Next-Generation Sequencing of HIV-1 RNA Genomes: Determination of Error Rates and Minimizing Artificial Recombination

Di Giallonardo, Francesca; Zagordi, Osvaldo; Duport, Yannick; Leemann, Christine; Joos, Beda; Künzli-Gontarczyk, Marzanna; Bruggmann, Rémy; Beerenwinkel, Niko; Günthard, Huldrych F; Metzner, Karin J

Abstract: Next-generation sequencing (NGS) is a valuable tool for the detection and quantification of HIV-1 variants in vivo. However, these technologies require detailed characterization and control of artificially induced errors to be applicable for accurate haplotype reconstruction. To investigate the occurrence of substitutions, insertions, and deletions at the individual steps of RT-PCR and NGS, 454 pyrosequencing was performed on amplified and non-amplified HIV-1 genomes. Artificial recombination was explored by mixing five different HIV-1 clonal strains (5-virus-mix) and applying different RT-PCR conditions followed by 454 pyrosequencing. Error rates ranged from 0.04-0.66% and were similar in amplified and non-amplified samples. Discrepancies were observed between forward and reverse reads, indicating that most errors were introduced during the pyrosequencing step. Using the 5-virus-mix, non-optimized, standard RT-PCR conditions introduced artificial recombinants in a fraction of at least 30% of the reads that subsequently led to an underestimation of true haplotype frequencies. We minimized the fraction of recombinants down to 0.9-2.6% by optimized, artifact-reducing RT-PCR conditions. This approach enabled correct haplotype reconstruction and frequency estimations consistent with reference data obtained by single genome amplification. RT-PCR conditions are crucial for correct frequency estimation and analysis of haplotypes in heterogeneous virus populations. We developed an RT-PCR procedure to generate NGS data useful for reliable haplotype reconstruction and quantification.

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Introduction

Human immunodeficiency virus type 1 (HIV-1) is a highly diverse virus, not only on a global scale, but also within individual HIV-1 infected subjects [1]. The genetic variants constituting the viral population are called haplotypes, and these haplotypes form a viral quasispecies [2]. It has been shown that low-abundant haplotypes are already present in patients shortly after infection [3-6]. Numerous studies have shown that minority drug-resistant HIV-1 variants can be clinically relevant and lead to therapy failure, especially in the context of pre-existing minority variants harbouring resistance mutations to non-nucleoside reverse transcriptase inhibitors (NNRTI) [7-10].

Viral diversity has major implications on pathogenesis, drug resistance, and vaccine development. Since next-generation sequencing (NGS) platforms are widely available, virus populations can be studied much faster compared to the classical methodology of single genome sequencing. However, these technologies require rigorous estimation of error rates and identification of error sources, especially when viral haplotypes are studied. Here, we present a detailed analysis of the accuracy of an RT-PCR procedure that is widely used in the NGS analysis of HIV-1 variants.

Abstract

Next-generation sequencing (NGS) is a valuable tool for the detection and quantification of HIV-1 variants in vivo. However, these technologies require detailed characterization and control of artificially induced errors to be applicable for accurate haplotype reconstruction. To investigate the occurrence of substitutions, insertions, and deletions at the individual steps of RT-PCR and NGS, 454 pyrosequencing was performed on amplified and non-amplified HIV-1 genomes. Artificial recombination was explored by mixing five different HIV-1 clonal strains (5-virus-mix) and applying different RT-PCR conditions followed by 454 pyrosequencing. Error rates ranged from 0.04-0.66% and were similar in amplified and non-amplified samples. Discrepancies were observed between forward and reverse reads, indicating that most errors were introduced during the pyrosequencing step. Using the 5-virus-mix, non-optimized, standard RT-PCR conditions introduced artificial recombinants in a fraction of at least 30% of the reads that subsequently led to an underestimation of true haplotype frequencies. We minimized the fraction of recombinants down to 0.9–2.6% by optimized, artifact-reducing RT-PCR conditions. This approach enabled correct haplotype reconstruction and frequency estimations consistent with reference data obtained by single genome amplification. RT-PCR conditions are crucial for correct frequency estimation and analysis of haplotypes in heterogeneous virus populations. We developed an RT-PCR procedure to generate NGS data useful for reliable haplotype reconstruction and quantification.
using high fidelity DNA polymerases [15]. Recently, primer identifiers have been described to circumvent some of the remaining PCR artifacts [16].

So far, not much attention has been drawn to the cDNA synthesis that is required as first step when RNA, rather than DNA, is the source for genetic analyses. RTs are error-prone enzymes [17], and misincorporations during cDNA synthesis are difficult to avoid and almost impossible to distinguish from real variations, especially in heterogeneous viruses such as HIV-1.

In vitro recombination has almost exclusively been studied on DNA templates and numerous improved PCR conditions have been described [18–28]. Amplifying a heterogeneous DNA sample can lead to artificial chimeras and therefore to an overestimation of genetic variation [18,24,25]. PCR-mediated chimeras are mainly created by prematurely terminated template extensions during PCR and subsequent false priming of these short sequences to a non-homologous sequence in the following cycles [21,23]. A previous study has shown that PCR-induced recombinants can account for up to 50% of the final PCR product [19]. Several factors can influence PCR-induced in vitro recombination, including template amount and polymerase processivity [20–22], but in vitro recombination induced by reverse transcription is poorly studied. So far, only Fang and co-workers studied HIV-1 cDNA synthesis-induced in vitro recombination and showed that a 2.5-fold higher in vitro recombination rate can be observed in RT-PCR compared to DNA PCR when a long 4.5 kb fragment is amplified, probably due to prematurely terminated cDNA synthesis or RNA molecules degraded prior to the RT reaction [29].

Minimizing in vitro recombinants is particularly important when studying the intra-patient diversity of viruses like HIV-1. Besides a high mutation rate, this virus has the natural ability to recombine, which is one of several options of HIV-1 to circumvent selection pressures and to adapt to a new host [30,31].

Here, we estimated the error rates and characterized possible error sources for the 454 pyrosequencing technology at all stages of the procedure. We established an optimized, artifact-reducing RT-PCR protocol to reverse transcribe, amplify, and pyrosequence HIV-1 RNA genomes enabling accurate haplotype analysis based on entire sequence reads.

Results

Substitution and Insertion/Deletion Rates and their Sources

To estimate the error rates of the different steps in the procedure of 454 pyrosequencing, the protease gene of the virus strain HIV-1RCSF was amplified and 454 pyrosequenced following three different experimental procedures. In the first procedure, the plasmid pYK-JRCSF, containing the full-length sequence of HIV-1RCSF, was digested using restriction enzymes flanking the protease gene. Adaptors were ligated to the protease gene to obtain a fragment for direct 454 pyrosequencing. We refer to this sample as “NGS” (figure 1A). It is used to evaluate the substitution and indel (insertions and deletions) rates of the emulsion PCR and the pyrosequencing procedure. In the second set-up, the exact same plasmid preparation was used to amplify the protease gene using fusion primers that consist of a HIV-1 specific region, a multiplex identifier and either the A or B sequence required for 454 pyrosequencing. This sample is named “PCR-NGS”, as only one, the inner, PCR was done to obtain the amplicon (figure 1A). This experiment was performed to estimate the substitution and indel rates of PCR, emulsion PCR, and pyrosequencing. In the third set-up, again the same plasmid preparation was used to produce the virus stock HIV-1RCSF from which viral RNA was isolated and reverse transcribed followed by outer and inner PCRs. This sample is named “RT-2PCR-NGS” (figure 1A). This set-up was used to estimate the substitution and indel rates of the complete procedure that is commonly applied to pyrosequence HIV-1 from patients’ plasma samples (RT, outer PCR, inner PCR, emulsion PCR, and pyrosequencing).

All three experimental procedures were set up in duplicates and pooled before pyrosequencing. Reads were aligned to the HIV-1JRCSF reference sequence, forward and reverse reads were analyzed separately (see Materials and Methods). Every difference between a read and the reference was counted as an error. Table 1 depicts the average substitution and indel rates per nucleotide for each sample. The substitution rates per nucleotide varied between 0.08–0.16%, not showing clear patterns in regard to either the different experimental procedures nor to forward and reverse reads. In contrast, indel rates varied considerably. In comparison, deletion rates were 2.7–5.5-fold lower in reverse reads than in forward reads obtained from PCR-NGS and RT-2PCR-NGS samples and approximately twofold higher in reverse reads of NGS samples (table 1). Insertion rates varied less in forward and reverse reads of PCR-NGS and RT-2PCR-NGS samples, but they were >3-fold higher in reverse reads than in forward reads of NGS samples. The analysis of substitution and indel rates per position in forward and reverse reads revealed that these errors occurred mainly in the context of homopolymers (figure 1B). The longest homopolymer (six guanines) is located at position 18–23 (figure 1B). It mainly caused artificial deletions in forward reads and insertions in reverse reads, explaining the differences in average error rates (table 1).

Characterization of Molecular HIV-1 Clones

293T cells were transfected separately with five different HIV-1 full-length plasmids to obtain molecular HIV-1 clones. As a control, each of them was 454 pyrosequenced separately to estimate the substitution and indel rates and to exclude the presence of any recombinants in these virus stocks. Between approximately 5,000 and 34,000 reads were analyzed per sample. Within the 271 base pairs (bp) long analyzed region of the viral protease gene, the mean substitution rates were on average 0.09% per nucleotide and evenly distributed among the amplicons, except the high substitution rates within the six-G homopolymer region of the amplicons (figure 2). The insertion and deletion rates were on average 0.1% and 0.2% per nucleotide, respectively. Recombinants were not observed in any of these molecular clones.

Sequences were similar to the published sequences of each molecular HIV-1 clone except for HIV-1B9.6. Here, our HIV-1B9.6 strain consists of GAG instead of AGT at positions 2360-2 and of G instead of A at position 2371 (based on HIV-1B9.6, GenBank accession number U39362). The largest genetic distance was between HIV-1NL4-3 and HIV-1B9.6 consisting of 13 mismatches, the lowest genetic distance was 6 mismatches (seen in 4/10 possible pairs of the 5 HIV-1 strains) enabling the investigation of in vitro recombination (figure 2, orange bars).

In vitro Recombination Frequency is Influenced by RT-PCR Conditions

PCR conditions can influence the formation of artificial chimeras [21,22,27]. To test the effect of in vitro recombination during RT-PCR, a 5-virus-mix was generated consisting of HIV-1NL4-3, HIV-1RCSF, HIV-1B9.6, and HIV-1YU2. The molecular HIV-1 clones were mixed in approximate same amounts. In each of these and the following experiments, the exact same volume of the 5-virus-mix, the equivalent of 100,000 HIV-1 RNA copies, was used. After viral RNA was extracted, it
was reverse transcribed using three different RT enzymes: Transcriptor High Fidelity RT, M-MuLV RT, RNase H², and SuperScript III RT. Each cDNA synthesis was performed in duplicate. cDNA was amplified by outer and inner PCRs and pyrosequenced (table 2). A total of 1,649–100,263 reads were obtained per sample and artificial recombination was estimated using Recco. We found 30.6–37.1% of all reads to be artificial recombinants when the cDNA was amplified using standard PCR conditions and no adjustment of input copy numbers for nested PCR was performed (table 3, PR1-2).

To reduce the high in vitro recombination frequency, PCR cycling conditions were optimized by increasing the elongation time to minimize the occurrence of prematurely terminated extension events [32], increasing dNTP and oligonucleotide concentrations, and omitting the final extension step [27] (table 2). Furthermore, after the first PCR, amplicons were quantified and 10⁵ DNA copies were transferred to the second round of amplification. With these modifications, the artificial recombination rate was reduced to 0.9–2.6% (table 3, PR3-8), as measured in six independent samples. The choice of the reverse transcriptase did not influence the artificial recombination rate.

Characteristics of False Haplotypes Induced by in vitro Recombination

In the haplotypes reconstructed by ShoRAH, we observed the original strains together with other sequences. The latter can be subdivided in two classes: 1. recombinants of the strains (in vitro recombinants) and 2. viral variants harbouring artificial substitutions and/or indels (here called erroneous haplotypes). Applying standard RT-PCR conditions (samples PR1 and PR2), 53.6 and 43.9% of all reconstructed haplotypes, respectively, were classified as in vitro recombinants (table 3). These rates were substantially higher than the estimates of in vitro recombinants by Recco, which can be explained by the different algorithms and subsequent procedures used as explained in materials and methods. Figure 3 shows the 23 in vitro recombinants found at frequencies ≥1% of the viral population in samples PR1 and PR2, 17 of which could be found in both samples. Two recombination events per chimera can be clearly assigned to four in vitro recombinants (m, q, r and t, figure 3); for the remaining 19 in vitro recombinants, one recombination event can be clearly identified, although the occurrence of more than one recombination event cannot be excluded. For samples PR3-8, where optimized RT-PCR condi-
clones analyzed using single genome amplification was an
HIV-1HXB2, 36.1–42.1% HIV-1NL4-3, 23.4–32.5% HIV-1JR-CSF, 11.7–
19.9% HIV-1YU2. The true haplotypes were successfully reconstructed in the
following frequencies in these six samples obtained using
optimized amplification conditions (PR3-8, table 3): 4.5–6.8%
HIV-1HXB2, 32.9–45.4% HIV-1NL4-3, 22.9–26.4% HIV-1JR-CSF,
9.6–13.2% HIV-1YU2, and 11.7–15.0% HIV-1YU2. Three inde-
pendent single genome amplification experiments, using the same
5-virus-mix, resulted in similar frequencies of 5.8–12.4%
HIV-1HXB2, 36.1–42.1% HIV-1NL4-3, 23.4–32.5% HIV-1JR-CSF, 11.7–
15.0% HIV-1YU2, and 10.3–14.8% HIV-1YU2 (table 3).

Haplotype frequency analysis based on 454 pyrosequencing
data obtained using standard amplification conditions showed that
less than 30% of all reads belong to the true haplotypes (PR1 and
PR2, table 3). This leads to a substantial underestimation of the
frequency of each true haplotype as compared to the proportions
obtained by single genome amplification or 454 pyrosequencing
from samples amplified applying optimized conditions (table 3).

Discussion

Characterizing the diversity and evolutionary dynamics of virus
populations within infected hosts is of great importance. For
instance, it provides insights into virus escape mechanisms and
development of drug resistance. Haplotype determination can be
of therapeutic relevance, because pre-existing minority drug-
resistant variants present in a patient can increase the risk of
therapy failure as shown for HIV-1 in a recent meta-analysis [8].
NGS technologies enable the fast acquisition of thousands to
millions of sequences from one sample, making it a powerful tool
to study diverse virus populations. However, the analysis can be
hampered by several experimental errors, occurring both during
library preparation and sequencing (reviewed in [11]). For data
analysis, two major in vitro artifacts have to be considered: 1)
substitution and indel errors and 2) in vitro recombinants. Both can
lead to wrong estimates of diversity within a virus population. This
artificial diversity is difficult to distinguish from the real diversity
especially for HIV-1, as the mutation rate is high and recombi-
nation also occurs frequently in vivo [33].

We designed a control experiment to estimate the substitution
and indel error rates at each amplification reaction step of 454
pyrosequencing and of the sequencing technology itself. We used
the same HIV-1 full-length plasmid, obtained from a single
bacterial clone, and processed it with different techniques to
estimate the error rate at each step of the pyrosequencing
technology. The indel rates did not differ substantially between
the different steps and occurred predominantly in homopolymeric
regions clearly showing that these errors were generated during the
pyrosequencing step [12,34].

The substitution rate was >10-fold higher as previously
described for non-pre-amplified genomic DNA fragments [12].
This might reflect the substitutions introduced by bacteria during
the numerous duplications of the transfected plasmid. We
expected that the substitution rate is higher in the samples
generated by RT-PCR followed by outer and inner PCRs than in
samples amplified only once prior to NGS. Interestingly, the
substitution rates were only marginally higher in the PCR-NGS
approach. Again, it might be possible that the overall diversity
of plasmids obtained from bacteria after numerous duplications leads
to a substantial amount of plasmids, which will not result in the
generation of intact virus particles in transfected 293T cells. Thus,
the transfection and the harvest of cell-free supernatant would
display a bottleneck resulting in a less heterogeneous virus
population compared to the plasmid “population”. In summary,
our RT-2PCR-NGS experimental procedure showed an average
substitution rate of ~0.1%, which is consistent with previous studies [13,35–39].

In vitro recombination represents a more severe problem
especially for haplotype analysis of amplified viral RNA genomes.
In our set-up, mixing five diverse virus strains, using standard

| Table 1. Substitution and insertion/deletion rates per base of different procedures for amplicon generation. |
|-----------|---------------------------------|----------------|---------------|-----------------|-----------------|-----------------|
| sample*   | procedure          | analysis strategy | total reads | total reads analyzed | total bases analyzed | insertion rate [%] | deletion rate [%] | substitution rate [%] |
| a         | NGS                | forward reads     | 3,898       | 1,463           | 421,588           | 0.19            | 0.31            | 0.06             |
| b         | NGS                | forward reads     | 1,649       | 685             | 197,238           | 0.17            | 0.19            | 0.08             |
| a         | PCR-NGS            | forward reads     | 50,173      | 21,214          | 6,106,238         | 0.16            | 0.22            | 0.14             |
| b         | PCR-NGS            | forward reads     | 100,263     | 39,758          | 11,358,035        | 0.21            | 0.23            | 0.16             |
| a         | RT-2PCR-NGS        | forward reads     | 37,724      | 16,798          | 4,842,383         | 0.19            | 0.20            | 0.11             |
| b         | RT-2PCR-NGS        | forward reads     | 55,337      | 28,511          | 8,217,013         | 0.17            | 0.19            | 0.12             |
| a         | NGS                | reverse reads     | 3,898       | 2,434           | 705,284           | 0.63            | 0.56            | 0.14             |
| b         | NGS                | reverse reads     | 1,649       | 963             | 279,122           | 0.66            | 0.43            | 0.15             |
| a         | PCR-NGS            | reverse reads     | 50,173      | 28,697          | 8,276,817         | 0.28            | 0.04            | 0.11             |
| b         | PCR-NGS            | reverse reads     | 100,263     | 53,266          | 15,260,156        | 0.29            | 0.05            | 0.14             |
| a         | RT-2PCR-NGS        | reverse reads     | 37,724      | 20,915          | 6,034,401         | 0.25            | 0.05            | 0.11             |
| b         | RT-2PCR-NGS        | reverse reads     | 55,337      | 26,804          | 7,744,407         | 0.40            | 0.07            | 0.08             |

*Each sample was done in duplicate, the sample name a and b helps to distinguish the duplicates from each other.
NGS, next-generation sequencing; RT, reverse transcription.
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Figure 2. Substitution rates of each virus strain used to generate the 5-virus-mix. Each of the HIV-1 stocks was pyrosequenced separately to control for the purity of each virus strain. The y-axis shows the substitution rate per base according to the reference within the analyzed 271 bp long fragment (amino acids 10–99 of the HIV-1 protease, nt 2279–2549 based on HIV-1HXB2). The x-axis shows the positions on the sequence. The orange bars indicate differences in the nucleotide sequences of the five virus strains.

doi:10.1371/journal.pone.0074249.g002
amplification procedures and applying a very strict analysis by Recco, the in vitro recombination frequency reached up to 37%. Analysis by ShoRAH and manual inspection revealed an in vitro recombination frequency of up to 53.6%. These numbers may still underestimate the real in vitro recombination frequency, since up to 27.6% of false haplotypes were not clearly classifiable in these samples. Artificial chimeras inflate viral diversity estimates and, on the other hand, lead to wrong frequency estimates of the true haplotypes. Optimizing the amplification conditions and limiting the input DNA copy numbers in the second, outer PCR reduced the in vitro recombination rate to 0.9–2.6%. It has been previously shown that the input DNA copy number is a critical factor in the generation of artificial recombinants [19]. Despite these optimized conditions, our in vitro recombination rates were still higher than previously reported rates of 0.11–0.89% also using optimized PCR conditions [25,28,33,38]. One major dissimilarity between our experimental approach and those methods was the template used. We performed a RT-PCR starting with HIV-1 RNA whereas the RT step was omitted in the other approaches, i.e., they started with viral DNA. It is known that prematurely terminated amplicons during PCR are mainly responsible for in vitro recombination in PCR reactions [20,22,25–27,36,40,41]. However, in RT-PCR procedures, prematurely terminated cDNA fragments during cDNA synthesis can additionally serve as primers in subsequent amplifications [29]. Fang et al. showed that the in vitro recombination rate was approximately 3-fold higher when RT-PCR was compared to PCR alone (6.49% vs 2.65%, respectively) [29]. An in vitro recombination rate of 1.56%, i.e., more comparable to ours, has been recently published applying RT-PCR to generate amplicons for subsequent NGS procedures [42]. In a recent publication, Jabara et al. showed that by using degenerated primers for cDNA synthesis, PCR artifacts can be excluded from further analysis [16]. This approach is useful to exclude polymerase-induced misincorporations and PCR-induced recombination; however, it cannot identify RT-induced errors and recombinants.

**Table 2. Detailed amplification conditions.**

<table>
<thead>
<tr>
<th></th>
<th>NGS</th>
<th>PCR-NGS</th>
<th>RT-2PCR-NGS standard RT-PCR conditions</th>
<th>PR1+ PR2 standard RT-PCR conditions</th>
<th>PR3 - PR8 optimized RT-PCR conditions</th>
</tr>
</thead>
<tbody>
<tr>
<td>HIV-1 strains</td>
<td>HIV-1 JR-CSF</td>
<td>5-virus-mix*</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>cDNA synthesis</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>input RNA copies</td>
<td>–</td>
<td>–</td>
<td>∼40,000</td>
<td>∼30,000</td>
<td>∼35,000</td>
</tr>
<tr>
<td>RT enzyme</td>
<td>–</td>
<td>–</td>
<td>Transcripter RT</td>
<td>Transcripter High Fidelity RT</td>
<td>Transcripter High Fidelity RT (PR3+4) M-MuLV RT, RNase H* (PR5+6) SuperScript III RT (PR7+8)</td>
</tr>
<tr>
<td>1st (outer) PCR</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
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<td>n.p.</td>
<td>n.p. b</td>
<td>∼10,000</td>
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<tr>
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<td>–</td>
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<td>oligonucleotides (µM each)</td>
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<td>–</td>
<td>0.4</td>
<td>0.4</td>
<td>1</td>
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<tr>
<td>FastStart High Fidelity DNA polymerase (U)</td>
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<td>–</td>
<td>1.25</td>
<td>1.25</td>
<td>3</td>
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<tr>
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<td>15</td>
<td>15</td>
<td>30</td>
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<tr>
<td>annealing 55°C (sec)</td>
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<td>–</td>
<td>30</td>
<td>30</td>
<td>60</td>
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<tr>
<td>elongation 72°C (sec)</td>
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<td>–</td>
<td>30</td>
<td>30</td>
<td>60</td>
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<tr>
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<td>–</td>
<td>8</td>
<td>8</td>
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<tr>
<td>final extention 72°C (min)</td>
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<td>8</td>
<td>8</td>
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<tr>
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<td>–</td>
<td>n.p. c</td>
<td>n.p. c</td>
<td>100,000</td>
</tr>
<tr>
<td>dNTPs (mM)</td>
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<td>–</td>
<td>0.2</td>
<td>0.2</td>
<td>0.4</td>
</tr>
<tr>
<td>oligonucleotides (µM each)</td>
<td>–</td>
<td>–</td>
<td>0.4</td>
<td>0.4</td>
<td>1</td>
</tr>
<tr>
<td>FastStart High Fidelity DNA polymerase (U)</td>
<td>–</td>
<td>–</td>
<td>1.25</td>
<td>1.25</td>
<td>3</td>
</tr>
<tr>
<td>denaturation 94°C (sec)</td>
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<td>–</td>
<td>15</td>
<td>15</td>
<td>30</td>
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<td>–</td>
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<tr>
<td>elongation 72°C (sec)</td>
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<td>–</td>
<td>30</td>
<td>30</td>
<td>60</td>
</tr>
<tr>
<td>final extention 72°C (min)</td>
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<td>–</td>
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<td>40</td>
<td>40</td>
<td>35</td>
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</table>

All concentrations are given per reaction.

n.p. qPCR was not performed.

5-virus-mix consists of the HIV-1 strains JR-CSF, NL4-3, HXB2, YU2 and 89.6 (see also Materials and Methods).

3/23 µl of cDNA was used for the 1st PCR reaction, this corresponds to ∼4,200 cDNA copies.

10/50 µl of purified, undiluted 1st PCR product was transferred to the 2nd PCR.

doi:10.1371/journal.pone.0074249.t002
Table 3. Frequencies of true and false haplotypes.

<table>
<thead>
<tr>
<th>Sample</th>
<th>RT enzymea</th>
<th>outer PCR</th>
<th>inner PCR</th>
<th>RT-PCR conditionsb</th>
<th>Total reads/ clones analyzed</th>
<th>HIV-1&lt;sub&gt;NIL3-3&lt;/sub&gt;</th>
<th>HIV-1&lt;sub&gt;NIL4-3&lt;/sub&gt;</th>
<th>HIV-1&lt;sub&gt;NLR-3&lt;/sub&gt;</th>
<th>HIV-1&lt;sub&gt;YU2&lt;/sub&gt;</th>
<th>HIV-1&lt;sub&gt;99.6&lt;/sub&gt;</th>
<th>Sum</th>
<th>in vitro recombinants</th>
<th>Erroneous haplotypes</th>
<th>in vitro recombinants</th>
</tr>
</thead>
<tbody>
<tr>
<td>PR1</td>
<td>Transcriptor High Fidelity RT</td>
<td>n.p.</td>
<td>n.p.</td>
<td>standard</td>
<td>84,645</td>
<td>2.1</td>
<td>9.2</td>
<td>9.3</td>
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<td>11.2</td>
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<td>100,000</td>
<td>optimized</td>
<td>3,846</td>
<td>6.2</td>
<td>39.6</td>
<td>24.2</td>
<td>10.9</td>
<td>17.4</td>
<td>98.3</td>
<td>0.3</td>
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<td>3,781</td>
<td>6.8</td>
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<td>25.7</td>
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<td>PR5</td>
<td>M-MuLV RT, RNase H&lt;sup&gt;+&lt;/sup&gt;</td>
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<td>14,482</td>
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<td>22.9</td>
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<td>optimized</td>
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<td>6.2</td>
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<td>SuperScript III RT</td>
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<td>1.7</td>
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<td>optimized</td>
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<td>36.1</td>
<td>27.8</td>
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<td>n.a.</td>
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<td>5.8</td>
<td>37.0</td>
<td>32.5</td>
<td>13.0</td>
<td>11.7</td>
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*a see also table 2.

n.p. qPCR was not performed.

n.a. not applicable.

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It must be noted that our approach to amplify an almost equal mixture of five divergent virus strains is an extreme example of a heterogeneous population that enhances the likelihood of detectable recombination events. If the population is homogeneous, one
will not find artificial chimeras to such an extent, but this does not mean that in vitro recombination does not occur. Rather, it means that one cannot identify any recombination event, because such an event can only be seen when it occurs between two different DNA molecules that can be distinguished by their genetic dissimilarity. In fact, a PCR-generated recombinant can only be the third most frequent haplotype if it is a chimera of the two most abundant ones [22]. On the other hand, the HIV-1 population of an infected individual is expected to show the classical quasispecies profile, with one dominant master sequence and a large number of low-abundant haplotypes. Thus, in vitro recombination between different haplotypes will be less evident. Nevertheless, it is important to know the possible in vitro recombination frequency within a sample, for example, when investigating superinfections.

The RT step in our experiments did not particularly affect the recombination rates. One reason could be that rather a short amplicon (290 bp) was amplified. Amplicon length can influence recombination rates. Two studies reported a low PCR-induced recombination rate (below 1%) within a short amplicon (120–265 bp) [26,28]. Amplification of a longer amplicon (1.5 kb) led to higher recombination rates [43]. Fang et al. showed that in vitro recombination rates were higher in RT-PCR than PCR alone when amplifying a long molecule of over 4 kb [29]. The reasons might be lower efficiencies of reverse transcriptases to generate long amplicons, or that RNA is less stable than DNA, i.e., degraded RNA can lead to incomplete cDNAs. Amplicon sizes are increasing as a result of enhanced NGS read lengths; consequently, RT-PCR artifacts might become more abundant.

In summary, we have developed an optimized RT-PCR protocol suitable to amplify and sequence HIV-1 RNA genomes via 454 pyrosequencing exhibiting low error rates. We show that abundant in vitro recombinants influence haplotype reconstruction and lead to artificially high diversity as well as to a bias in quantification of true haplotypes present in the viral population. Thus, it is crucial to estimate and minimize the in vitro recombination frequency as well as to consider PCR- and RT-induced artificial errors in any subsequent analysis in terms of characteristics and frequencies of variants, especially those of RNA sources.

**Materials and Methods**

**Viruses**

Virus stocks were generated by separate transfection of 293T cells with each of the following subtype B HIV-1 full-length plasmids using Lipofectamine™2000 (Invitrogen) according to the manufacturer’s protocol. The HIV-1 full-length plasmids were obtained through the NIH AIDS Research and Reference Reagent Program, Division of AIDS, NIAID, NIH: pYK-JRCSF from Irvin S.Y. Chen and Yoshio Koyanagi; pNL4-3 from Malcolm Martin; pYU2 from Beatrice Hahn and George M. Shaw, p89.6 from Ronald G. Collman, and pHXB2 was kindly provided by Marek Fischer. 48 h post transfection, virus-containing supernatant was collected and centrifuged for 5 min at 3000 rpm and then filtered through 0.22 µm Sterilip® (Millipore) to obtain cell-free virus stocks. For the 5-virus-mix, approximate same amounts of these molecular clones were mixed based on HIV-1 RNA copy numbers estimated by quantitative real-time PCR (qPCR). Viral stocks and mixtures were stored at −80°C.

**RNA Isolation, cDNA Synthesis, and Amplification of HIV-1 Protease Gene**

10^4–10^5 HIV-1 RNA copies of each HIV-1 stock or 10^5 HIV-1 RNA copies of the 5-virus-mix were used to isolate viral RNA using the NucleoSpin® RNA Virus Kit (Macherey and Nagel) according to the manufacturer’s protocol. HIV-1 RNA was treated with 5 U DNase (DNase I recombinant, RNase-free; Roche) for 30 min at 25°C followed by inactivation for 15 min at 70°C. DNase-treated RNA was reverse transcribed using an HIV-1 specific oligonucleotide RT pol 2787 5'-GGTTCTGGAATTC-TAC-3' (2772-2787 nt; this and the following oligonucleotide positions are based on HIV-1HXB2, GenBank accession number K03455) and different reverse transcriptases (RT) following the manufacturers’ protocols: Transcriptor Reverse Transcriptase (Roche), Transcriptor High Fidelity cDNA Synthesis Kit (Roche), M-MuLV Reverse Transcriptase, RNase H- (Finnzymes), or SuperScript III RT (Invitrogen).

The outer PCR was performed using the FastStart High Fidelity PCR System (Roche), the forward oligonucleotide gag 2150 5’-AGCCAAACGCCCCCAACGAC-3’ (nt 2150-2167) (RT-2PCR-NGS and PR1+2, table 2) or gag 2142 5’-CAGACCAAGGCCCCACGAC-3’ (nt 2142-2159) (PR3-8, table 2) and the reverse oligonucleotide pol 2727rc 5’-CTGGATATGGTATG-GATTITCCAGG-3’ (nt 2703-2727) (RT-2PCR-NGS and PR1+2, table 2), or pol 2787rc 5’-GTTTCCTGAATCTAC-TAATTTCCTCC-3’ (nt 2761-2787) (PR3-8, table 2). All oligonucleotides were synthesized by Microsynth. Detailed amplification protocols are given in table 2. The PCR product was purified using the NucleoSpin® Extract PCR purification Kit (Macherey and Nagel) according to the manufacturer’s description. Inner PCR was performed using forward oligonucleotide PR primer A 5’-CTGATCCCTCCTCGGCGCA-TCAG-MID-ATCACITCTTGGCARCC-3’ and reverse oligonucleotide PR primer B 5’-CTATGCGCCTTGCCAGCCGC-TCAG-MID-CCTGCGCTTATITCCTGTTACAG-3’ including A and B sequences at the 5’-ends necessary for the 454 FLX/Titanium pyrosequencing method, respectively, different multiplex identifiers (MID) and HIV-1 specific primers (underlined; nt 2259–2279 and nt 2569–2593, respectively). The PCR products were purified using the NucleoSpin® Extract PCR purification Kit (Macherey and Nagel) or the Agencourt AMPure XP PCR purification Kit (Beckman Coulter) according to the manufacturers’ descriptions.

cDNA/DNA Quantification

The cDNA/DNA was quantified by qPCR with the oligonucleotides gag 2142 5’-AGCCAAACGCCCCCAACGAC-3’ (nt 2142-2159) and pol 2787rc 5’-GGTTCTGGAATTC-TAC-TAATTITCCTGC-3’ (nt 2761-2787). qPCR was performed using a real-time cycler ABI7500 (Applied Biosystems) as follows: 95°C-C-3, 50×(94°C-15°C, 55°C-C-30°C, 72°C-C-30°C) followed by a melt curve analysis in a total volume of 20 µL containing 5 nM ROX, Invitrogen), 1.5 mM MgCl2, 0.4 mM dNTPs, 0.2×SYBR Green (Invitrogen), 0.4 µM of each oligonucleotide and 0.5 U JumpStart Taq Polymerase (Sigma). A standard was comprised of HIV-1 RNA copies of each HIV-1 stock or 10^5 HIV-1 RNA copies of the 5-virus-mix and used to calculate copy numbers. The copy numbers obtained were used to normalize the HIV-1 RNA copy numbers estimated by quantitative real-time PCR (qPCR).

**454 Pyrosequencing of Diverse Virus Populations**

This pyrosequencing was performed using the 454 FLX/Titanium pyrosequencing method, respectively, different multiplex identifiers (MID) and HIV-1 specific primers (underlined; nt 2259–2279 and nt 2569–2593, respectively).

The PCR products were purified using the NucleoSpin® Extract PCR purification Kit (Macherey and Nagel) or the Agencourt AMPure XP PCR purification Kit (Beckman Coulter) according to the manufacturers’ descriptions.

The cDNA/DNA was quantified by qPCR with the oligonucleotides gag 2142 5’-AGCCAAACGCCCCCAACGAC-3’ (nt 2142-2159) and pol 2787rc 5’-GGTTCTGGAATTC-TAC-TAATTITCCTGC-3’ (nt 2761-2787). qPCR was performed using a real-time cycler ABI7500 (Applied Biosystems) as follows: 95°C-C-3, 50×(94°C-15°C, 55°C-C-30°C, 72°C-C-30°C) followed by a melt curve analysis in a total volume of 20 µL containing 5 nM ROX, Invitrogen), 1.5 mM MgCl2, 0.4 mM dNTPs, 0.2×SYBR Green (Invitrogen), 0.4 µM of each oligonucleotide and 0.5 U JumpStart Taq Polymerase (Sigma). A standard was comprised of HIV-1 RNA copies of each HIV-1 stock or 10^5 HIV-1 RNA copies of the 5-virus-mix and used to calculate copy numbers. The copy numbers obtained were used to normalize the HIV-1 RNA copy numbers estimated by quantitative real-time PCR (qPCR).
Preparation of Adapter-Ligated HIV-1 Fragment

A restriction endonuclease digestion was performed with AhdI and BsrGI (New England Biolabs) using the HIV-1 full-length plasmid pYK-JRCSF. The 381 bp long fragment (2291–2671 nt) was purified (QiAquick Gel Extraction Kit, Qiagen). 5'-adaptor (5'-GCTTCCGCCGCCCTACGC-MID-C-3') plus 5'-phosphate-MID-CTGATGCGCGAGGGAGGC-3') and 3'-adaptor (5'-GGCTTGAGCCGGCCGCTACGC-MID-C-3') plus 5'-phosphate-GTAC-MID-CTGAGGGCGGCTGCAAGGC-3') were ligated to the fragment using T4 DNA ligase (New England Biolabs). Adapter-ligated fragments were gel purified.

454 Pyrosequencing

DNA was measured using the Quant-iT™ PicoGreen® dsDNA Assay (Invitrogen) according to the manufacturer’s protocol. Equimolar DNA amounts were pooled for emulsion PCR. Pyrosequencing was performed with the GS FLX System using the GS FLX Titanium MV emPCR Kit (Lib-A) or the GS Junior System using the GS Junior Titanium emPCR Kit (Lib-A) (Roche-454 Life Sciences).

Single Genome Amplification

The frequencies of the five virus strains in the 5-virus-mix sample used for the standard PCR protocol were investigated using single genome amplification. cDNAs generated with the three RT enzymes Transcriptor RT, Transcriptor High Fidelity cDNA Synthesis Kit, M-MuLV RT, RNase H, was diluted in such a way that each amplicon was derived from one cDNA copy (a maximum of 1 of 5 PCR reactions were positive). qPCR was performed in a real-time cycler ABI7500 (Applied Biosystems) as follows: 94°C-5', 50×(94°C-15'), 55°C-30', 72°C-45' followed by a melt curve analysis in a total volume of 20 μl containing 5 nM (ROX, Invitrogen) 1.5 mM MgCl2, 0.4 mM dNTPs, 0.1×SYBR Green (Invitrogen), 0.4 μM of the oligonucleotides gag 2023 5'-GGCCGTGGAGGAATGTGGAAGG-3' (nt 2279–2549) and pol 25953c 5'-CTTGGCTATTTTTACTTGAGTACG-3' (nt 2569–2593), and 1 U JumpStart Taq Polymerase (Sigma). Nucleotide sequence analysis of single genome amplicons were performed using the BigDye® Terminator v1.1 Cycle Sequencing Kit and an ABI3130 sequencer (Applied Biosystems).

Data Analysis

The analyses of error rates for samples NGS, PCR-NGS and RT-2PCR-NGS were done separately for forward reads and reverse reads and restricted to the region nt 2281–2569 (based on HIV-1HXB2) that overlaps in all three experimental set ups. Substitutions, insertions and deletions were analyzed by aligning reads to the HIV-1HXB2 reference sequence after removal of reads with gaps of >10 nt. The alignments were computed withneedle (implementing the Needleman-Wunsch algorithm), from the software suite EMBOSS [44] and the differences were counted as errors. Analysis of PR1-8 was done on the region nt 2279–2549 (based on HIV-1HXB2). Haplotype reconstruction was performed using the software ShoRAH (Short Read Assembly into Haplotypes) [45], a tool developed to correct sequencing errors in order to reconstruct the true local variants present in the virus population. ShoRAH clusters the reads (it groups them according to their similarity) and removes the intra-cluster variation to eliminate sequencing errors. The recombinant analysis was performed using the software Recco [46]. Each read was included in a multiple sequence alignment with the five reference sequences (the viral strains in the 5-virus-mix) computed with muscle [47] and then passed to Recco. This software computes the number of “savings”, i.e., number of mismatches saved when explaining the read by a recombination event between two viral strains and mutations, rather than by a single strain and mutations only. For each sample, a histogram was produced counting the number of reads with a given number of savings. The proportion of recombinant reads was estimated on each sample by comparing the results obtained on the real reads to those results of a set of simulated reads obtained from the viral strains by substitutions only. In this analysis, reads were defined as recombinant when Recco assigns a savings value higher than two, which was the maximum value observed on the simulated reads. It is important to say that this analysis tends to underestimate the number of recombinant reads. In fact, even on datasets where all reads are recombinant, Recco reports saving values of two or less for a substantial fraction of the reads.

Acknowledgments

The authors are thankful to the NIH AIDS Research and Reference Reagent Program especially Irvin SY Chen, Yoshio Koyanagi, Malcolm Martin, Beatrice Hahn, George M. Shaw, Ronald G. Collman and Marek Fischer for providing HIV-1 full-length plasmids.

Author Contributions

Conceived and designed the experiments: FDG NB HFG KJM. Performed the experiments: FDG YD CL. Mk. Analyzed the data: FDG OZ RB KJM. Wrote the paper: FDG OZ KJM.

References


