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Abstract: Mice with a functional human immune system have the potential to allow in vivo studies of human infectious diseases and to enable vaccine testing. To this end, mice need to fully support the development of human immune cells, allow infection with human pathogens, and be capable of mounting effective human immune responses. A major limitation of humanized mice is the poor development and function of human myeloid cells and the absence of human immune responses at mucosal surfaces, such as the lung. To overcome this, we generated human IL-3/GM-CSF knock-in (hIL-3/GM-CSF KI) mice. These mice faithfully expressed human GM-CSF and IL-3 and developed pulmonary alveolar proteinosis because of elimination of mouse GM-CSF. We demonstrate that hIL-3/GM-CSF KI mice engrafted with human CD34(+) hematopoietic cells had improved human myeloid cell reconstitution in the lung. In particular, hIL-3/GM-CSF KI mice supported the development of human alveolar macrophages that partially rescued the pulmonary alveolar proteinosis syndrome. Moreover, human alveolar macrophages mounted correlates of a human innate immune response against influenza virus. The hIL-3/GM-CSF KI mice represent a unique mouse model that permits the study of human mucosal immune responses to lung pathogens.

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Human IL-3/GM-CSF knock-in mice support human alveolar macrophage development and human immune responses in the lung

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Mice with a functional human immune system have the potential to allow in vivo studies of human infectious diseases and to enable vaccine testing. To this end, mice need to fully support the development of human immune cells, allow infection with human pathogens, and be capable of mounting effective human immune responses. A major limitation of humanized mice is the poor development and function of human myeloid cells and the absence of human immune responses at mucosal surfaces, such as the lung. To overcome this, we generated human IL-3/GM-CSF knock-in (hIL-3/GM-CSF KI) mice. These mice faithfully expressed human GM-CSF and IL-3 and developed pulmonary alveolar proteinosis because of elimination of mouse GM-CSF. We demonstrate that hIL-3/GM-CSF KI mice engrafted with human CD34⁺ hematopoietic cells had improved human myeloid cell reconstitution in the lung. In particular, hIL-3/GM-CSF KI mice supported the development of human alveolar macrophages that partially rescued the pulmonary alveolar proteinosis syndrome. Moreover, human alveolar macrophages mounted correlates of a human innate immune response against influenza virus. The hIL-3/GM-CSF KI mice represent a unique mouse model that permits the study of human mucosal immune responses to lung pathogens.

Results

Validation of hIL-3/GM-CSF KI Mice. The genes encoding GM-CSF (CSF2) and IL-3 (IL3) are closely linked (<10 kb) on chromosomes 5 and 11 in humans and mice, respectively. This closeness allowed us to replace the mouse with the human loci for both mouse cells to confer competitive advantage to human cells; (iii) human cytokine is not exclusively produced by hematopoietic (transplanted) cells; and (iv) lack of mouse cytokine is not lethal to mouse host or human KI cytokine is sufficiently cross-reactive to rescue the mouse knockout (KO) phenotype. The KI strategy should allow faithful expression in appropriate organs and at physiologic concentrations. Importantly, in homozygous KI mice, human cognate receptor-expressing cells should gain a competitive advantage over the respective mouse counterparts. IL-3 and GM-CSF are two cytokines crucial for myeloid cell development and function. Neither cytokine is cross-reactive between human and mouse (2). IL-3 stimulates early hematopoietic progenitors in vitro, but is dispensable for steady-state hematopoiesis in vivo (12). Similar to IL-3, GM-CSF is largely dispensable for steady-state hematopoiesis (13–15) and the same applies to mice lacking both cytokines (16, 17). In contrast, GM-CSF is required for inflammatory responses (such as the production of proinflammatory cytokines by macrophages) and host defense against pathogens (18). Moreover, GM-CSF is highly expressed in the lung and important for lung homeostasis in vivo (19), as demonstrated by the fact that GM-CSF KO mice develop pulmonary alveolar proteinosis (PAP), which is characterized by protein accumulation in the lung because of defective surfactant clearance (13, 14). Alveolar macrophages from GM-CSF KO mice have a defect in terminal differentiation, which leads to impaired innate immunity to pathogens in the lung (19, 20).

We thus hypothesized that generating hIL-3/GM-CSF KI mice would be valuable to support human myeloid cell reconstitution and function, as well as human innate immune responses to lung pathogens. We show here that these mice provide a substantial improvement over current models of human immune-system mice in terms of human alveolar macrophage development and human immune responses in the lung.
genes to generate hIL-3/GM-CSF KI mice (Fig. S1). Although the human IL-3 KI allele is under the control of mouse regulatory elements, the human CSF2 KI allele remains under the control of its human regulatory elements. We analyzed expression of mouse and human GM-CSF mRNA by RT-PCR in hIL-3/GM-CSF KI mice expressing one allele of each mouse and one allele of each human gene, referred to as IL-3/GM-CSF “human/mouse” (h/m) mice. Wild-type mice that only have the mouse alleles of Il3 and Csf2 are referred to as IL-3/GM-CSF “mouse/mouse” (m/m) mice. Human GM-CSF mRNA was expressed in a similar pattern to its mouse counterpart, with highest expression in the lung (Fig. 1A). To confer a competitive advantage to human hematopoietic cells, we generated homozygous KI mice that express two alleles of human IL3 and CSF2, referred to as IL-3/GM-CSF “human/human” (h/h) mice. Conventional and quantitative RT-PCR analysis of lung tissue showed that h/h mice express only human, but not mouse GM-CSF mRNA (Fig. 1B and C). Human GM-CSF protein could be detected by ELISA in the bronchoalveolar lavage fluid of h/h mice (Fig. 1D). Both mouse and human IL-3 mRNA was highly expressed by activated splenocytes from h/h mice, with low expression in digested bone, but neither was expressed in the lung (Fig. S2A). Human IL-3 (and GM-CSF) protein could be detected in supernatants from activated splenocytes isolated from h/h mice (Fig. S2B). We conclude that hIL-3/GM-CSF KI mice faithfully express human GM-CSF and IL-3.

Enhanced Human Inflammatory Responses in Engrafted hIL-3/GM-CSF KI Mice. Human IL-3/GM-CSF KI mice were generated from ES cells with one allele of both Rag2 and Il2rg already deleted. Breeding onto the Rag2−/− Il2rg−/− (BALB/c × 129) background then allowed engraftment with human CD34+ hematopoietic cells. Human IL-3/GM-CSF h/m KI mice were engrafted with CD34+ cells from cord blood, and h/h KI mice were engrafted with CD34+ cells from fetal liver. Overall human CD45+ hematopoietic cell chimerism in bone marrow, blood, and spleen was not increased in hIL-3/GM-CSF KI mice (Fig. S3A). There were no major differences in human T-cell development in the thymus (Fig. S3B), as well as in the frequencies of human T, B, and natural killer (NK) cells in blood (Fig. S3C) and spleen (Fig. S3D). In addition, the frequencies of human CD34+ hematopoietic stem cells, CD34+/CD33+ myeloid progenitor cells, total CD33+ myeloid cells, CD14+ monocytes/macrophages, and CD66+SSChi granulocytes in the bone marrow were not significantly increased in hIL-3/GM-CSF KI mice (Fig. S4A). The same was true for human CD14+ monocytes/macrophages, CD11c+ dendritic cells (DC), CD123+ plasmacytoid DC, and CD66+SSChi granulocytes in the blood (Fig. S4B). This finding applied to both h/m (engrafted with cord blood CD34+ cells) and h/h mice (engrafted with fetal liver CD34+ cells) under steady-state conditions. Finally, human bone marrow cells from engrafted hIL-3/GM-CSF KI mice had a similar capacity to form myeloid colonies in methylcellulose in vitro (Fig. S4C). Our findings are consistent with results from KO mouse studies showing that both IL-3 and GM-CSF are largely dispensable for steady-state myelopoiesis (12–17).

In contrast, GM-CSF plays an important role in mediating inflammatory responses (18). GM-CSF expression is induced by inflammatory stimuli, which leads to the production of inflammatory cytokines (such as IL-6) by monocytes/macrophages and to their recruitment to sites of inflammation. We observed that human CD14+ monocytes from engrafted hIL-3/GM-CSF KI mice had the highest expression of the GM-CSF receptor α-chain (CD116) (Fig. S5A). Therefore, we focused on human monocytes/macrophages in our analysis of engrafted hIL-3/GM-CSF KI mice. First, we analyzed the inflammatory response of human monocytes in engrafted hIL-3/GM-CSF KI mice. Systemic inflammation was induced by intraperitoneal injection of LPS. The frequency of circulating human CD14+ monocytes was significantly increased in h/m compared with control m/m mice after LPS injection (Fig. S5B and C). Enhanced mobilization of human monocytes in h/m mice was associated with increased serum concentrations of human IL-6 after one and two injections of LPS (Fig. S5D). These data indicate that hIL-3/GM-CSF KI mice engrafted with human hematopoietic cells have enhanced human inflammatory responses mediated most likely by human myelo-monocytic cells.

Homozygous hIL-3/GM-CSF KI Mice Support the Development of Human Alveolar Macrophages. The absence of mouse GM-CSF leads to impairment of mouse alveolar macrophages, which should favor reconstitution with human macrophages in homozygous hIL-3/GM-CSF KI mice. In support of this, human GM-CSF is highly expressed in the lung and bronchoalveolar lavage of h/h mice, but mouse GM-CSF is lacking (Fig. 1). Mouse alveolar macrophages from nonengrafted h/h mice were enlarged and had the typical “foamy” appearance (Fig. S6A), which has been described for alveolar macrophages from GM-CSF KO mice. GM-CSF KO mice develop PAP because of a defect in surfactant clearance by alveolar macrophages that have a block in terminal differentiation (19). Similarly to what has been reported for GM-CSF KO mice, nonengrafted h/h mice developed features of PAP, such as the subpleural accumulation of alveolar macrophages full of Periodic acid-Schiff (PAS)–positive material (Fig. S6B). We conclude that nonengrafted h/h mice show impaired differentiation of mouse alveolar macrophages and develop PAP, and are therefore functionally equivalent to GM-CSF KO mice.

Next, we examined the lung compartment of h/h mice after engraftment with human hematopoietic cells. FACS analysis showed that h/h mice had considerably more human CD45+ cells in the bronchoalveolar lavage (Fig. 2A and B). Quantitative RT-PCR of lung tissue revealed that this increase in human cells

![Image](https://via.placeholder.com/150)
Human Hematopoietic Cells Partially Rescue PAP in Homozygous hIL-3/GM-CSF KI Mice. We then asked if the increased engraftment of h/h mice with human macrophages leads to better human immune function in the lung. To demonstrate that human alveolar macrophages are functional, we first examined if human alveolar macrophages can rescue the PAP syndrome that is found in nonengrafted h/h mice. Although both type II alveolar epithelial cells and alveolar macrophages respond to GM-CSF, PAP can be rescued by bone marrow transplantation (22). This finding indicates that hematopoietic cells, specifically alveolar macrophages, are the main cell type being able to reverse PAP. Therefore, we hypothesized that h/h mice engrafted with human hematopoietic cells should have less severe PAP. Consistent with our hypothesis, engrafted h/h mice had significantly lower amounts of total protein in the bronchoalveolar lavage fluid than nonengrafted h/h mice (Fig. 4A). In addition, although non-engrafted h/h mice showed intra-alveolar accumulation of PAS-positive material (Fig. 4B), which is a hallmark of PAP, engrafted h/h mice had less severe protein accumulation in the lung (Fig. 4B). These results indicate that engrafted human hematopoietic cells (presumably alveolar macrophages) are capable of at least partially rescuing PAP in homozygous hIL-3/GM-CSF KI mice, thereby contributing to lung homeostasis under steady-state conditions.

Homozygous hIL-3/GM-CSF KI Mice Mount Correlates of a Human Innate Immune Response to Influenza A Virus. In addition to their role in lung homeostasis, alveolar macrophages are essential for host defense in the lung. Alveolar macrophages are the main producers of type I IFN after infection with pulmonary viruses (23) and are required for an effective innate response to influenza A (24). Numerous studies have shown that GM-CSF KO mice are more susceptible to a variety of pathogens in the lung.

Fig. 3. Homozygous hIL-3/GM-CSF KI mice support the development of human alveolar macrophages. Immunohistochemistry of lung tissue sections stained for human CD68 from nonengrafted and engranted m/m and h/h KI mice. Magnification: 200x. One representative example of a total of 12 mice analyzed per group in two independent experiments is shown.
mRNA as determined (0.001). (h) The majority of engrafted h/h mice (9 of 14 mice) also had detectable levels of human IL-6 protein in the serum, but none of the engrafted m/m mice (0 of 10 mice) had detectable levels. IL-6 plays an important role in host defense against influenza A virus. However, engrafted h/h mice did not show enhanced protection against influenza virus because viral burden was not reduced compared with engrafted m/m mice (Fig. S7). Taken together, these data show that homozygous hIL-3/GM-CSF KI mice allow better human macrophage chimerism in the lung, which leads to improved lung homeostasis under steady-state conditions and to enhanced human cytokine production in response to viral infection.

Discussion

The ability to study human tissue in an in vivo setting in mice has opened a wide range of possible avenues of research. Major limitations have hindered the application of the approach, and of these one of the most important deficiencies has been the inability of mouse factors to support human cells. Indeed, in the immune system many essential factors required for human immune cell development and function are species-specific and cannot be effectively provided by the mouse. We therefore decided to follow a strategy of replacing the mouse genes with their human counterparts, enabling the better development and function of human cells and potentially disabling the same of the corresponding mouse cells. By applying this concept to human cytokine KI mice, we provide here proof of concept that replacement of immune genes in the mouse host with human genes improves human immune-system mice.

Human cytokines can be delivered to human immune-system mice by intravenous injection; for example, to boost human NK cell and T-cell reconstitution by injections of IL-15/IL-15Rε complexes (27) and IL-7 (28), respectively. Systemic expression of human cytokines can also be achieved using a lentivirus-based delivery system; for example, to enhance human T-cell reconstitution by the expression of IL-7 (29). Another approach is the hydrodynamic injection of plasmid DNA-expressing human cytokines, which leads to transient expression in the liver. This approach has recently been used to improve reconstitution of human DC by hydrodynamic delivery of GM-CSF and IL-4 (30).

Finally, human cytokines can also be overexpressed as transgenes in human immune-system mice. This approach has been used to generate human IL-3/GM-CSF/stem cell-factor transgenic mice (31). In these mice, human cytokine expression is driven by the cytomegalovirus promoter, which leads to ubiquitous expression. However, hIL-3/GM-CSF/stem cell-factor transgenic human immune-system mice are hampered by reduced maintenance of human hematopoietic stem cells in bone marrow and expanded terminal myelopoiesis. In contrast to our study, no functional responses of myeloid cells or in vivo responses to pathogens were evaluated in the last two reports.

The hIL-3/GM-CSF KI mice described in this study represent a considerable improvement over previous human immune-system mice and the alternative approaches discussed above. First, delivery of human IL-3 and GM-CSF by our KI strategy leads to long-term cytokine expression, which circumvents the need for repeated injections of expensive cytokines. Second, faithful expression in organs where IL-3 and GM-CSF are normally expressed, is achieved. Under physiological conditions, GM-CSF is mainly expressed in the lung (Fig. 1). In contrast, hydrodynamic delivery leads to predominant expression in the liver and in the circulation (30). In both liver and blood GM-CSF is not normally expressed in steady-state conditions. Third, physiological amounts of IL-3 and GM-CSF are expressed in KI mice in contrast to delivery by hydrodynamic injection or ubiquitous overexpression in hIL-3/GM-CSF/stem cell-factor transgenic mice. Fourth, homozygous hIL-3/GM-CSF KI mice allow the simultaneous impairment of the mouse myeloid compartment because mouse IL-3 and GM-CSF are not expressed in homozygous mice, which leads to a competitive advantage for human myeloid cells, as shown in the present study.

Despite increased human cytokine production, engrafted hIL-3/GM-CSF KI mice did not show enhanced protection against infection with influenza virus. This is likely because of several reasons: (i) Influenza virus affects both human and mouse cells, and in humanized mice the lung epithelium is of mouse origin, but the immune system consists of mouse (myeloid) and human (myeloid and lymphoid) cells. Furthermore, our mouse strain (BALB/c × 129 background) lacks a functional Mx gene, an important IFN-induced resistance factor against influenza virus
(32). Therefore, although the (human) innate immune response to influenza is enhanced in hIL-3/GM-CSF KI mice, this may not lead to better protection because the mouse lung epithelium lacks the antiviral Mx gene. (ii) Recovery from influenza infection in mice is dependent on a functional adaptive immune system. In current humanized mouse models (including hIL-3/GM-CSF KI mice), human T- and B-cell responses are still suboptimal. This could be another reason why the enhanced human innate immune response in hIL-3/GM-CSF KI mice does not translate into better protection. Future improvements of our model by additional genetic modifications of the mouse host (human cytokine and HLA KI) should lead to a humanized mouse model with enhanced protection against influenza.

Apart from being a model for human immune responses to lung pathogens, hIL-3/GM-CSF KI mice may be useful for studying human autoimmune and inflammatory responses in the lung that occur, for example, in asthma. Alveolar macrophages have been implicated in preventing excessive immune responses to inhaled antigens (24), and other cells that are important for the immunopathology in asthma are also regulated by IL-3 and GM-CSF: IL-3 plays a role in the biology of mast cells (33) and GM-CSF regulates the differentiation of NKT cells (34). Future refinements, such as the improvement of human T-cell (Th2) responses and reconstitution of human lung epithelium (35) in the mouse host, should therefore make a mouse model that closely mimics human asthma a real possibility.

In summary, the hIL-3/GM-CSF KI mice presented in the current study represent a considerable improved human immune system mouse model that should serve as a versatile tool for future studies.

**Materials and Methods**

**Generation of hIL-3/GM-CSF KI Mice.** For details see SI Materials and Methods.

**RT-PCR.** Total RNA was extracted from homogenized tissues with TRIzol reagent (Invitrogen) according to the manufacturer’s instructions. Equal amounts of DNase-treated RNA were used for cDNA synthesis with the SuperScript First-Strand Synthesis System (Invitrogen). Conventional RT-PCR was performed with the following primers: (i) Mouse Csf2: forward, CCA-GTCCAAAATAGGAGGAACG; reverse, CAGGGTTTTCAAGAGGGCTAT. (ii) Human Csf2: forward, GGGCTTCTCTGAACCTGAGT; reverse, GGGAGATGAAAGGAGAAAGT. (iii) Mouse Rpl13: forward, GTACGCTGTGAAGGCATCAA; reverse, GGGGATGACAAGCAGAGAGT.

**Bronchoalveolar Lavage.** Lungs were inflated with 1 mL PBS via a catheter inserted into the trachea. This process was repeated twice and the recovered lavage pooled. After centrifugation, cell-free supernatants were saved for determination of GM-CSF protein concentration by ELISA or for total protein content with the BCA Protein Assay Kit (Pierce) according to the manufacturer’s instructions. After RBC lysis with ACK lysis buffer (Lonza), cell pellets were counted and either used for flow cytometry as above or for cytospin preparations. Cells were spun onto slides and stained with Diff-Quik Stain Set (Dade Behring) according to the manufacturer’s instructions.

**Engraftment of Mice with Human Hematopoietic CD34+ Stem and Progenitor Cells.** Human cord blood and fetal liver samples were obtained under approval from the Yale University Human Investigation Committee from Yale-New Haven Hospital and Albert Einstein Medical College, New York, respectively. CD34+ cells were purified by density gradient centrifugation and
immunomagnetic selection using CD34 microbeads (Miltenyi Biotec). Newborn pups were engrafted with human CD34+ cells as previously described (6). Engraftment with human hematopoietic cells was determined 8 to 12 wk posttransplantation by retro-orbital bleeding and flow cytometry, as described below. Mice used for experiments had blood engraftment levels of ≥4% hCD45+ cells unless indicated otherwise. Matched mice (i.e., mice engrafted with the same batch of CD34+ cells) were used for experiments. Human IL-3/GM-CSF h/vK mice were engrafted with CD34+ cells from cord blood, and h/h KI mice were engrafted with CD34+ cells from fetal liver. Mice were maintained under specific pathogen-free conditions and received prophylactic antibiotics (Sulfafuram) in the drinking water to prevent opportunistic infections. All animal work was approved by the Yale University Institutional Animal Care and Use Committee and conducted in accordance with its regulations.

Influenza A Infection. Mice were infected with 2 x 10^6 PFU of influenza A/PR8 (H1N1) virus via the intranasal route. Infection was performed by the intranasal application of 50 μL virus stock diluted in PBS (or an equal volume of PBS as a control) to mice that had been deeply anesthetized with anafane (Ivesco). Lungs were harvested 24 h after infection for RNA extraction and quantitative RT-PCR analysis as described above. Lung homogenates were prepared in 1 mL 0.1% BSA/PBS for cytokine measurements by ELISA. 72 h postinfection.

Statistical Analysis. The nonparametric Mann-Whitney U test was used to determine statistical significance between two groups (α = 0.05). For multi-group comparisons, we applied one-way ANOVA with post hoc testing using Tukey’s Multiple Comparison Test (α = 0.05). For data plotted on a log-arithmetic scale the geometric mean is depicted, otherwise the arithmetic mean is shown.

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