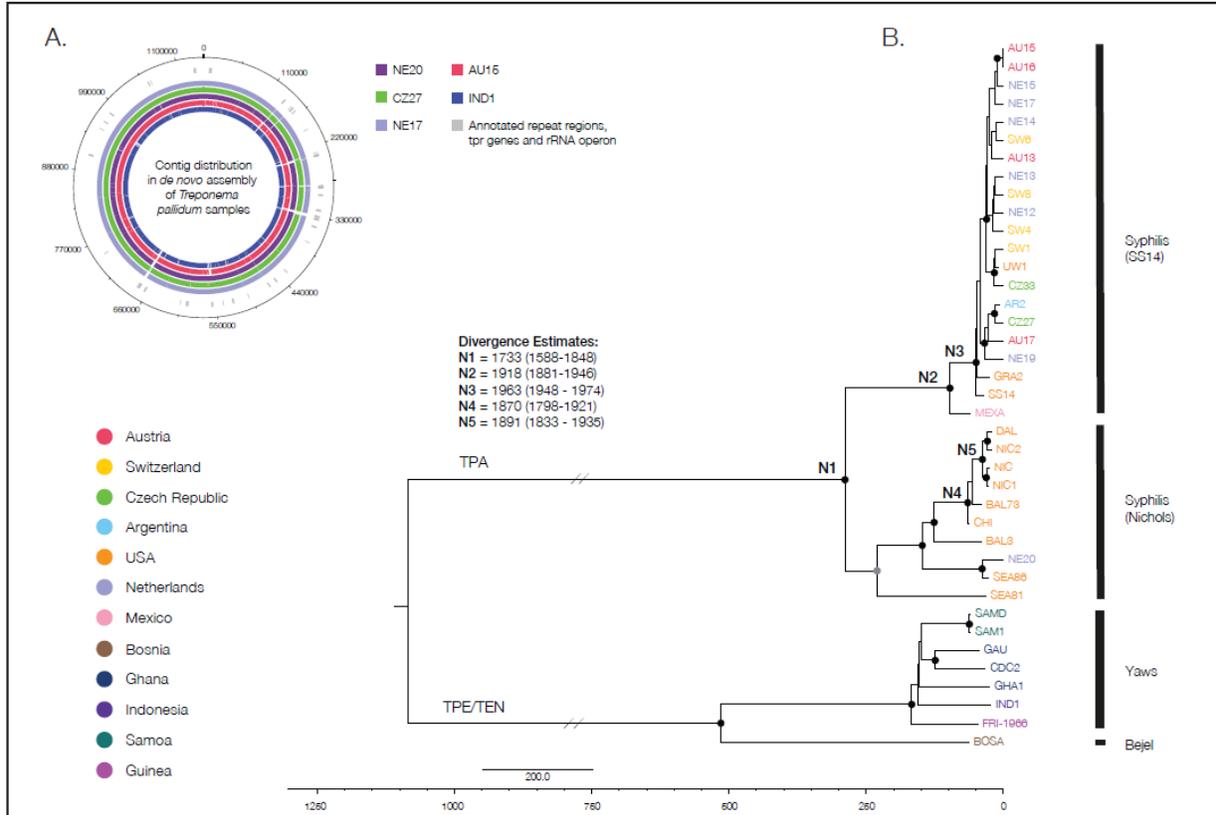
109 After removing the 4 putative recombinant genes from the genome alignment of all 39 samples, we
110 reconstructed the phylogeny using the Bayesian framework implemented in BEAST (16). As illustrated in
111 Fig. 1B, the phylogenetic tree revealed a marked separation of TPA from TPE/TEN, with TPA forming a
112 monophyletic lineage. The distinction of the two lineages was robust even with the inclusion of the
113 putative recombinant genes in the tree inference (Fig. S2). Analyses of divergence between the two
114 lineages yielded an average mean distance of 1225 mutations. By contrast, within each of the lineages

115 we found at least 5 times less divergence (124.6 average pairwise mutations within the TPA lineage and
116 200.2 within TPE/TEN). These results highlight the perils of relying on a limited set of markers for
117 taxonomic classification or typing schemes, which may yield spurious groupings between TPA and TPE as
118 observed for the TP0548 typing gene (Fig. S2).

119 Using the isolation dates for the TPA samples as tip calibration and applying the Birth Death Serial
120 Skyline model (17), we obtained a scaled mean evolutionary rate of 6.6×10^{-7} substitutions per site per
121 year for the whole genome, in line with estimates for other clonal human pathogens such as *Shigella*
122 *sonnei* (6.0×10^{-7}) and *Vibrio cholerae* (01 lineages; 8.0×10^{-7}) (18, 19).

123 Our divergence analyses for TPA samples provide a time to the most recent common ancestor (TMRCA)
124 less than 500 years ago (mean calendar year 1733, 95% HPD 1588-1848; Fig. 1B), no earlier than the
125 syphilis pandemic starting in the late 15th century. While our analyses do not exclude the possibility that
126 older TPA strains existed in Europe prior to the pandemic we detect no evidence for it. Importantly, a
127 lasting genetic signature was left by a syphilis ancestor that existed during the Renaissance pandemic.

128 **Figure 1. De novo genome assemblies and phylogenetic reconstruction.** A) *De novo* genome assembly for four syphilis patient
 129 and one yaws sample, with color coded geographic origin (inset legend). Blank spaces correspond to gaps, overlapping with gene
 130 regions that are difficult to assemble from short reads such as the *tpr* subfamilies and rRNA operons (regions shown in the
 131 outermost ring in gray). B) BEAST tree for the 39 genomes (after excluding putatively recombinant genes), with black circles
 132 indicating nodes with $\geq 99\%$ posterior probabilities (PP); gray circle denotes 82% PP. Divergence date estimates (median and
 133 95% highest posterior density) for major well-supported TPA nodes are given in the legend. What is the axes?



134 **Rapid spread of a contemporary epidemic cluster**

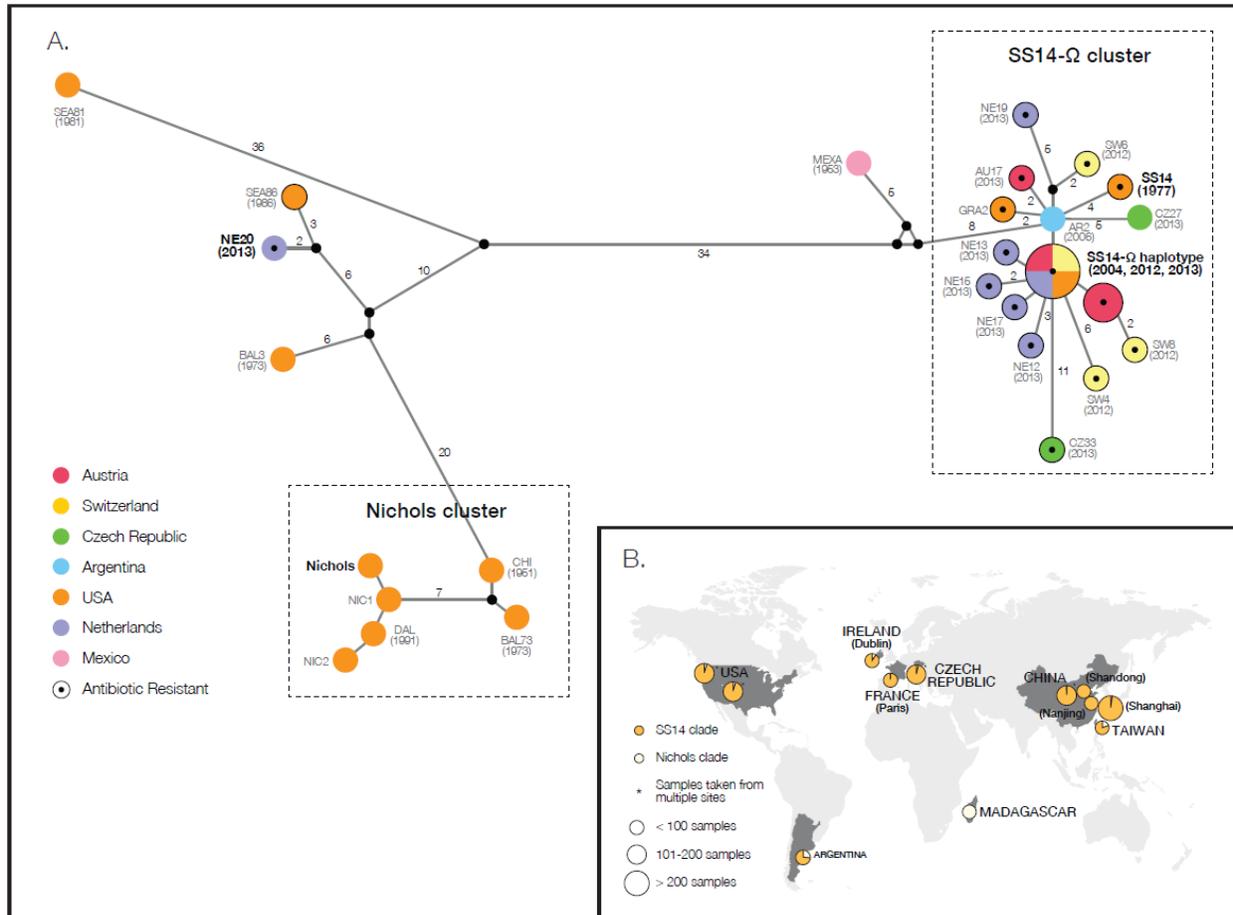
135 A median-joining (MJ) network for the 31 TPA samples highlights the mutational differences between
 136 the Nichols and the SS14 clades, named after reference genomes, and distinguishes several clusters (Fig.
 137 2A, Fig. 1B). The Nichols clade consists almost exclusively of samples collected from patients in North
 138 America from 1912 to 1986, passaged in rabbits prior to sequencing, with the exception of one patient
 139 sample from 2013. In contrast, the SS14 clade has a more global distribution, encompassing European,
 140 North American and South American samples collected from infections between 1951 and 2013.
 141 Strikingly, the SS14 clade contains a dominant central haplotype (labelled as SS14-Ω in Fig. 2A) from
 142 which the other sequences radiate. The cluster associated with the SS14-Ω haplotype contains all but
 143 one of the recent patient samples from 2012-2013 (n=17/18) that were captured and sequenced
 144 directly, in addition to three samples from 1977 (n=1) and 2004 (n=2). Our dating analyses point to an
 145 origin for the SS14-Ω cluster in the second half of the 20th century (median calendar year 1963, 95%
 146 HPD 1948-1974), at a time when incidence was reduced due to the introduction of antibiotics.

147 To check whether the prevalence of SS14 clade sequences applies to countries not represented by our
 148 data, we examined sequences for the widely typed TP0548 hypervariable gene region in epidemiological

149 typing studies (9). This gene distinguishes the SS14 from the Nichols clade among TPA samples
150 (Supplementary Section 7; Fig. S5?). Across 970 publicly available global sequences (Supplementary
151 Section 7) we found that 93.5% of them fell into the SS14 clade, consistent with our findings on the
152 recent spread of an epidemic cluster. The wide geographical presence of the SS14 clade establishes it as
153 representative of the present worldwide epidemic. While studies to date have focused on the Nichols
154 strain (20, 21), our results indicate that further focus on the SS14 clade is warranted.

155 Typing of samples collected across several different years in the Czech Republic, San Francisco, British
156 Columbia and Seattle indicate that macrolide antibiotic resistance has increased over time (4, 10, 22–
157 24). We queried the presence of the two mutations (A2058G and A2059G) in the 23S rRNA genes
158 associated with azithromycin resistance (4, 25). As observed in the MJ network, the resistance marker is
159 a dominant characteristic of the SS14- Ω cluster (Fig 2B), although it is also found in the recent Dutch
160 sample of the Nichols clade. Extending our analyses of the 23S rRNA gene to all sequenced samples from
161 our study, including those with low coverage, revealed the mutations in 90% of the SS14 and 25% of the
162 Nichols samples, indicating that neither resistance nor sensitivity is clade-specific. Hence resistance was
163 not an ancestral characteristic of the SS14 clade. A likely explanation is the extensive usage of
164 azithromycin to treat syphilis and a wide range of bacterial infections, including co-infections with other
165 sexually-transmitted diseases, which can play an important role in the selection and subsequent spread
166 of resistance (26).

167 **Figure 2. Median-joining (MJ) network analysis and geographic distribution of the SS14 and Nichols clades.** A) Median-
 168 joining network for genome-wide variable positions, excluding sites with missing data (n=628). Circles represent haplotypes,
 169 with geographical origin color-coded. Number of mutations, when above one, is given on the branches. Inferred haplotypes
 170 (median vectors) are shown as black connecting circles. Central black circles within haplotypes indicate azithromycin resistance
 171 marker. B) Relative frequencies of SS14 clade versus Nichols clade isolates typed across global studies is shown in pie charts,
 172 with sizes proportional to sample size.



173
 174 **Conclusions**

175 We examined the genomic diversity of *T. pallidum* subsp. *pallidum* (TPA) from syphilis samples isolated
 176 during the 20th and 21st centuries. The results include the first reported whole genome sequences
 177 successfully obtained directly from syphilis patients. Our analyses indicate that all TPA samples
 178 examined to date share a common ancestor that was infecting populations within the early centuries of
 179 the modern era. The present work does not necessarily resolve the question whether ancestral TPA
 180 originated in the Americas or Europe. However, our results suggest that the events posterior to the
 181 colonization of the Americas provided the context for the spread of an STD lineage that acquired
 182 pandemic proportions. Furthermore, our analyses have identified a present-day epidemic cluster (SS14-
 183 Ω) that displays the population genetic and epidemiological features of an emergent pandemic.
 184

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243

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