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Year: 2017

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DOI: <https://doi.org/10.1007/s00018-017-2509-x>

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ZORA URL: <https://doi.org/10.5167/uzh-144519>

Journal Article

Accepted Version

Originally published at:

Weiss, G Adrienne; Hennet, Thierry (2017). Mechanisms and consequences of intestinal dysbiosis. *Cellular and Molecular Life Sciences*, 74(16):2959-2977.

DOI: <https://doi.org/10.1007/s00018-017-2509-x>

# **Mechanisms and consequences of intestinal dysbiosis**

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**Running title:** Intestinal dysbiosis

## **Acknowledgements**

This work is funded by the Swiss National Science Foundation grant CRSII3\_154488/1.

## **Abstract**

The composition of the gut microbiota is in constant flow under the influence of factors such as the diet, ingested drugs, the intestinal mucosa, the immune system, and the microbiota itself. Natural variations in the gut microbiota can deteriorate to a state of dysbiosis when stress conditions rapidly decrease microbial diversity and promote the expansion of specific bacterial taxa. The mechanisms underlying intestinal dysbiosis often remain unclear given that combinations of natural variations and stress factors mediate cascades of destabilizing events. Oxidative stress, bacteriophages induction and the secretion of bacterial toxins can trigger rapid shifts among intestinal microbial groups thereby yielding dysbiosis. A multitude of diseases including inflammatory bowel diseases but also metabolic disorders such as obesity and diabetes type II are associated with intestinal dysbiosis. The characterization of the changes leading to intestinal dysbiosis and the identification of the microbial taxa contributing to pathological effects are essential prerequisites to better understand the impact of the microbiota on health and disease.

## **Keywords**

bacteria, cytokine, mucin, oxidative stress, bacteriophage, bacteriocins, necrotizing enterocolitis, cancer

## Introduction

The gut microbiota can be viewed as an actual body organ contributing to the well-being of the host organism. The trillions of microbes colonizing the gastrointestinal tract influence local and systemic processes such as nutrient transformation [1], vitamin supply [2], maturation of mucosal immunity [3, 4], gut-to-brain communication [5], and even tumor progression [6]. Like other organs, the proper function of the gut microbiota relies on a stable cellular composition, which in the case of the human microbiota consists mainly of bacteria from the phyla Bacteroidetes, Firmicutes, Actinobacteria, and to a lesser extent Proteobacteria [7]. Large shifts in the ratio between these phyla or the expansion of new bacterial groups lead to a disease-promoting imbalance, which is often referred to as dysbiosis. A reduction of microbial diversity and outgrowth of Proteobacteria are cardinal features of dysbiosis [8, 9]. A growing number of diseases is associated with intestinal dysbiosis, which in some cases contributes to disease development or severity. Dysbiosis is a hallmark of inflammatory bowel diseases (IBD) such as ulcerative colitis and Crohn's disease [10], but also metabolic disorders [11], autoimmune diseases [12], and neurological disorders [13]. Dysbiosis can trigger disease in the first weeks of life as observed in necrotizing enterocolitis [14], during adulthood through the promotion of colorectal cancer [15], or in elderly people as exemplified by *Clostridium difficile*-associated diarrhea [16].

Unlike infectious microbes, the pathogenicity of specific intestinal bacteria cannot be established through the application of Koch's Postulates given that a major fraction of the microbiota cannot be isolated as pure culture. Therefore, the pathogenic implication of specific microbes in a disease largely relies first on the identification of shifted bacterial populations based on high-throughput DNA sequencing of conserved 16S rRNA genes [17]. The replication of a disease through the transplantation of the gut microbiota from a diseased animal to a healthy one is often used in a second step to confirm the contribution of intestinal dysbiosis to disease. Microbiota transplantation demonstrated the contribution of intestinal microbes, among others, to obesity [18] and atherosclerosis [19] in mice. Nevertheless, despite strong evidence gained from 16S rRNA sequencing and microbiota transplantation, the culpability of specific bacterial groups enriched in a disease state often remains circumstantial. Instead of being true offenders, suspected bacteria can just be bystanders to real pathogens that remain below the threshold of current detection techniques. The extensive mutualism prevailing in the intestinal microbiota strengthens connections between offenders and bystanders. Bacteria producing a broad range of digestive enzymes frequently cross-feed other bacteria harboring limited foraging abilities [20]. Increased liberation of nutrients may promote the parallel outgrowth of harmless and harmful bacteria. Furthermore, the borderline between good and evil is often blurred given that some symbiotic bacteria may become pathogenic when present in larger numbers in the gut. Such bacteria, referred to as pathobionts [21], may be

difficult to recognize when their expansion occurs simultaneously to other changes in the gut microbial composition. Beyond the assignment of guilt by association, the discovery of the mechanisms underlying the shifts of microbial groups is instrumental to understand the processes leading to dysbiosis. Accordingly, the identification of factors causing strong shifts in the gut microbiota is pivotal to devise strategies aimed at preventing intestinal dysbiosis.

### **Between resilience and fragility**

Several exogenous and endogenous factors affect the microbial composition of the intestine. The resulting effects range from transient to long-lasting and these effects can scale from harmless to harmful. Often, a single factor is not sufficient to induce dysbiosis as the gut microbiota has an intrinsic resilience, a capacity to adapt to variations in nutrient availability and changing environmental conditions. The combined actions of several factors by contrast can move microbial groups to a tipping point, which eventually burst to vast shifts of pathological significance. The main factors influencing the composition of the gut microbiota are the diet, various drugs, the intestinal mucosa, the immune system, and the microbiota itself. Moderate shifts in microbial composition can then provide a window of opportunity for other aggravating factors to amplify changes in specific bacterial groups to the point of imbalance. Oxidative stress, bacteriophages, and bacteriocins are typical factors exacerbating shifts of the microbiota to the point of dysbiosis (Figure 1).

The threshold required to trigger dysbiosis largely depends on the bacterial groups affected. Broad shifts in the main phyla Bacteroidetes and Firmicutes may remain without pathologic consequence, whereas increased amounts of marginal groups may wreak havoc. For example, *Enterobacteriaceae* normally represent a minor fraction of the gut microbiota [22]. Bacteria from the family of *Enterobacteriaceae* can expand rapidly consecutive to changes in oxidative conditions of the gut such as occurring during inflammation [9, 23]. Because of the pyrogenicity of *Enterobacteriaceae* lipopolysaccharide (LPS), outgrowth of this bacterial family usually intensify an ongoing inflammatory response.

In addition to the main factors introduced here above, additional parameters such as temperature, atmospheric pressure, and oxygen partial pressure also influence the microbial composition of the gut. For example, the exposure of mice to a low temperature of 6°C increased intestinal Firmicutes levels at the cost of Bacteroidetes and the resulting cold-adapted microbiota increased energy expenditure [24]. The relative abundance of Firmicutes was also increased in human subjects living at high altitudes [25], although the impact of altitude on the microbiota may be difficult to distinguish from the effects of lower ambient temperatures and different dietary habits. A group of mice embarked on a 13-day space flight on the Space Shuttle Atlantis yielded the first data about the gut microbiota in micro-gravity. The analysis of microbial composition revealed only little changes at the

phylum level, but some variations in Clostridiales and Lactobacillales at the order level [26]. Space flight also caused loss of body weight and decreased water intake, meaning that these alterations may also account for the changes in the gut microbiota reported.

## **Nutrition**

The diet is a major element affecting the intestinal microbiota. Natural variations in food intake cause transient changes in microbial composition, although predominant components such as meat, fish, and fibers have durable effects on the microbiota and leave typical signatures characterized by shifts in specific bacterial groups [27]. Changing food composition as well as food shortage or oversupply affect the gut microbiota. The absence of nutrients in the gut occurring in parenteral feeding increases the levels of Proteobacteria, which promote inflammation at the mucosal wall and eventually cause a breakdown of the epithelial barrier [28]. Excess supply of nutrients leads to obesity, which is associated with dysbiosis and inflammatory metabolic disorders. Obesity is characterized by decreased microbial diversity [29] and over-representation of Firmicutes (Figure 2) as observed in *ob/ob* mice [18, 30] and in obese humans [31, 32]. A lower ratio of Bacteroidetes to Firmicutes results in a higher release of LPS into the circulation [33]. Higher LPS levels contribute to a state of chronic low-grade inflammation occurring in obesity (Figure 2 A). In mice, elevated levels of circulating LPS initiate weight gain and up-regulate markers of inflammation to a similar extent as a high-fat diet [34]. Metabolic endotoxemia is further enhanced by increased permeability of the gut wall that is caused by a high-fat/high-sugar diet through increasing levels of adherent-invasive *Escherichia coli*, which infiltrates the intestinal epithelium thereby decreasing mucus thickness [35, 36]. The diet usually is a combination of protein, fat and carbohydrates, and therefore, the isolated effect of each macronutrient on the microbiota *in vivo* is not easily determined. But diets rich in one or two of these types of food provide valuable clues about their respective influences.

## **Proteins**

In the long term, high uptake of animal proteins, amino acids and fats increases the relative amounts of *Bacteroides*, whereas low protein and elevated carbohydrate ingestion raises *Prevotella* levels [37, 38]. But short-term bursts of high-protein intake do not necessarily yield the same effects. In obese men, the consumption of a protein-rich diet did not affect the abundance of *Bacteroides*, but the *Roseburia/Eubacterium rectale* group of bacteria was reduced probably due to lower carbohydrate intake [39]. In rats, feeding with a high-protein diet is associated with lower contents of *Clostridium* species and *Faecalibacterium prausnitzii*, while *Bacteroides* do not increase in parallel [40]. Whereas the microbial changes induced by high-protein consumption are rather moderate, the changes in fermentation products are more evident. A high-protein diet increases the production of branched-

chain fatty acids, but also the production of potentially toxic substances such as sulfide, ammonia and N-nitroso compounds [27, 39, 41]. With an excess dietary intake of protein and amino acids, also the synthesis of nitric oxide increases [42]. This antimicrobial product strongly influences the gut microbiota, and increased NO levels measured in obese patients likely contribute to the development of an obesity-associated microbiota [42-44].

### **Fats**

A high fat intake induces remarkable changes in the gut microbiota composition. The overall diversity decreases together with the relative abundance of Bacteroidetes, whereas the relative abundance of Firmicutes increases [45]. Even structural features such as the degree of fatty acid saturation imprint the microbiota. Feeding unsaturated fats to mice increased Actinobacteria, lactic acid bacteria and *Akkermansia muciniphila* creating a microbial composition that protected from weight gain and white adipose tissue inflammation [46]. Interestingly, feeding mice with saturated fat resulted in a higher production of LPS and higher activation of Toll-like receptor (TLR)-4 and TLR2 than feeding with unsaturated fat [46].

High-fat diet also influences the gut microbiota indirectly by increasing the pool of bile acids. After emulsification of dietary lipids, the majority of bile acids is reabsorbed in the distal ileum. Non-absorbed bile acids strongly influence the microbial growth by creating an environment of low pH and strong antimicrobial activity [47]. Feeding rats with cholic acid leads to a microbial composition resembling the obesity pattern of low Bacteroidetes to Firmicutes ratio [48]. Considering the stimulatory effect of high-fat diet on bile acids in the large intestine in mice [49], bile acids likely contribute to the impact of high fat intake on obesity-related dysbiosis. Furthermore, bile acids are signaling molecules binding to the nuclear hormone farnesoid X receptor (FXR) and the G-protein-coupled bile acid receptor TGR5. Binding to FXR not only regulates bile acid synthesis but also influences lipid, glucose and energy homeostasis [50]. In the liver, FXR inhibits the induction of the sterol regulatory element-binding protein SREBP1c, thus inhibiting lipogenesis and decreasing the risk of steatosis. TGR5 signaling induces the production of glucagon-like peptide (GLP)-1 in the intestine which improves insulin sensitivity. By increasing mitochondrial activity in brown adipose tissue and oxidative phosphorylation in muscle, TGR5 activation also elevates energy expenditure [51, 52]. Gut bacteria regulate bile acid receptor signaling by converting primary bile acids into secondary bile acids that show different binding affinities. Especially bacteria of the phylum Firmicutes have 7 $\alpha$ -dehydroxylation activity to turn cholic and chenodeoxycholic acid into deoxycholic and lithocholic acids, which have a lower binding affinity for FXR, but a higher affinity for TGR5 [53] (Figure 2 D).

## **Fibers**

Fibers have a direct effect on the microbiota by reaching the colon due to their indigestibility and feeding microbial fermentation. A diet rich in plant polysaccharides promotes the growth of Bacteroidetes over Firmicutes [54]. Interestingly, though, a gut microbiota with an increased Firmicutes to Bacteroidetes ratio has a higher capacity to extract energy from the diet by providing more enzymes for the breakdown of dietary polysaccharides [18, 55], thereby increasing the uptake of monosaccharides and short chain fatty acids (SCFA) by the intestinal mucosa. This process maximizes nutrient utilization but in case of excess food supply also maximizes energy storage. Microbially-released monosaccharides are transferred to the liver via the portal vein and activate the carbohydrate response element-binding protein ChREBP, leading to increased transcription of several genes involved in *de novo* hepatic lipogenesis [56, 57], thus augmenting lipid transfer to fat stores in peripheral tissues (Figure 2 C). The increased intestinal absorption of the SCFA butyrate, acetate and propionate provides additional energy for diverse tissues (Figure 2 B). Butyrate is mainly used by the colonocytes and stimulates their proliferation and differentiation [58]. Acetate fuels lipogenesis in peripheral tissues, especially muscle, whereas propionate enters gluconeogenesis in the liver [58]. A higher production of SCFA by obesity-associated microbiota might be one factor contributing to higher triglyceride deposition in fat tissues as well as in the liver [59]. In addition to their caloric contribution SCFA activate metabolic pathways by acting as ligands to the G-protein-coupled receptors GPR41 and GPR43 (also known as free fatty acid receptors 3 and 2) [60, 61]. GPR41 and GPR43 activation is associated with adipose tissue expansion and inflammatory processes, although the outcome of this activation as being protective or causative remains unclear (as reviewed in [62]). Activation of GPR41 and GPR43 also elevates leptin levels in adipocytes, which results in increased insulin sensitivity and higher satiety [63, 64]. GPR43 signaling in intestinal L-cells increases production of GLP-1 that improves glucose tolerance [65]. Acetate and propionate are the main ligands activating GPR43 in adipose tissue and immune cells as butyrate mainly serves as energy source for colonocytes and relatively small amounts reach the periphery [66]. In dysbiosis related to obesity, SCFA profiles change consecutive to decrease in the ratio between Bacteroidetes, producing high amounts of acetate and propionate, and Firmicutes, mainly producing butyrate [67]. Therefore, decreased acetate and propionate production by the microbiota likely reduces GPR43 signaling.

The question raises whether a balanced microbiota can be restored through prebiotic and probiotic supplementation. Prebiotics directly modulate the microbiota and entail reduced gut permeability and endotoxemia, thus reducing inflammation [47, 68, 69]. These changes are linked to higher levels of GLP-2 which reduces gut permeability [69]. An intake of the prebiotic oligofructose shifts the composition of the gut microbiota towards a thin pattern by increasing Bacteroidetes and reducing

Firmicutes in *ob/ob* mice and in rats genetically prone to develop obesity and insulin resistance [70, 71]. Probiotics that induce the secretion or lower the suppression of angiopoietin-like factor IV (ANGPTL4, also known as fasting-induced adipose factor) have a beneficial effect on the lipid metabolism in adipocytes. ANGPTL4 inhibits lipoprotein lipase, which hydrolyses triglycerides from lipoproteins for the fatty acid uptake into the cell. Interestingly, germ-free mice deficient in ANGPTL4 lose their protection from diet-induced obesity [72]. Supplementation of mice with the probiotic *Lactobacillus paracasei* increases circulating levels of ANGPTL4 and reduces body fat [73] (Figure 2 C). *Akkermansia muciniphila* is another species that proved to reduce obesity when supplemented to mice [74, 75]. While this species might cause increased severity in colitis models [76, 77], it has a protective effect in obese mice by thickening the mucus layer, thereby decreasing gut permeability, reducing endotoxemia and preventing inflammation [75] (Figure 2 A).

### **Carbohydrates**

The processing of complex plant polysaccharides, such as pectins, xylans and fructans, require a battery of endo- and exoglycosidases featuring activities capable of releasing monosaccharides such as rhamnose, galacturonic acid, arabinose, xylose, fructose and glucose [78]. By contrast, the utilization of intestinal mucin glycans requires different activities consisting of galactosidases, N-acetylglucosaminidases, N-acetylgalactosaminidases, fucosidases and sialidases. The structural differences between dietary carbohydrates and intestinal glycans and the corresponding need for different processing machineries have pushed bacteria to specialize for the utilization of limited subsets of carbohydrates. The processing of complex carbohydrates often relies on cooperative actions between distinct bacterial taxa. In addition to enabling mutualistic interactions, the cleavage of complex carbohydrates and release of monosaccharides in the gut lumen also generates opportunities for bacteria, which lack carbohydrate-processing enzymes. For example, *E. coli* does not express any glycosidase capable of degrading complex carbohydrates, but it is an avid consumer of the monosaccharides N-acetylglucosamine, N-acetylneuraminic acid (Neu5Ac) and fucose [79]. Accordingly, intestinal *E. coli* and other *Enterobacteriaceae* respond to the presence of specific monosaccharides by increasing proliferation and changing the expression of virulence factors [80, 81].

The capacity to cleave sialic acid, such as Neu5Ac and N-glycolylneuraminic acid (Neu5Gc), is restricted to a limited number of bacterial taxa [82]. *Nan* gene clusters encoding sialidases, transporters and catabolytic enzymes enable the release of sialic acid from intestinal glycans and its utilization as carbon source. Some *Bacteroides* species, such as *Bacteroides fragilis*, express fully operational *nan* clusters, whereas others, such as *Bacteroides thetaiotaomicron* [83] only express sialidases but lack transporters mediating the uptake of free sialic acid. Sialic acid liberated in this

way is accessed by other bacteria that express transporters enabling the uptake of the sugar. This type of cross-feeding is a common mechanism prevailing in the intestinal environment.

Monosaccharides released from intestinal glycans can therefore be utilized by bacteria devoid of glycosidases and mediate a strong proliferating response thereby leading to dysbiosis. Antibiotic treatment has been shown to disturb microbiota and lead to increased liberation of sialic acid, which fuels the expansion of the pathogens *Salmonella enterica* serovar Typhimurium and *Clostridium difficile* in a mouse model [84]. Similarly, the outgrowth of *E. coli* and exacerbation of intestinal inflammation occurring after dextran sulfate sodium ingestion was shown to depend on the release of sialic acid from intestinal  $\alpha$ 2,3-linked sialylated glycans [85]. As mentioned in the introduction to this review, the intricate interactions between bacterial taxa ranging from mutualistic to parasitic networks complicate the identification of the mechanisms underlying dysbiosis.

## **Drugs**

Oral administration is the most frequently applied route of uptake for drugs. The convenience of this path enables the regular uptake of drugs without medical intervention, increasing the exposure of the gut microbiota to drugs and thereby promoting dysbiosis.

### ***Non-steroidal anti-inflammatory drugs***

Conventional non-steroidal anti-inflammatory drugs, such as aspirin, ibuprofen and naproxen, affect the intestinal microbial composition when taken daily over months, as shown by increased abundance of *Bacteroidaceae* and *Enterobacteriaceae* [86]. Because non-steroidal anti-inflammatory drugs cause stomach ulcers, proton-pump inhibitors are often prescribed in combination to alleviate these side effects on the gastric and small intestinal mucosa. Proton-pump inhibitors themselves have been reported to alter the gut microbiota, which contributes to increased risk for *C. difficile*-associated diarrhea [87] and hepatic encephalopathy in cirrhotic patients [88]. The impact of drugs on intestinal microbes underlines the confounding importance of medications when associating diseases with intestinal dysbiosis. For example, the hepatic gluconeogenesis inhibitor metformin is a standard medication used in the treatment of type 2 diabetes. As shown recently, the uptake of metformin affects the composition of the gut microbiota by elevating *E. coli* levels [89]. Accordingly, it is essential to take in account the impact of medications on intestinal microbes when addressing possible correlations between changes in the gut microbiota in chronic disorders.

### ***Antibiotics***

Through their antibacterial activity antibiotic drugs have an intrinsic potential in promoting intestinal dysbiosis. Most orally administered antibiotics will alter the gut microbiota, albeit transiently for the

duration of treatment. Some antibiotics however induce long-lasting changes in the gut microbiota. Whereas several antibiotics, such as amoxicillin, do not have any significant long-term impact on the gut microbiota, treatment of children with macrolide antibiotics lead to long-lasting decrease in Firmicutes and Actinobacteria with concomitant increase in Bacteroidetes and Proteobacteria [90]. Similarly, treatment of adults with ciprofloxacin decreases gut microbial diversity transiently but also leaves a long-lasting signature characterized by increased abundance of Gram-positive aerobes [91]. Repeated exposure to antibiotics can destabilize the gut microbiota and promote the outgrowth of antibiotic-resistant pathogenic bacteria, as observed through the development of *C. difficile*-associated diarrhea in elderly people [92]. In addition to their expected antibiotic effects, some antibiotic drugs also exert an eubiotic action [93] by promoting the expansion of beneficial bacteria through the suppression of pathobionts. Such an eubiotic effect is typical for rifaximin, which contributes to increasing the gut microbial diversity in IBD patients [94] and also improve symptoms of irritable bowel syndrome [95].

### ***Microbial regulation of drugs***

As outlined here above, several drugs affect the gut microbiota. The reverse is true, too. Intestinal bacteria can metabolize drugs and thereby modify their bioavailability to the host [96]. Just to name few examples, the cholesterol-lowering drug simvastatin [97] and the glucocorticoid agonist prednisolone [98] are modified through multiple bacterially encoded enzymes present in the gut. The topoisomerase I inhibitor irinotecan applied in cancer chemotherapy is inactivated by glucuronidation in the liver. This modification is reversed in the gut through the action of bacterial glucuronidases, which re-activate the drug and increase intestinal toxicity [99]. Beyond their interactions with the gut microbiota, several drugs also affect the intestinal mucosa and its barrier function [100]. The complex interplay between drugs, the microbiota, the intestinal mucosa, and the immune system underline the importance of a comprehensive approach when unravelling the mechanisms underlying intestinal dysbiosis.

## **Intestinal mucosa**

### ***Mucins***

The gastrointestinal tract is lined with mucus secreted by goblet cells, thereby protecting the epithelium from a direct contact with the microbiota. In addition to building a physical barrier, the intestinal mucus is a source of nutrients for intestinal bacteria that can liberate carbohydrates from the glycan chains of mucins. Several bacterial groups, including for example *Akkermansia muciniphila* and *Bacteroides thetaiotaomicron*, express carbohydrate hydrolases as part of polysaccharide-utilization loci, which confer the ability to extract and metabolize carbohydrates from the intestinal

mucus. The composition and thickness of this mucus varies along the intestinal tract, being thin and patchy in the ileum, but thick and stratified in the colon where the bulk of the microbiota resides. Glycoproteins of the mucin family are the main constituents of the intestinal mucus. Mucins carry dense arrays of O-linked glycan chains featuring fucosylated and sialylated structures. The glycosylation pattern of mucins varies along the intestinal segments, with fucosylation being prominent in the ileum and decreasing in the colon whereas the extent of sialylation increases from the ileum to the distal colon [101]. The distribution of mucins also varies along the gastrointestinal tract. Especially the gel-forming mucins MUC2, MUC5AC, MUC5B and MUC6, which represent the main constituents of the intestinal mucus in humans, are differentially expressed, with MUC5AC and MUC6 being mainly found in the stomach mucus and MUC2 being mainly found in the colon [102].

The human colon secretes about 200 ml of mucus daily. This amount is largely controlled through the transcriptional regulation of *MUC2* expression. Multiple factors including the bacterial products LPS and lipoteichoic acid, cytokines such as tumor necrosis factor (TNF)- $\alpha$ , interleukin (IL)-4 and IL-13, and hormones such as vasoactive intestinal peptide increase *MUC2* transcription [103, 104]. Mucin glycosylation also changes under the influence of hormones and cytokines produced during inflammation [105]. Bacterial LPS and the cytokine IL-23 induce the expression of the fucosyltransferase FUT2 in the small intestine, which increases the fucosylation of intestinal mucins [81, 106]. The resulting changes in glycosylation alter the supply of carbohydrates available to bacteria utilizing mucin glycans as carbon source, thereby changing the microbiota composition. Increased availability of specific carbohydrates also affects the expression of virulence factors by pathogens, as shown by the repression of LEE virulence genes by enterohaemorrhagic *E. coli* mediated by increased concentration of free fucose in the colon [80].

### **Glycans**

The ability to extract carbohydrates from mucin glycans is concentrated in bacterial groups, which express a vast set of hydrolase enzymes and transporters enabling the utilization of monosaccharides as carbon sources. Among the bacterial phyla of the human gut, Bacteroidetes express the largest carbohydrate-fermenting machineries. Several Firmicutes, such as *Ruminococcus intestinalis*, *R. gnavus* and *R. flavefaciens* also express more than 100 carbohydrate-degrading enzymes per genome and are capable of digesting mucin glycans [107]. By contrast, members of Proteobacteria, such as *Enterobacteriaceae* have very limited ability to degrade intestinal mucins. Among Actinobacteria, several *Bifidobacterium* spp. are specialized at fermenting complex fucosylated oligosaccharides [108], which explains their prominence in the gut of breastfed infants. *Akkermansia muciniphila*, a member of the Verrucomicrobiota phylum, commonly found in the gut microbiota is another microbe specialized in the utilization of intestinal mucins as carbon source [109]. Carbohydrate-

fermenting machineries are decisive for the maintenance of specific bacterial groups in the gut, as demonstrated by the dramatic drop in fitness of a mutant *B. thetaiotaomicron* lacking polysaccharide-utilization loci involved in the metabolism of mucin O-glycans [110].

### ***Adhesion to intestinal glycans***

Bacterial adhesion is another parameter influenced by variations of the carbohydrate landscape in the intestinal mucosa. Several bacteria express adhesins, fimbriae and pili carrying carbohydrate-binding domains. Lactobacilli for example rely on a family of mucus-binding proteins to colonize the gastrointestinal tract [111]. In addition to commensals, pathogenic bacteria such as *Campylobacter jejuni* express adhesins that bind to fucosylated epitopes, such as blood group antigens H2, Lewis-b, Lewis-y and Lewis-x [112], which are exposed on intestinal mucins and epithelial cells. Changes in the density of fucosylated glycans or the passage of soluble fucosylated molecules, such as milk oligosaccharides [113] in the intestinal lumen alter the adhesion of *C. jejuni* to the intestinal mucosa and force its elimination from the intestinal tract. The importance of intestinal carbohydrates in regulating the binding of microbes and even controlling their tissue and animal tropism is illustrated by the binding specificity of *E. coli* K99. This strain expresses fimbriae, which recognize gangliosides terminated with  $\alpha$ 2,3-linked Neu5Gc [114]. This ligand is richly expressed in the intestinal mucosa of young piglets, which are the common targets of *E. coli* K99, whereas adult pigs, expressing gangliosides terminated with  $\alpha$ 2,3-linked Neu5Ac, are resistant to *E. coli* K99 infection. The role of glycosylation in conferring binding to the intestinal mucosa is not limited to bacteria. Several bacterial toxins of type AB<sub>5</sub>, such as cholera, shiga and pertussis toxins, enter cells after binding to surface carbohydrates. The SubAB toxin secreted by Shiga toxigenic *E. coli* for example binds to Neu5Gc-containing glycans on human gut epithelial cells [115]. Human cells cannot synthesize Neu5Gc, but this carbohydrate is incorporated on human glycans after ingestion of food rich in Neu5Gc such as red meat [116]. The modulation of SubAB susceptibility through the assimilation of dietary Neu5Gc shows that nutrients affect the composition of the intestinal mucosa and thereby the risk for disease. Changes in intestinal glycosylation can also alter the local distribution of bacteriophages, which express carbohydrate-binding proteins [117]. In addition to the diet, genetic polymorphisms related to intestinal glycans also have profound effects on the composition of the gut microbiota. For example, hypomorphic alleles leading to differential expression of the  $\alpha$ 1-2 fucosyltransferase FUT2 enzyme confer susceptibility to Crohn's disease [118]. Finally, the microbiota itself also regulates intestinal mucin secretion and glycosylation, as outlined by the different glycosylation of MUC2 produced in conventionally raised mice and germ-free mice [119].

### **Immunity**

The immune system enables a symbiotic relationship with commensal microbiota by maintaining a non-inflammatory homeostasis. This state of tolerance relies on multiple mechanisms such as a physical mucus barrier minimizing the contact to the epithelium, and the secretion of antimicrobial proteins and immunoglobulin A [120]. Despite the absence of inflammation, the immune system constantly senses and contains the gut microbiota. Each component of the immune system exerts pressure on portions of the gut microbiota (Figure 3). For example, the absence of immunoglobulin A yields a strong expansion of anaerobic bacteria, especially mucosa-adherent segmented filamentous bacteria (SFB) of the phylum Firmicutes [121] (Figure 3 D). Components of the innate immune system, such as TLR, nucleotide oligomerization domain (NOD) proteins, and the inflammasome also affect the bacterial composition of the gut.

### ***Inflammasome***

Inactivation of the NOD-like receptor family pyrin domain containing 6 (NLRP6) protein, which is a component of the inflammasome complex, results in the expansion of *Prevotella* spp. and *TM7 bacteria* [122] (Figure 3 A). These microbial changes render mice more susceptible to dextran sulfate sodium-induced colitis and intestinal infections. The higher susceptibility is enhanced by impaired mucus secretion from goblet cells in NLRP6-deficient mice leading to a reduced mucus layer [123]. Interestingly, mucus reduction is directly caused by the altered microbiota and not by NLRP6-deficiency as it is transferable to wildtype mice by co-housing. NLRP6 activation mediates the secretion of IL-18 via caspase-1. The role of IL-18 in intestinal homeostasis is still controversial. It acts as a pro-inflammatory cytokine that suppresses mucin production by inhibiting the maturation of goblet cells, thus promoting colitis as typically seen in ulcerative colitis [124, 125]. In contrast, IL-18 also down-regulates IL-22-binding protein, which enables IL-22 to induce intestinal tissue repair and expression of antimicrobial peptides [126, 127].

### ***Innate Immunity***

TLR5, the pattern recognition receptor that recognizes flagellin on the epithelial surface, plays a major role in maintaining the balance of the microbiota. Apart from stimulating IL-8 and TNF $\alpha$  secretion in epithelial cells and monocytes [128, 129], TLR5 signaling also induces the expression of IL-22 and IL-17 in the mucosa [130]. Upon inactivation of TLR5, dysbiosis with altered abundances of more than 100 phylotypes develops (Figure 3 B), thereby promoting several features of the metabolic syndrome including obesity and insulin resistance [131]. Microbial transplantation from TLR5-deficient mice to wild type mice confirmed the causative role of dysbiosis in the development of the metabolic syndrome. TLR5 deficiency can also cause a bloom in *Enterobacteriaceae*, especially *E. coli*, which results in spontaneous colitis [132, 133].

The NOD2 receptor, expressed in monocytes and Paneth cells [134], regulates commensal gut community by restricting the number of bacteria and the colonization by pathogens, especially in the terminal ileum [135]. Crohn's disease is associated with polymorphisms in the *NOD2* gene [136, 137]. Defects in the NOD2 receptor lower the expression of  $\alpha$ -defensin in Paneth cells [138] (Figure 3 C). Loss of  $\alpha$ -defensin increases the ratio of Firmicutes to Bacteroidetes. In line with this result, expression of human  $\alpha$ -defensin in mice decreases the abundance of SFB, which belong to the phylum Firmicutes, and decreases the numbers of IL-17-producing Th17 cells in the lamina propria [136]. In the colon, SFB are located close to the epithelium [139] and are instrumental in initiating antimicrobial defense, for example by promoting the development of Th17 cells. The expression of IL-17 in turn increases  $\alpha$ -defensin secretion, which inhibits the expansion of SFB. Accordingly, deletion of the IL-17 receptor leads to a similar dysbiosis as seen with defects in the NOD2 receptor [140]. SFB also induce the development of regulatory T (Treg) cells [141]. Treg cells maintain a mutualistic interaction with the microbiota by secreting anti-inflammatory IL-10 and transforming growth factor- $\beta$  (TGF- $\beta$ ) [142]. IL-10 has a profound effect on the microbiota composition. Mice deficient in IL-10 have increased numbers of Verrucomicrobia, Bacteroidetes, and Proteobacteria as characterized by a 100-fold increase in *E. coli* [143]. These bacterial shifts are accompanied by inflammation in the caecum and colon [144]. The beneficial influence of probiotics like lactobacilli and bifidobacteria comprises the ability to induce Treg cells and thereby IL-10 secretion [145]. Also *Faecalibacterium prausnitzii*, which is less abundant in Crohn's disease patients than in healthy subjects, exerts its anti-inflammatory effects partially via the elevation of IL-10 production [146]. TGF- $\beta$  also suppresses an inflammatory response and mediates immune tolerance. Its production is not limited to Treg cells, but occurs in various cells of the intestinal mucosa including intestinal dendritic cells (DC) [147]. As IL-10, TGF- $\beta$  maintains homeostasis of the gut microbiota by regulating microbial composition. In absence of DC-specific TGF- $\beta$  signaling, members of *Enterobacteriaceae*, especially *E. coli*, are significantly enriched [148]. The probiotic *Clostridium butyricum* is able to induce TGF- $\beta$  signaling in DC, which in turn induces Treg cell generation [147].

### ***Immune modulation by dysbiosis***

While a dysbiotic gut community is a hallmark of several inflammatory diseases, dysbiosis in turn also triggers mechanisms that unbalance the intestinal homeostasis and cause inflammation. The translocation of bacteria across the gut epithelium increases in dysbiosis [149]. Small numbers of translocated commensal bacteria, as they occur in a healthy human gut, are removed by the action of Th1 and Th17 cells that are particularly induced by polysaccharides of *Bacteroides* spp. [150] and mucosa-adherent SFB [151]. But high numbers of invading bacteria continuously activate TLRs and elicit an overexpression of pro-inflammatory cytokines, which damage the gut epithelium and lead to

chronic intestinal inflammation [152]. Chronic inflammation is associated with several metabolic disorders such as autoimmune diabetes. Strikingly, higher SFB levels as found in MyD88-deficient mice protect mice of a diabetic genotype from developing the disease indicating that microbiota exert both inhibiting and promoting effects [153-156].

A disturbed microbiota also affects the maturation of the innate immune system as gut bacteria *per se* are a driving force in that process. Without microbiota, the function of neutrophils and DC is impaired, displaying reduced killing of pathogens and reduced secretion of type I interferons (IFN-I) and IL-15, respectively [157, 158]. Already the development of myeloid cells in the bone marrow is delayed in absence of microbiota [159]. This delay impairs the clearance of systemic infections and increases the susceptibility to allergies [158-160]. Disturbances in the microbial community can have a similar detrimental effect. Mice treated with antibiotics during early development have an increased production of IL-4 and lower numbers of Treg cells and, later in life, are more susceptible to colitis and airway hyper-reactivity [161]. Persistent alterations caused by antibiotic treatment in early human life correlate with IBD, asthma and atopic dermatitis in later life [162-164]. The state of non-inflammatory homeostasis in the gut can be shaken up by both the host immune system and the intestinal microbiota. Imbalance of their interplay increases the risk for immune-related diseases.

### **Oxidative stress**

Oxidative stress occurring during inflammation is a factor amplifying dysbiosis by strongly decreasing the microbial diversity in the gut and by promoting the outgrowth of specific bacterial taxa. Leukocyte infiltration is a hallmark of intestinal inflammation, which is accompanied by generation of reactive oxygen and nitrogen species. The resulting oxidative stress exerts a manifest antimicrobial action, especially targeting strictly anaerobic bacteria that are susceptible to oxygen intoxication. The amount of microbes drops dramatically upon onset of inflammation, leading to the depletion of close to 80% of the microbiota in some models [9]. In addition to killing anaerobic residents, reactive oxygen species also promote the selective growth of bacterial groups through nitrate and tetrathionate respiration [165]. Sulfate-reducing bacteria are widespread in the gut microbiota [166] and produce hydrogen sulfide ( $H_2S$ ) and thiosulfate ( $S_2O_3^{2-}$ ), which can be oxidized to tetrathionate ( $S_4O_6^{2-}$ ) in the presence of reactive oxygen species. Elevation of tetrathionate in the gut promotes the growth of certain *Enterobacteriaceae* including *Salmonella* and *Citrobacter*, which can use tetrathionate as a respiratory electron acceptor [167]. The reaction of nitric oxide with superoxide anion yields peroxynitrite ( $ONOO^-$ ), which is a strong reactive product of the respiratory burst of macrophages [168]. Peroxynitrite isomerizes to nitrate ( $NO_3^-$ ), which can be utilized by *E. coli* through nitrate respiration, thereby favoring its growth during inflammation. The importance of nitric oxide and nitrate respiration in conferring a growth advantage to *E. coli* was confirmed by inhibiting the

nitric oxide synthase iNOS with aminoguanine hydrochloride during colitis in a mouse model [169]. The ability to utilize nitrate as respiratory electron acceptor is a factor contributing to dysbiosis. Interestingly, nitrate respiration can be boosted in *S. enterica* ser. Typhimurium through expression of the bacteriophage-transmitted virulence gene *sopE*, which stimulates iNOS expression in the intestinal mucosa [170]. This example shows that oxidative stress and bacteriophages can synergize to promote dysbiosis.

## **Bacteriophages**

The bacteriophage fraction of the gut microbiota is like the dark matter of the universe. Bacteriophages probably play a major role in the homeostasis of the gut microbiota, but their true contribution is difficult to establish given the challenging identification of bacteriophage signatures within microbial genomes. Unlike bacteria identified by 16S rRNA sequencing, bacteriophage genomes lack conserved regions enabling their simple classification. A recent metagenomics survey aimed at identifying bacteriophages in the human gut found 44 bacteriophage groups, of which about a fifth was found in the majority of the samples analyzed. A group of 23 bacteriophages, mainly representing members of the order of Caudovirales and family of *Microviridae*, was even found in more than 50% of healthy individuals [171]. Because lysogenic bacteriophages dominate the human gut [172, 173], phage sequences are mainly embedded as prophage DNA in bacterial chromosomes. The difficulty in distinguishing viral open reading frames from bacterially-encoded genes likely results in the under-estimation of the bacteriophage diversity in the gut. The newly discovered adaptive immune system of bacteria consisting of captured foreign DNA fragments into bacterial chromosomes is a valuable source of information to recognize bacteriophage infections. The Clustered Regularly Interspaced Short Palindromic Repeats (CRISPRs) represent an archive of past infections in bacterial genomes and their sequencing reveals the history of phages encountered by bacterial hosts. The analysis of CRISPRs in the gut microbiota from 124 European subjects revealed close to 1000 bacteriophages, of which 78% were shared by at least two individuals [173]. The sequences of the DNA spacers flanking phage fragments enabled the assignment of 11 bacterial hosts for 31 assembled phage contigs, showing that 14 of these phages target bacteria of the families of *Bacteroides* and *Parabacteroides* [173]. The analysis of bacteriophage occurrence in IBD confirmed the diversity of bacteriophages in ulcerative colitis and Crohn's disease. Bacteriophage richness, as defined by the number of taxa per sample, was increased in these diseases, whereas bacterial richness was concomitantly decreased [174]. Whether bacteriophages indeed contribute to disease development remains however unclear at this stage.

Environmental stress imposed by inflammation and antibiotics can activate the lytic cycle of integrated prophages, thereby leading to a rapid elimination of bacterial hosts. In addition to a

sudden change in the abundance of some bacterial taxa, the lytic action of bacteriophages liberates intracellular toxins [175] as well as cell wall fragments, lipids and nucleic acids, which are recognized as pathogen-associated molecular patterns activating innate immunity. The interlaced stimulation of the immune response and activation of phage lytic cycles fuel each other, which amplifies dysbiosis occurring during gut inflammation. Beyond their impact on the gut microbiota consecutive to environmental challenges, phages also contribute to the long-term shape of the gut microbiome through their action as vectors for the horizontal transfer of resistance genes.

The intestinal mucosa is another factor influencing the interactions between bacteriophages and their bacterial hosts. Several bacteriophages express proteins featuring C-type lectin folds and immunoglobulin-like domains [176], which interact with the heavily O-glycosylated mucin MUC2 [177, 178] in the colon. For example, the highly antigenic outer capsid protein of the bacteriophage T4 preferentially binds to O-glycan chains found on mucins. Adhesion to intestinal glycoproteins increases the bacteriophage density in the mucus layer, which acts as a protective barrier for the host by killing mucus-penetrating bacteria [117]. Changes in mucosal glycosylation, as occurring during intestinal inflammation [81], can alter the local abundance of bacteriophages and thereby affect the proliferation or eradication of specific bacterial groups and thereby promote dysbiosis.

### **Bacteriocins**

The prevalent competition for nutrients in the colon drives the development of strategies enabling bacteria to outcompete or eliminate their competitors. One of these strategies is illustrated by the secretion of bacteriocins, which are toxic proteins and peptides targeting related taxa competing for the same resources. The family of bacteriocin covers colicins in *E. coli*, pyocins in *Pseudomonas*, pesticins in *Pasteurella pestis* and *Yersinia pestis* among others [179]. Bacteriocins also include microcins, which are short antimicrobial peptides [180]. The bacterial strains producing bacteriocins also express immunity proteins that protect them against the toxic effect of their own bacteriocins. Most bacteriocins kill by forming pores in membranes or by cleaving nucleic acids. Stress conditions such as oxidative and genotoxic stress induce the expression of bacteriocins [181], thus underlining the significance of bacteriocins in the mechanisms amplifying shifts in bacterial composition during inflammation-related oxidative stress. The expression of microcins in *Enterobacteriaceae* is also induced in conditions of nutrient shortage. For example, *E. coli* Nissle 1917 secrete microcins [182] preventing the growth of other *E. coli* strains when iron availability is limited, for example during inflammation. In fact, supplementation of mice with iron during intestinal inflammation decreases the production of microcins, which results in the proliferation of competing *E. coli* thereby restricting the growth of *E. coli* Nissle 1917 [183]. Of note, *E. coli* Nissle 1917 is the only probiotic recommended by the European Crohn's and Colitis Organization as an alternative to the non-steroid anti-

inflammatory drug mesalazine in the treatment of ulcerative colitis, as underlined in recent meta-analyses [184, 185]. Niche competition in the intestine has also been described for Gram-positive bacteria, such as members of the *Enterococcus* genus. *Enterococcus faecalis* produces a bacteriocin transmitted through plasmid conjugation, which disrupts the proliferation of other enterococci [186]. Beyond their contribution in the development of dysbiosis during inflammation, bacteriocins represent interesting candidate drugs aiming at the selective inhibition of pathogenic bacteria resistant to conventional antibiotics, such as *C. difficile* [187] and methicillin-resistant *Staphylococcus aureus* [188].

## **Dysbiosis and disease**

As outlined in the previous sections of this review, the mechanisms destabilizing the gut microbiota are plentiful. Equally numerous are the diseases, which intestinal dysbiosis influences the course and severity. Typical examples including IBD [189], type 1 diabetes [190], celiac disease [191], and cardiovascular disorders [192] have been covered extensively in other reviews. We here focus our discussion on three diseases affecting human beings at different stages of life, namely necrotizing enterocolitis in newborns, colorectal cancer in adults, and *C. difficile*-associated diarrhea in elderly people.

### ***Necrotizing enterocolitis***

Necrotizing enterocolitis is a fulminant gut inflammation that is most frequent in premature newborns, affecting up to 10% of infants with a birthweight below 1500 g. Mortality can be as high as 30% [14]. The first signs of necrotizing enterocolitis are usually a distended abdomen and bloody stool. As reflected by these unspecific symptoms, the pathogenesis of necrotizing enterocolitis is unclear. Several risk factors, including enteral feeding, bottle-feeding, immature immunity, and altered microbiota increase the incidence of necrotizing enterocolitis. Conversely, breastfeeding decreases the occurrence of necrotizing enterocolitis by at least six fold in comparison with bottle-feeding [193]. This large impact has led the American Academy of Pediatrics to recommend feeding premature babies with breast milk immediately after birth [194]. The molecular nature of the protection conferred by breast milk remains however elusive. Breast milk lactoferrin and immunoglobulins have been investigated as possible protective compounds but found to be ineffective at decreasing the incidence and severity of necrotizing enterocolitis [195]. Given that pasteurized breast milk is as protective as fresh milk, heat-resistant compounds such as milk oligosaccharides are likely to contribute to the protective effect. Oral supplementation with the prebiotics galacto-oligosaccharide, fructo-oligosaccharide and lactulose nevertheless did not influence the course of necrotizing enterocolitis, although they mediated a relative increase of

bifidobacteria and lactobacilli levels in the treated newborns [196]. Supplementation with the probiotic *Bifidobacterium breve* BBG-001 also failed to improve the survival rate of infants with necrotizing enterocolitis [197]. Despite the unclear etiology, several findings converge towards a central role of the gut microbiota in triggering necrotizing enterocolitis. A sudden rise of Proteobacteria and a concomitant fall of Firmicutes levels has been found to precede the onset of the disease. *Enterobacteriaceae*, which are prominent members of the Proteobacteria phylum, express hexacylated LPS that are strong pyrogens and induce a robust inflammatory response mediated through TLR4 signaling. The mechanisms underlying the increase in Proteobacteria remain unclear. Is the proliferation of facultative anaerobic bacteria such as *Enterobacteriaceae* facilitated by the presence of oxygen in the newborn colon? As outlined in the present review, multiple mechanisms account for the development of dysbiosis. Given the resilience of the gut microbiota in response to changes, the occurrence of dysbiosis in necrotizing enterocolitis is likely the result of a chain of events combining an inadequate supply of protective nutrients and prebiotics, an immature immune system and an insufficient secretion of intestinal mucus.

### ***Colorectal cancer***

Dysbiosis of the gut microbiota can entail severe consequences. It is a primary driving force of inflammation and is unequivocally linked to the development of colorectal cancer [15]. Around 15% of all cases of cancer are linked to a viral or bacterial infection [198]. Infectious agents, especially viruses, can initiate or enhance tumor growth by inducing chronic inflammation, transferring active oncogenes into the host genome or by promoting immunosuppression. Microbial pathogens can influence tumorigenesis either directly by substances that lead to DNA damage, such as nitric oxide or reactive oxygen species, or indirectly by creating a pro-inflammatory microenvironment [199]. For example, an infection with oncogenic *Helicobacter pylori* results in chronic inflammation with dysregulated  $\beta$ -catenin signaling in epithelial cells fostering malignant transformations in the stomach [200]. Also in the colon, risk for adenocarcinoma is increased with *Helicobacter pylori* infection [201]. Especially strains positive for the virulence factor cytotoxin-associated gene A (CagA) are linked to carcinoma development [201, 202]. But what role do members of the commensal microbiota play and which shifts in the microbiota are linked to tumor development? The microbiota composition is significantly different in colorectal cancer patients compared to healthy individuals. Colorectal cancer is associated with increased abundance of the phyla Firmicutes and Fusobacteria [203, 204]. Strikingly, Fusobacteria constitute around 10% of the gut bacteria in colorectal cancer patients, but less than 0.1% in healthy individuals. These shifts in the microbiota can create a gut community with higher genotoxic and carcinogenic potential. *Fusobacterium nucleatum*, a species highly abundant in tumor tissues [205], expresses the virulence factor Fusobacterium adhesin A

(FadA) [206]. This adhesion molecule increases epithelial permeability and invasion of microbes into the cells [207]. FadA also activates proliferation and growth of normal and adenoma cells via  $\beta$ -catenin signaling [208].

A dysbiotic gut community may trigger tumor development via innate immune responses, more precisely by activation of MyD88. In a mouse model of spontaneous intestinal tumorigenesis, signaling through MyD88 was necessary for extensive tumor growth [209]. By contrast, mice with chemically induced colitis had more intestinal tumors without MyD88 signaling [210]. The second branch of MyD88 signaling, via inflammasome-derived IL-18, might explain the contradictory effects of MyD88 signaling in the different cancer models. The lack of protective and tissue-repairing IL-18 and the resulting inability to heal chemically-induced epithelial damages might enhance the mutation rate and adenoma formation in epithelial cells, thereby outbalancing the protective effect of MyD88 deficiency [211-214]. In contrast to MyD88, TLR4 signaling showed consistent tumor-promoting effects in several cancer models [215]. TLR4 signaling is increased in colorectal cancer patients [216, 217]. The LPS-mediated increase in prostaglandin E2 that activates epidermal growth factor receptors [215, 218, 219] is needed to promote proliferation of epithelial cells and their protection against apoptosis. However, the same mechanism might also promote the formation and growth of colorectal tumors when LPS stimulation exceeds the normal level and elicits chronic TLR4 activation [219, 220]. Interestingly, an increased inflammatory state in obese individuals correlates with higher risk of colorectal cancer [221]. Elevated TLR4 activation is also observed in IBD [222]. The two main forms of IBD, ulcerative colitis and Crohn's disease, are risk factors for colitis-associated colorectal cancer [223, 224]. The TLR/MyD88 pathway is in fact of major importance for the initiation of colitis-associated cancer. In absence of MyD88, mice presenting spontaneous colitis induced by IL-10-deficiency fail to develop carcinogen-induced tumors [225].

Specific shifts in the microbiota facilitate the formation of colorectal cancer. High consumption of red meat, a rich source of thiol-containing amino acids, increases the number of sulfate-reducing bacteria (e.g. *Desulfovibrio* spp., *Desulfobacter* spp.) in the intestine. These bacteria generate H<sub>2</sub>S which decreases mucus formation, inhibits methylation of DNA and increases the generation of reactive oxygen species [226]. Also single bacterial species can contribute to tumor growth. Enterotoxigenic *Bacteroides fragilis* stimulates cell proliferation via increased  $\beta$ -catenin nuclear signaling [227] and damages DNA through reactive oxygen species [228]. Colitogenic *E. coli* overrepresented in the context of inflammation in IL-10 deficient mice promotes the development of invasive carcinoma by synthesizing the genotoxin colibactin [143]. Colibactin causes DNA double-strand breaks and incomplete DNA repair resulting in genomic instability [229].

But microbiota can as well confer protection against colorectal cancer and prevent carcinogenesis. The microbial metabolite butyrate activates the receptor GPR109a that triggers production of cytoprotective IL-18 and induces differentiation of Treg cells through IL-10, while inhibiting formation of pro-inflammatory Th17 cells [230]. Probiotics such as bifidobacteria and lactobacilli create a favorable microenvironment that decreases not only inflammatory conditions but also the emergence of colorectal cancer. Especially in combination with prebiotics, *Bifidobacterium* and *Lactobacillus* genera were shown to reduce aberrant crypt foci occurrence in mice and in rats [226].

### ***Clostridium difficile-associated diarrhea***

*C. difficile* is a spore-forming strictly anaerobic gram-positive bacterium that is often found in asymptomatic subjects, including more than 50% of children and 15% of healthy adults. The mere presence of toxigenic *C. difficile* in a host is not a predictive marker for intestinal inflammation [231]. Progression to disease requires in fact vegetative growth of *C. difficile* and secretion of toxins such as the TcdA and TcdB enzymes, which are glycosyltransferases modifying cytoplasmic Rho GTPases, thereby impairing cytoskeleton integrity [232]. The activity of TcdA and TcdB toxins is sufficient to trigger disease when released in the host intestinal tract [233]. The germination of *C. difficile* is facilitated by some bile acids found in the duodenum, such as taurocholate and deoxycholate [234]. The gut microbiota plays an essential role in suppressing the vegetative growth of *C. difficile* in asymptomatic subjects, although the mechanisms of this inhibition remain unclear. A group of microbes may prevent the proliferation of *C. difficile* by exhausting nutrients essential for its growth. Carbohydrates, such as N-acetylglucosamine and Neu5Ac derived from intestinal mucins, are important nutrients supporting the growth of *C. difficile* [84]. These carbohydrates are preferentially metabolized by other gut microbes, thereby limiting the expansion of *C. difficile* and consequently disease development [235]. Besides sequestering nutrients away from *C. difficile*, some microbes transform bile acids, thereby reducing the rate of germination of clostridial spores in the gut [236]. The importance of gut microbes in mediating resistance to *C. difficile* growth is illustrated by the impact of antibiotics on promoting *C. difficile*-associated diarrhea. The study of *C. difficile* infection in conjunction with antibiotic treatments linked the expansion of *C. difficile* to decreased *Lachnospiraceae* and increased *Enterobacteriaceae* levels in animal models [237-239]. Elevated levels of *Enterobacteriaceae* were also noted in elderly human subjects presenting with *C. difficile*-associated diarrhea [240, 241]. Finally, the astonishing success of fecal microbial transplantation definitively demonstrated the role of the gut microbiota at keeping *C. difficile* at bay. The treatment of patients with refractory *C. difficile* infection by infusion with microbiota derived from healthy donors cured more than 90% of cases, whereas the traditional treatment with vancomycin only improved 30% of cases [242]. The incidence of *C. difficile* infection increases with age, probably reflecting the progressive decreased microbial diversity and the loss of microbes conferring

resistance to *C. difficile*. As recently presented, the expansion of *C. difficile* may be related to decreased bacteriocins secreted by bacteria that normally keep the pathogen at bay in asymptomatic subjects [187]. The identification of bacteriocins targeting *C. difficile* would represent a valuable alternative or at least a complementary approach to fecal microbial transplantation.

### **Concluding remarks**

The gut microbiota is an inherent component of animal physiology that reacts to internal and environmental changes, while playing important roles in regulating multiple host functions. As outlined in the present review, the contribution of dysbiosis to diseases is undisputed, but the mechanisms in play and the assignment of truly pathogenic microbes often remains circumstantial, if not speculative. The recent development of high-throughput sequencing techniques linked to the establishment of reliable microbial 16S rRNA sequence databases resulted in an explosion of reports documenting the importance of the gut microbiota in regulating health and disease. Despite the wealth of information unraveled through past studies, the taxonomic identification of intestinal bacteria only clarifies a single variable of the equation explaining gut ecology. Metagenomic approaches documenting the global genetic diversity of the intestinal ecosystem are gaining momentum as sequencing technologies and bioinformatic analysis constantly improve [243]. The determination of biochemical parameters beyond the classical survey of SCFA profiles adds further dimensions to the characterization of metabolic pathways at play in microbial communities [244]. Finally, the integration of all data through heuristic algorithms [245] will not only facilitate the interpretation of experimental models but also enable the recognition of novel mutualistic networks among the gut microbiota. The comprehensive appreciation of gut ecology will allow a better control of intestinal dysbiosis and thereby lead to a significant health improvement across a broad range of inflammatory and metabolic conditions afflicting our modern society.

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## Figure Legends

**Figure 1. Factors contributing to intestinal dysbiosis.** The gut microbiota is subject to natural variations induced by the changing supply of nutrients, drugs, the immune system, and the intestinal mucosa. The action of stress factors such as oxidative stress, the induction of bacteriophages, and secretion of bacteriocins amplify the changes in microbial composition leading to decreased diversity and outgrowth of specific bacterial taxa.

**Figure 2. Consequences of nutritionally induced imbalance between Firmicutes and Bacteroidetes.** Obesity, high dietary fat and sugar intake and an enlarged bile acid pool decrease the Bacteroidetes to Firmicutes ratio. Changes in this ratio affect chronic inflammation, and metabolic changes related to energy supply to colonocytes, lipogenesis, gluconeogenesis, insulin sensitivity and thereby glucose tolerance. Bacterial LPS (A), SCFA (B), increased monosaccharide uptake (C) and secondary bile metabolisms (D) are key mediators of such metabolic adaptations. ANGPTL4, angiopoietin-like factor IV; FXR, farnesoid X receptor; TGR5, G-protein-coupled bile acid receptor; SCFA, short chain fatty acid; GPR43/GPR41, G-protein-coupled receptors 43/41; LPS, lipopolysaccharide; ChREBP, carbohydrate response element-binding protein; SREBP1c, sterol regulatory element-binding protein 1c.

**Figure 3. Mechanisms of immune regulation of the gut microbiota.** Elements of the inflammasome (A), the innate (B, C) and adaptive immune systems (D) control the gut microbiota composition. Interplay between cytokines, immune cells, bacterial groups, and the intestinal environment in affecting inflammation, tissue repair, and secretion of antimicrobial peptides. TLR5, Toll-like receptor 5; NOD2, nucleotide oligomerization domain 2 receptor; NLRP6, NOD-like receptor family pyrin domain containing 6; IgA, immunoglobulin A; IL, interleukin; DSS, dextran sulfate sodium; SFB, segmented filamentous bacteria; Th17 cells, T helper 17 cells; Treg cells, regulatory T cells; TGF- $\beta$ , transforming growth factor beta.