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## **The reluctant innovator: orangutans and the phylogeny of creativity**

van Schaik, C P ; Burkart, J M ; Damerius, Laura ; Forss, Sofia ; Koops, Kathelijne ; van Noordwijk, M A ; Schuppli, Caroline

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## The reluctant innovator: orang-utans and the phylogeny of creativity

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# The reluctant innovator: orang-utans and the phylogeny of creativity

C.P. van Schaik

J. Burkart

L. Damerius

S. I. F. Forss

K. Koops

M. A. van Noordwijk

C. Schuppli

Anthropological Institute & Museum, University of Zurich, Switzerland

## Abstract

Young orang-utans are highly neophobic, avoid independent exploration, and show a preference for social learning. Accordingly, they acquire virtually all their learned skills through exploration that is socially induced. Adult exploration rates are also low.

Comparisons strongly suggest that major innovations, i.e. behaviours that have originally been brought into the population through individual invention, are made where ecological opportunities to do so are propitious. Most populations nonetheless have large innovation repertoires, because innovations, once made, are retained well through social transmission. Wild orang-utans are therefore not innovative. In striking contrast, zoo-living orang-utans actively seek novelty and are highly exploratory and innovative, probably because of positive reinforcement, active encouragement by human role models, increased sociality, and an expectation of safety. The explanation for this contrast most relevant to hominin evolution is that captive apes generally have a highly reduced cognitive load, in particular due to the absence of predation risk, which strongly reduces the costs of exploration. If the orang-utan results generalize to other great apes, this suggests that our ancestors could become more curious once they had achieved near-immunity to predation on the eve of the explosive increase in creativity characterising the Upper Palaeolithic Revolution.

## 1. Introduction

Modern human societies thrive on creativity, the disposition of individuals to systematically pursue the generation of novel ideas, products, and procedures (1). Crucially, creativity requires no external trigger such as novelty or an imminent problem that has to be solved but corresponds to an intrinsic interest in exploring and innovating. What are the historical origins of this disposition to be creative, which has led to an unprecedented rate of innovation? A convincing answer to this question is not merely of interest to behavioural biologists and comparative psychologists, but may also have direct applications in the modern world.

Traditionally, most interest in this question has come from palaeo-anthropologists (2). However, because the roots of creativity may precede the hominin lineage, the increasing interest of behavioural biologists (3, 4) and primatologists (5, 6) in animal innovation may help to complete the picture of the phylogenetic origins of human creativity. Because creativity is only one of the diverse processes that can lead to successful innovations, it is useful to examine the various circumstances under which any specific source of innovation can become prevalent. And because great apes are our closest living relatives, the study of the phylogeny of human innovation may be especially productive in this taxon. Here we report on field and captive studies of orang-utans in light of these questions.

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3 55 Innovation (as a behaviour pattern) refers to a solution to a novel problem, or a  
4 56 new solution to an old problem (7), or, more generally, to novel, learned behaviour  
5 57 patterns acquired by an individual (3, 8). If we define innovativeness as an individual's  
6 58 capacity to innovate, innovativeness can be seen as an expression of problem-solving  
7 59 ability or behavioural flexibility or variability in space or time. Comparative studies have  
8 60 shown a correlation between brain size and problem-solving ability in birds (9, 10) and  
9 61 primates (11, 12), as well as between innovativeness or brain size and colonization  
10 62 success (an expression of flexibility) in birds (13), mammals (14) and lizards (15). In  
11 63 birds, the variety of technical innovations, rather than the tendency to add food types to  
12 64 the diet (arguably representing weaker innovations, more likely among dietary or  
13 65 habitat generalists) was correlated with brain size (16). All these findings strongly  
14 66 suggest that larger-brained organisms are better at solving more (or more difficult)  
15 67 problems, and that humans, being far bigger-brained than any of their relatives, are  
16 68 likely to be even more so.

17 69 Although these correlations between innovation and problem solving and brain  
18 70 size are suggestive, they do not necessarily imply that innovation or creativity is a major  
19 71 expression of intelligence in nature, let alone that it is its main function. Field workers  
20 72 rarely witness innovations being made and rarely report that animals are truly curious  
21 73 (with rare exceptions: 17). Moreover, there are major differences between wild and  
22 74 captive animals (18, 19), discussed in detail in section 4. The comparative results  
23 75 therefore do not imply that large brain size automatically implies high innovativeness in  
24 76 nature; animals in nature may deploy their intellectual abilities mainly for different  
25 77 purposes.

26 78 The aim of this paper is to examine the conditions favouring innovativeness in  
27 79 orang-utans in order to draw conclusions about the human ancestral state. Similar  
28 80 patterns may apply to the other great apes as well, but because much critical  
29 81 information is still missing for them, our inferences for hominins must remain  
30 82 preliminary. Orang-utans are semi-solitary arboreal apes, living on the South-east Asian  
31 83 islands of Sumatra and Borneo. They are relatively and absolutely large-brained (20),  
32 84 are among the best primate problem solvers (12, 21), and have large innovation  
33 85 repertoires, especially in the subsistence and comfort domains (5, 22, 23).

34 86 After a brief terminological excursion, we will first describe skill acquisition in  
35 87 wild orang-utans. A developmental approach is essential because innovations are by  
36 88 definition behaviours that do not have a strong genetic basis and thus do not arise  
37 89 reliably during development, but must instead be acquired and may therefore  
38 90 accumulate with age. We have done extensive studies of skill development in wild  
39 91 orang-utans (24). The data yield the paradoxical result that wild orang-utans are  
40 92 novelty averse, rarely engage in independent exploration, and yet have extensive  
41 93 repertoires of learned skills, which qualify as innovations, which they acquire mostly  
42 94 through socially induced exploration. Wild orang-utans, then, appear to avoid novelty  
43 95 and rarely explore. We then turn to the results of work on orang-utans in zoos and  
44 96 rescue centres and find a striking contrast in novelty response and innovativeness. This  
45 97 so-called captivity effect or captivity bias (19) is also found in other primates, in  
46 98 particular great apes, and allows us to develop a hypothesis for the conditions that make  
47 99 an otherwise exploration-avoidant great ape into a highly exploratory, and thus  
50 100 innovative and even creative one. Finally, we apply this insight to hominin evolution.

## 51 101 52 102 53 103 **2. Innovation and its sources**

54 104 Innovations are novel, learned behaviour patterns acquired by an individual (3,  
55 105 8). They are not part of the innate repertoire, nor are they predictably triggered by  
56 106 suitable environmental or social conditions. They therefore do not arise reliably in all  
57 107 maturing individuals of a population, but are instead invented only by a (generally  
58 108 small) subset of all the individuals exposed to the set of conditions in which it can arise.

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3 109 The most obvious way to recognize innovations is to observe their origin, i.e.  
4 110 when an individual comes up with a behaviour that at that time is new for the  
5 111 population. Each particular innovation has a certain probability of appearing anew in  
6 112 suitable conditions in a given species. This probability can in principle be estimated  
7 113 through experiments (25, 26). Unfortunately, this procedure is rarely feasible, leading  
8 114 most researchers to operationalize the concept by resorting to opportunistic  
9 115 population-level criteria for innovation. The most commonly used measure is that it is  
10 116 novel for the population (3). This operationalization has been very fruitful, but  
11 117 inevitably also introduced some ambiguity in measurement and interpretation. First, the  
12 118 criterion is necessarily imprecise since it obviously depends on the size of the  
13 119 population and the duration of study, and also assumes continuous observation.  
14 120 Fortunately, taking research effort into account makes this measure more reliable, as  
15 121 evident in the persistently obtained correlations with brain size or colonization success  
16 122 mentioned above. Second, this operationalization may have produced a focus on  
17 123 novelty-induced innovations, since they may be most common nowadays, as a result of  
18 124 anthropogenic disturbance. Despite these ambiguities, comparative studies have still  
19 125 yielded clear-cut results, as we saw above, probably because studies tend to rely on  
20 126 similar criteria for rarity (3), and novelty-induced innovations may be a good measure  
21 127 of overall behavioural flexibility.

22 128 Confusion has arisen because individuals may also acquire innovations, as  
23 129 defined above, using social learning. Social learning of innovations generally leads to a  
24 130 much higher probability of acquisition than independent exploration does; this  
25 131 probability increases as the mechanisms of social learning deployed become more  
26 132 precise. While relying on social learning, individuals can therefore accumulate a large  
27 133 innovation repertoire without ever making an innovation themselves. The great  
28 134 capacity for social learning in great apes (27) makes this a particularly common  
29 135 pathway of acquisition in this lineage, especially in light of their known cultural  
30 136 repertoires, which are made up of innovations. Orang-utans are an example: they are  
31 137 good social learners (28) and have large cultural repertoires (29). Indeed, in principle,  
32 138 an individual could acquire a large repertoire of innovations without ever making a  
33 139 single innovation.

34 140 This approach has given rise to alternative ways of estimating a species'  
35 141 innovation potential. First, one can examine a population's repertoire of innovations  
36 142 using techniques similar to those developed for the recognition of cultural variation (5,  
37 143 8). Innovations should be rare and will therefore often vary among populations that are  
38 144 otherwise comparable in their environmental conditions and genetic background. A  
39 145 second way is to focus on acquisition. If innovations are acquired through social  
40 146 learning, we must see indications: selective attention and/or socially induced practice  
41 147 (30, 31). Thus, innovations can also be seen as 'learned skills,' as opposed to routine  
42 148 skills that develop reliably in all individuals of the taxon without extensive social  
43 149 learning (Schuppli et al., in prep.). The set of learned skills is broader than the set of  
44 150 innovations as traditionally defined, but as we noted above, innovations are not  
45 151 qualitatively distinct from other behaviours (since there is continuous variation in the  
46 152 probability of independent appearance) and almost certainly not represented as  
47 153 qualitatively different by the individuals in the process of acquiring their behavioural  
48 154 repertoire during development. Nonetheless, it is clear that it is only possible to  
49 155 compare studies that use similar methods to estimate the innovation repertoire of a  
50 156 given species or population.

51 157 Perhaps because of the emphasis on novelty-induced innovations, and because  
52 158 the low probability of catching the process of innovation in the act experimentally, the  
53 159 sources of independent innovation in nature, the focus of this paper, are relatively  
54 160 poorly known. Whilst there has been some interest in the cognitive processes  
55 161 underlying innovation (6, 32, 33), there is remarkably little information on what might  
56 162 be called the natural history of innovation: the contexts in which innovations arise in

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3 163 nature. Here we offer a preliminary classification of these contexts. We can use it to  
4 164 identify the main contexts used by orang-utans, and more generally to demarcate  
5 165 creativity relative to the other triggering conditions (Table 1).

6 166 Firstly, innovation may arise in response to novelty (some novel element in the  
7 167 environment: object, food item, context, organism, etc.), which usually involves some  
8 168 subsequent exploration (but note that novelty response and exploration are distinctly  
9 169 regulated motivations with distinct functions: 34). Although this pathway has been the  
10 170 focus of much attention, it may actually be rather rare in long-lived and big-brained  
11 171 species where young individuals, who potentially encounter much novelty, avoid it, and  
12 172 by the time they are adult may no longer encounter much that is novel to them (see also  
13 173 below, section 3), unless they engage in long-distance dispersal. Moreover, innovations  
14 174 that tend to arise in this way, such as incorporating novel foods in the diet, are often  
15 175 cognitively simple, and may reflect dietary generalism rather than innovative ability per  
16 176 se (16). Thus, innovative responses to novelty may not be the most important source of  
17 177 innovations in species most comparable to hominins.

18 178 Secondly, exploration may be elicited by the failure of pre-existing routines,  
19 179 which requires individuals to find new solutions to old problems and thus lead to  
20 180 innovation, as emphasised by the definition of Kummer & Goodall (7). Thus, a particular  
21 181 technique may no longer work, e.g. because the substrate has changed or the right raw  
22 182 materials are no longer available for tools, and a new way is sought to solve the same  
23 183 problem. This paradigm has most commonly been used experimentally to assess  
24 184 individual innovativeness (35) or to ask whether individuals show cumulative  
25 185 innovations or ratcheting (36, 37). Arguably, failures of pre-existing routines have  
26 186 historically been rare for most species in natural conditions, although they often have  
27 187 increased recently due to anthropogenic disturbance (38, 39). However, they may have  
28 188 become more common at one stage among our ancestors. Potts (40) links the origin of  
29 189 new hominin species and technological innovations to periods of high climate  
30 190 variability.

31 191 Thirdly, innovations may simply happen by accident, as a result of the individual  
32 192 going through a routine activity that somehow goes wrong and produces a novel result.  
33 193 For this to happen, the individual must be able to recognize the result as worth retaining  
34 194 and remember the actions that lead to it. It can therefore arise in the absence of  
35 195 exploration. This is a well-known pathway in hominins. Many of the innovations  
36 196 requiring fire, such as heat treatment of stone tools, ceramics or metallurgy, must have  
37 197 been discovered accidentally when the raw materials were exposed to a regular fire  
38 198 (41). It is unclear to what extent such accidents are a major context of innovation in  
39 199 non-humans.

40 200 Fourthly, innovation may arise as a result of exploration that was triggered  
41 201 because a clearly defined problem presented itself. For instance, an ape sees a bees' nest  
42 202 and is attracted to the smell, or has learned from previous experience (e.g. because it  
43 203 encountered pieces of honey comb) that this resource is attractive. This well-defined  
44 204 problem elicits targeted exploration, which may occasionally lead to innovation, for  
45 205 instance the use of tools to perforate the nest and extract the honey. Such situations are  
46 206 potentially common, especially in the context of subsistence. Some forms of tool use may  
47 207 have arisen this way (42).

48 208 A fifth possibility is that a general lack of access to essential resources (food,  
49 209 shelter, water, mates) may lead to systematic exploration in search of these resources.  
50 210 Systematic exploration may be required because no targeted search is possible given  
51 211 that it is not clear which environmental problems can be solved. This pathway to  
52 212 innovation is captured in the adage "necessity is the mother of invention". Whether this  
53 213 is regarded as creative depends on the weight attached to the spontaneous motivation  
54 214 of the exploration process. Possible instances include innovations made by low-ranking  
55 215 or juvenile individuals that cannot gain access to preferred resources (43-45) or by  
56 216 animals during times of food scarcity (46, 47). There is no evidence for this in orang-

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3 217 utans (42, 48), nor in chimpanzees and bearded capuchin monkeys (42), although  
4 218 others have suggested it is important among chimpanzees and capuchin monkeys (46,  
5 219 47). In the case of orang-utans, populations with more bark feeding (which happens in  
6 220 response to food scarcity) have smaller innovation repertoires (49). Thus, just because  
7 221 particular innovations would strongly improve fitness does not mean they are therefore  
8 222 made.

9 223 Finally, systematic exploration in the absence of obvious eliciting stimuli  
10 224 suggests some level of genuine curiosity: deliberate, intentional searching for a new  
11 225 behaviour pattern, in the absence of any recognised problem or general scarcity. Such  
12 226 intrinsically generated systematic exploration can be playful and spontaneous. Any  
13 227 innovations that arise in this way can be ascribed to creativity. Although creativity is  
14 228 always accompanied by exploration, in the case of humans this may be brief, as most of  
15 229 the creative process takes place in mental simulation. Although modern humans  
16 230 sometimes show this, its importance in human history is contested (50). Moreover, in  
17 231 non-verbal species it may be difficult to demonstrate that systematic exploration is not  
18 232 triggered by some need.

19 233 Overall, then, we expect accidental innovations and those that arise in response  
20 234 to a newly recognized problem (entries III and IV in Table 1) to be the most common  
21 235 pathways to innovation among large-brained, long-lived organisms such as great apes.  
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### 24 238 **3. How wild orang-utans acquire their innovations**

25 239 A young, naïve orang-utan, for whom the whole world is new, continuously  
26 240 encounters novel items. Although this could in principle lead to high rates of  
27 241 independent exploration of unfamiliar items, this is not the case. Field observations on  
28 242 orang-utans have long suggested that they avoid novelty. We therefore decided to test  
29 243 this impression experimentally in our well-habituated study populations at Suaq  
30 244 Balimbing (Sumatra) and Tuanan (Borneo), both inhabiting swamp forests. Small  
31 245 platforms in the shape of ape nests were hoisted into the canopy within the height range  
32 246 of orang-utan travel and provided with novel items, including novel foods. The results  
33 247 (51) showed that wild orang-utans, both Sumatran and Bornean, pass the novel  
34 248 stimulus at a safe distance and avoid approaching novelty for several months (Figure  
35 249 1.a). In both the Sumatran and the Bornean site only a single (adolescent) individual was  
36 250 ever recorded as contacting the novel items, despite their being available for nearly 5  
37 251 and over 8 months, respectively.

38 252 Instead of individual exploration, orang-utan infants take all their cues from  
39 253 their mother, especially during their first few years of life. Peering at close range at the  
40 254 mother's activities results in interest and subsequent practice (31). Begging plays the  
41 255 same role in food selection (52). Exploration during the pre-weaning years is therefore  
42 256 predominantly targeted at the resources already exploited by the mother. Accordingly,  
43 257 infants largely 'inherit' their mother's diet, even if different females in the same area eat  
44 258 different diets (31), and populations in the same habitat on opposite sides of an  
45 259 impassable river show marked differences in their non-fruit diets (23). After weaning,  
46 260 when maturing individuals begin to range more independently, they still often associate  
47 261 with others and learn from them when they can, but there is also some level of  
48 262 independent exploration. By the time individuals reach adulthood, this has reached  
49 263 extremely low levels of around 1 event per day or less, and in effect only occurs when  
50 264 they are in association (Figure 2; based on Schuppli et al. in prep.). Especially in Borneo,  
51 265 this means exploration has virtually ceased. Moreover, most of the remaining  
52 266 independent exploration can be seen as variation on a theme, where the theme was set  
53 267 by experience, which in turn arose largely as a result of socially induced exploration and  
54 268 skill acquisition. Wild orang-utans therefore show very little evidence of curiosity,  
55 269 except, as noted above, when cued by social information.  
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3 270 These results help us to evaluate the pathways suggested in table 1 for the case  
4 271 of orang-utans. They strongly avoid novelty, eliminating context I. We have not found  
5 272 any examples of context II, which we expected to be rare. The overall scarcity of  
6 273 exploration is consistent with the idea that innovations are rarely induced by necessity  
7 274 (context V), as also suggested by earlier comparisons reviewed above. This may appear  
8 275 surprising but when it comes to food resources, it is not unexpected. During times of  
9 276 great scarcity, orang-utans are in energy-saving mode. They minimize movement and  
10 277 focus on fall-back foods (53). The rarity of spontaneous exploration also argues against  
11 278 context VI, true curiosity.

12 279 Additional comparisons among orang-utans, chimpanzees and capuchins (42)  
13 280 strongly suggest that feeding innovations often concern the most nutritious and thus  
14 281 most favoured food sources. In fact, most of the cognitively more demanding feeding  
15 282 innovations mainly concern high-quality foods (39, 42), with few exceptions (46), thus  
16 283 suggesting that opportunistically encountered, clearly defined problem situations  
17 284 triggered innovations (as per context IV in Table 1). Moreover, their presence is  
18 285 predicted by a null-model that links the specific innovation to the opportunities for it to  
19 286 happen, based on the frequency of encounters between the animal and the appropriate  
20 287 context (42).

21 288 Along with the near-absence of exploration, these correlations suggest that the  
22 289 majority of innovations arise accidentally or opportunistically (contexts III and IV in  
23 290 Table 1), at least when it comes to subsistence. For now, this conclusion must remain  
24 291 based on plausibility, since it is difficult to conduct the relevant experiments: examining  
25 292 exploration and innovation rates by individuals facing extreme scarcity or finding  
26 293 themselves in novel habitats (or both).

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#### 29 296 **4. Orang-utans in zoos and rescue centres**

30 297 The same study that examined responses to novelty in wild orang-utans was  
31 298 repeated with zoo animals using the same and very similar sets of novel items (51). The  
32 299 contrast was striking (Figure 1.b). Zoo orang-utans approached novel objects with the  
33 300 same latency as familiar ones, i.e. within seconds rather than months. Thus, zoo orang-  
34 301 utans showed no neophobia.

35 302 In other work, we attempted to use the same criteria for innovations as used in  
36 303 the work on wild orang-utans (5). Lehner et al. (54) found that zoo orang-utans have far  
37 304 larger innovation repertoires in comparison with their wild counterparts, even though  
38 305 they had far fewer generations to assemble it. Rehabilitant orang-utans (ex-captives that  
39 306 are being cared for by humans in the enriched conditions of rescue centres and are often  
40 307 trained for release into the wild) are very similar to zoo orang-utans in their response to  
41 308 humans and their general lack of neophobia (L. Damerius, unpubl.). Indeed, the  
42 309 innovation repertoires of rehabilitants when in natural habitats significantly exceed  
43 310 those of wild populations with comparable intensity of observations (Figure 3, taken  
44 311 from data tabulated in ref. 22).

45 312 The large size of these innovation repertoires strongly suggests unusual  
46 313 innovation rates amongst zoo and rehabilitant orang-utans. Zoo orang-utans, when  
47 314 provided with the conditions in which orang-utans in some wild populations show  
48 315 particular innovations, not only tended to independently reinvent these same  
49 316 innovations, but also quickly produced several additional variants not seen in nature  
50 317 (54). Similarly, in a study of water use by rehabilitant orang-utans kept on an island in a  
51 318 river, Russon et al. (6) found that they produced various innovations that have never  
52 319 been seen in the wild and are highly unusual for a species that normally avoids deep and  
53 320 flowing water. These results suggest that this difference is not merely due to reduced  
54 321 neophobia among the captive orang-utans, but also to more thorough exploration of the  
55 322 kind akin to curiosity-driven creativity (context VI in Table 1).



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3 323 It is important to assess whether the orang-utan pattern also holds for other  
4 324 primate species, in particular other great apes. Do they also show high levels of  
5 325 neophobia and low levels of independent exploration in the wild, but show much less  
6 326 neophobia and more exploration in captivity? If so, one can reasonably assume that our  
7 327 hominin ancestors showed similar predispositions too.

8 328 A generally more neophobic response to novel foods and novel objects in the  
9 329 wild compared to captivity is not restricted to orang-utans, but has been reported for  
10 330 many nonhuman primates (55, 56), a finding recently replicated for spotted hyaenas  
11 331 (57). In fact, the only species systematically benefiting from approaching novel items  
12 332 may be vagrant species (which therefore often encounter novel situations and items)  
13 333 lacking opportunities to acquire social information, or species living in risk-free  
14 334 habitats. Keas (*Nestor notabilis*), for instance, are parrots living in high mountains in  
15 335 New Zealand in a predator-free habitat. They show true neophilia (17).

16 336 Wild chimpanzees are conservative and unwilling to taste novel foods (58), but  
17 337 many readily accept novel food in captivity (59), as in orang-utans. When presented  
18 338 with novel food items, captive chimpanzees were even more hesitant compared to  
19 339 gorillas and orang-utans, and more frequently observed their conspecifics handling the  
20 340 novel items (60). Captive chimpanzee infants are neophobic toward novel foods and pay  
21 341 attention to their mothers before ingesting it (61), suggesting the same pattern in the  
22 342 wild.

23 343 Less information is available for exploration than novelty response, and whether  
24 344 captive individuals engage more in independent exploration compared to their wild  
25 345 counterparts, as has been shown for the orang-utans (51). However, the contrast  
26 346 between wild and captive individuals reported for orang-utans holds for several species  
27 347 with regard to innovations. Reader & Laland (62) noted that innovations are relatively  
28 348 more common among zoo-living primates compared to the wild. Many primate species  
29 349 can learn to use or even make tools in captivity that would never do anything like it in  
30 350 the wild (63), and this effect may be found in non-primate species too (19, 64). Captive  
31 351 individuals are better problem solvers in many species of mammals (57, 65, 66) and  
32 352 birds (64, 67).

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## 36 355 **5. Explaining the contrast**

37 356 The unexpectedly large contrast between wild and captive orang-utans, and  
38 357 presumably other great apes as well, could reflect increased response to novelty,  
39 358 increased exploration, more effective exploration, or some combination thereof. We will  
40 359 discuss variation in novelty response and exploration, and also compare the results  
41 360 reviewed above with those found in other species.

42 361  
43 362

### 44 362 (a) Novelty response

45 363 Given the risk of responding to novelty, individuals should generally benefit  
46 364 from avoiding it, provided they can acquire the relevant information otherwise. Thus,  
47 365 for species and individuals with access to reliable social information it is adaptive to be  
48 366 neophobic (in the functional sense of the term). Indeed, species with long life  
49 367 expectancy, for which the risk of injury or even death are weighted more seriously,  
50 368 should with rare exceptions routinely rely on social information. The data on orang-  
51 369 utans fit this prediction, but so does work on other primates. First, observations on  
52 370 infants closely following adults and paying special attention to adults' activities are  
53 371 reported for many primate species in the wild, from lemurs (68) to macaques (69) to  
54 372 chimpanzees (70). Similar observations are reported for other mammals (71) and birds  
55 373 (72, 73), as well as for captive primates (74). Second, experiments show that naïve  
56 374 individuals lose their neophobia when accompanied or provisioned by others, who may  
57 375 or may not be more knowledgeable (75-77), a finding replicated among orang-utans  
58 376 (61, 78).

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3 377 The widespread preference for social information suggests that the role of  
4 378 novelty response in producing innovations may well have been overestimated (79). If  
5 379 individuals can rely on social information when immature, they do not need to respond  
6 380 to novelty. Thus, novelty response is unlikely to have been a major source of innovation  
7 381 amongst many non-human primates (4, 33, 51, 80).

8 382 In captive orang-utans novelty response may well be a major source of  
9 383 innovation, showing that it can be elicited under the right circumstances. The reduction  
10 384 in neophobia seen in zoo-living orang-utans is best explained by the presence of human  
11 385 keepers that act as trusted role models in the same way that parents or other  
12 386 conspecific caretakers do in nature (51). In effect, then, the captive animals are still  
13 387 relying on social information. In addition, the absence of any negative reinforcement of  
14 388 responses to novelty of all kinds in zoo settings no doubt also contributes to the erosion  
15 389 of neophobic tendencies.

16 390 Nonetheless, novelty response alone is unlikely to explain the observed captivity  
17 391 effect, because of the major differences in how and how much animals explore,  
18 392 discussed next.

#### 19 393 20 394 (b) Exploration tendency

21 395 Our data suggest that wild orang-utans are loath to explore. This can be  
22 396 explained by the costs it entails. Firstly, it may entail immediate risks, as when  
23 397 potentially poisonous or dangerous prey or substrates are explored. Secondly,  
24 398 exploration, especially when ultimately unsuccessful due to limited cognitive abilities,  
25 399 entails an opportunity cost, in that it can waste time and energy. Thirdly, and  
26 400 presumably most importantly, the attention devoted to exploration may compete with  
27 401 other vital activities, such as attention to predators or hostile conspecifics (81, 82).

28 402 We can therefore develop predictions based on variation in the external  
29 403 (ecological) and internal (life history, niche, age, etc.) factors affecting this trade-off  
30 404 between predation risk and exploration, based on earlier work on the deployment of  
31 405 exploration (62) and social learning (83). However, in many cases, it will be difficult to  
32 406 disentangle the effects of novelty response from those of exploration, because studies of  
33 407 exploration inevitably tend to involve at least some novel elements. The predictions that  
34 408 can be made end up being quite similar to those for novelty response. In general,  
35 409 animals should avoid exploration if they can, unless they cannot afford to because they  
36 410 lack vital skills or resources or unless the risk is so low that it is outweighed by the  
37 411 benefits of learning. Social information should be sought whenever possible, because  
38 412 relying on it is faster and more efficient.

39 413 The negligible rate of independent exploration amongst wild orang-utans fits  
40 414 these predictions. The larger innovation repertoires and more complex innovations  
41 415 generally seen among orang-utans living in zoos or rescue centres suggests greatly  
42 416 increased exploration relative to the wild. Zoo-living orang-utans are well-known  
43 417 problem-solvers, including extensive use of tools (84), and can be coaxed into producing  
44 418 innovations that go well beyond the range observed in the wild (37). Likewise, Russon  
45 419 (85, 86) describes the persistence and patience with which recently reintroduced, ex-  
46 420 rehabilitant orang-utans in Borneo try to establish effective feeding techniques.

#### 47 421 48 422 c) Captivity effect

49 423 Various explanations have been offered for the captivity effect (7, 19, 57, 65).  
50 424 One hypothesis emphasizes that humans may act as role models for the animals in  
51 425 captivity (22, 51). Another stresses that animals in captivity have more leisure time due  
52 426 to the absence of predators and because they don't have to forage for their needs (87).  
53 427 We interpret this 'free-time' hypothesis to imply that captivity provides individuals the  
54 428 opportunity to give a task undivided attention for a prolonged period of time, both for  
55 429 independent exploration as well as for exploration triggered by human role models. In  
56 430 nature animals have a higher cognitive load: they are continuously distracted by various  
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3 431 tasks that need to be attended to and coordinated as well as plans that need monitoring.  
4 432 Among these tasks, predator vigilance is perhaps most important in many species.  
5 433 Because attention is a limited resource, vigilance interferes with the animals' ability to  
6 434 give a particular task their prolonged, undivided attention (57, 65, 82).

7 435 Each species therefore should have an optimum time allocation to exploration,  
8 436 which will largely depend on its safety level. Species living at lower risk of predation  
9 437 should therefore be able to solve more problems or solve the same problem faster than  
10 438 species with lower levels of safety and thus higher levels of necessary vigilance. Thus, all  
11 439 other things being equal, we may expect relatively more prolonged exploration, and  
12 440 thus innovation, in larger rather than smaller species, in arboreal rather than terrestrial  
13 441 species, or species with large groups rather than living solitarily, and in species with  
14 442 sentinels rather than those lacking them. Although these predictions have not yet been  
15 443 tested systematically, some observations support them. For instance, keas do not just  
16 444 lack neophobia, they are also extremely exploratory (17), whereas a comparative study  
17 445 on over 60 parrot species revealed, amongst other results, that parrots on islands are  
18 446 generally less neophobic than their mainland counterparts (88).

19 447 Obviously, brain size should be linked to attention span as well: it does not pay  
20 448 to evolve extensive problem-solving abilities if these can never be used. Even if a species  
21 449 relies nearly exclusively on social learning to acquire its skills, this still requires  
22 450 reasoning capacities and prolonged undivided attention because social learning involves  
23 451 many of the same basic cognitive abilities as asocial learning does (89, 90).

## 24 452 25 453 26 454 27 28 **6. How about our ancestors?**

29 456 It has often been noted (e.g. 91) that the rate of change in human technology was  
30 457 remarkably slow, at least when assessed based on the visible parts of the palaeo-  
31 458 archaeological record (i.e. stone artefacts). This strongly suggests that for a long time  
32 459 hominins were very similar to great apes, although at some point in pre-history the rate  
33 460 of change picked up markedly. It also suggests that the ape strategy may also still be  
34 461 common in humans. Indeed, the default strategy of learning by human children remains  
35 462 copying if it is available, even if it is unreliable (92). The same conclusion follows from a  
36 463 tournament organized by Rendell et al. (93), in which the aim was to acquire as much  
37 464 adaptive behaviour as possible in a complex environment. The most successful  
38 465 strategies focussed quite heavily on social learning, and only switched to independent  
39 466 exploration when they could observe no useful innovations from others. The  
40 467 tournament was competitive, and social learning was only possible because agents  
41 468 inadvertently demonstrated the most effective techniques. Thus, if teaching would be  
42 469 added, there would even be less incentive to engage in costly independent exploration.

43 470 Routine reliance on copying and avoidance of independent exploration (unless  
44 471 socially induced) may therefore have been the basic ancestral state in humans as well  
45 472 (50). As with apes, then, the default human state is a preference for social learning.  
46 473 Innovations can nonetheless accumulate in a population over time because of the  
47 474 combination of various other pathways to innovation (Table 1) and effective social  
48 475 transmission, especially when teaching is involved.

49 476 The number of innovations, in terms of both complexity and diversity of  
50 477 artefacts, gradually increased, but especially during the Upper Palaeolithic Revolution  
51 478 and even more clearly since the Neolithic (94). One explanation for this increase is that  
52 479 it was merely an effect of increased population size. Thus, even if innovations continue  
53 480 to be produced by processes other than creativity, larger populations will show more  
54 481 and more complex innovations, which may create a positive feedback loop without any  
55 482 systematic exploration (50, 95). However, an alternative, or non-exclusive additional,  
56 483 possibility is that the reluctant explorer was turned into a curious and creative explorer  
57 484 under particularly safe conditions (Table 1: necessity-induced [V] or creative [VI]).

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3 485 The striking captivity effect in orang-utans and other primates may help to  
4 486 explain whether the explosion in cultural complexity was due to creativity or more  
5 487 passive processes. The disappearance of neophobia and the strong increase in  
6 488 exploration among captive orang-utans allows creativity to blossom. This shift is  
7 489 analogous to becoming a top predator, which frees up the mind to explore. This may  
8 490 have happened gradually. Some technological innovation, such as the appearance of  
9 491 stone-tipped weapons or spear-throwers on the eve of the Upper Palaeolithic (96, 97),  
10 492 may finally have turned our ancestors into the top predators in their ecological  
11 493 communities, and so made them virtually immune to predation. The timing of this  
12 494 change corresponds to a major leap in the complexity and diversity of technology  
13 495 known as the Upper Palaeolithic Revolution. Even if it was more gradual than previously  
14 496 assumed (98), there was still a remarkable increase in the rate of cultural change, which  
15 497 has never slowed down since.

16 498 This scenario of reduced predation risk as the engine of creativity is plausible.  
17 499 For instance, when people experience the so-called flow and are at their most creative,  
18 500 they are totally oblivious to distractions in the environment (1). Thus, these flow  
19 501 experiences are only possible when not under high predation pressure or other  
20 502 distracting concerns. However, although absence of predation risk is a necessary  
21 503 condition for such concentrated creativity, it is not enough without there being clear  
22 504 incentives for the curious individuals to engage in systematic exploration. Individuals  
23 505 may have begun benefitting from trade based on the appearance of specialization, which  
24 506 in turn is linked to the number and complexity of learned skills needed in daily life.  
25 507 Alternatively, it is possible that at some stage, due to the establishment of cooperative  
26 508 breeding (99), joint innovation became favoured (100).

27 509 Regardless of when exactly human creativity began to flourish, it seems safe to  
28 510 conclude that the virtual absence of creativity in wild orang-utans and many other  
29 511 species indicates that our ancestors were not creative until relatively recently. In fact,  
30 512 the results provide some support for the alternative view that until quite recently the  
31 513 feedback between constant rates of accidental innovation and demography provides a  
32 514 plausible alternative to enhanced creativity for changes in human cultural complexity.  
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**Table 1.**

The natural history of innovation in large-brained and long-lived species such as great apes: contexts in which novel behaviour patterns may arise.

<i>No.</i>	<i>Eliciting factor</i>	<i>Mechanism</i>
I- novelty-induced	Novelty	Little or no exploration needed; hence, can only explain simple innovations
II- failure-induced	Failure of specific pre-existing routine induces persistent exploration	Individuals are required to find new solution to old problem, which induces persistent targeted exploration of well-defined problem space
III-accidental	Routine behaviour accidentally leads to innovation	Routine behaviour in absence of novelty, concrete problem or exploration; merely requires recognizing the innovation and remembering the procedures
IV-problem-recognition	Recognizing a novel problem, e.g. the presence of familiar but inaccessible food items	Recognition of the problem and goal-directed exploration and solving of a well-defined problem
V- necessity-induced	Systematic exploration driven by general need (absence of food, shelter, etc)	Extrinsically motivated exploration and innovation of undefined problem space
VI- creative	Systematic exploration in absence of specific problem or novelty	Intrinsically motivated exploration and innovation (curiosity), perhaps playful.

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3 524 **Figure Legends**  
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5 526 **Figure 1.**

6 527 Mean latency to first contact by individuals in novel object tests on wild Sumatran  
7 528 (Suaq) and Bornean (Tuanan) orang-utans (a), and Sumatran orang-utans living in zoos  
8 529 in Zurich and Frankfurt (b). Note the logarithmic scale. Note that in each of the wild  
9 530 populations only a single individual ever made contact with the novel objects until the  
10 531 end of the study several months later, whereas the latencies in the zoos refer to means.  
11 532 Thus, the true difference is even greater than suggested by the figure. After Forss et al.  
12 533 (2015).  
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15 536 **Figure 2.**

16 537 Rates of exploration per hour in individual orang-utans of different ages at Suaq  
17 538 Balimbing, Sumatra. Exploration differentiates between socially induced (closed  
18 539 symbols) and spontaneous exploration (open symbols). Note that infant exploration is  
19 540 always socially induced. Definitions follow Jaeggi et al. (2010). After data collected by C.  
20 541 Schuppli (in prep.).  
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23 544 **Figure 3.**

24 545 Innovation repertoires of 4 wild populations of orang-utans compared to those of 4  
25 546 rehabilitant or recently introduced populations. The data are taken from Russon et al.  
26 547 (2009). Notice that the wild populations had many generations to produce the  
27 548 repertoires whereas the ex-captives had only one or at most two, because most  
28 549 individuals were caught as young infants who had virtually no learned skills.  
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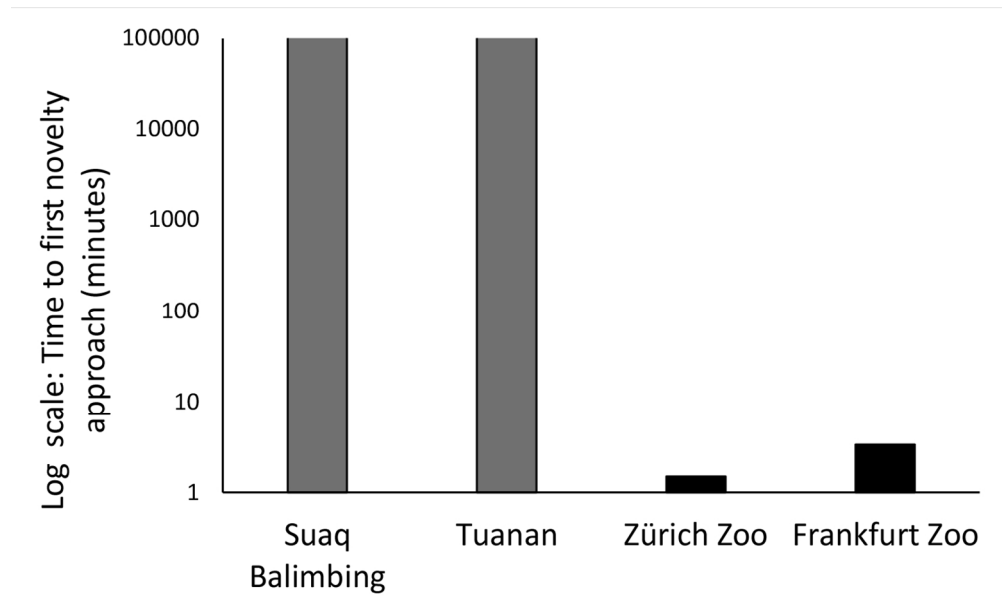
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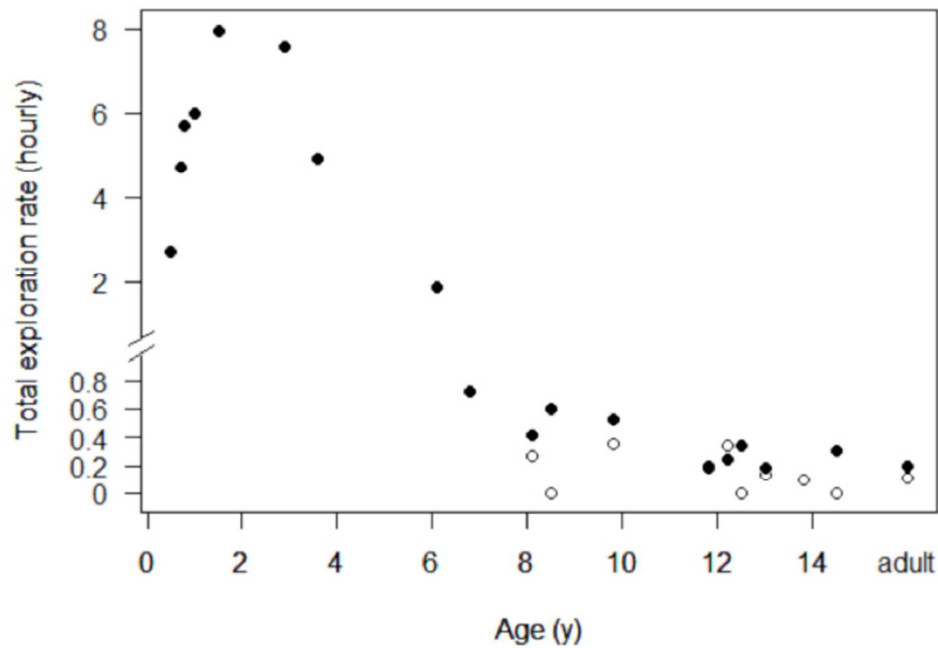
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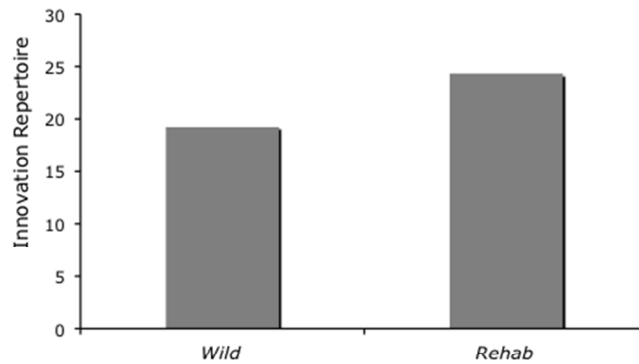


Mean latency to first contact by individuals in novel object tests on wild Sumatran (Suaq) and Bornean (Tuanan) orang-utans (a), and Sumatran orang-utans living in zoos in Zurich and Frankfurt (b). Note the logarithmic scale. Note that in each of the wild populations only a single individual ever made contact with the novel objects until the end of the study several months later, whereas the latencies in the zoos refer to means. Thus, the true difference is even greater than suggested by the figure. After Forss et al. (2015).  
125x76mm (300 x 300 DPI)



Rates of exploration per hour in individual orang-utans of different ages at Suaq Balimbing, Sumatra. Exploration differentiates between socially induced (closed symbols) and spontaneous exploration (open symbols). Note that infant exploration is always socially induced. Definitions follow Jaeggi et al. (2010). After data collected by C. Schuppli (in prep.).  
255x182mm (72 x 72 DPI)

Only



Innovation repertoires of 4 wild populations of orang-utans compared to those of 4 rehabilitant or recently introduced populations. The data are taken from Russon et al. (2009). Notice that the wild populations had many generations to produce the repertoires whereas the ex-captives had only one or at most two, because most individuals were caught as young infants who had virtually no learned skills.

254x190mm (72 x 72 DPI)

Only

**Table 1.**

The natural history of innovation in large-brained and long-lived species such as great apes: contexts in which novel behaviour patterns may arise.

<i>innovation type</i>	<i>Eliciting factor</i>	<i>Mechanism</i>
I- novelty-induced	Novel object or situation	Often little or no exploration needed; hence, can only explain simple innovations
II- failure-induced	Failure of specific pre-existing routine induces persistent exploration	Individuals are required to find new solution to old problem, which induces persistent targeted exploration of well-defined problem space
III-accidental	Routine behaviour accidentally leads to innovation	Routine behaviour in absence of novelty, concrete problem or exploration; merely requires recognizing the innovation and remembering the procedures
IV-problem-recognition	Recognizing a novel problem, e.g. the presence of familiar but inaccessible food items	Goal-directed exploration and solving of a well-defined problem
V- necessity-induced	General need (absence of food, shelter, etc)	Extrinsically motivated systematic exploration and innovation of undefined problem space
VI- creative	Curiosity in absence of specific problem or novelty	Intrinsically motivated exploration and innovation (curiosity), perhaps playful.