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Long-Term Fluctuation of Oral Biofilm Microbiota following Different Dietary Phases

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1 **Long-term fluctuation of oral biofilm microbiota following different dietary phases**

2 Running title: Oral biofilm fluctuations after dietary change

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21

22

23 **Abstract**

24 Caries development is associated with shifts in the oral biofilm microbiota and primarily
25 linked to frequent simple carbohydrate consumption. Different nutritional ingredients can
26 either promote or prevent caries development. To investigate their effect on the oral
27 biofilm microbiota *in situ*, eleven study participants underwent 3-month-long dietary
28 phases with regular diet (PI), additional frequent sucrose (PII), milk and yoghurt (PIII)
29 and rich in dietary fiber (PIV) intake, then returning to their regular diet (PV). Oral biofilm
30 was sampled and analyzed applying 16S rRNA Illumina Miseq sequencing. Additionally,
31 the effect on the enamel was analyzed measuring enamel surface roughness with laser-
32 scanning microscopy. The beta-diversity results showed that the microbiota in all the
33 following phases differed significantly from PI and that the microbial community in PII
34 was significantly different from all other phases. The abundance of the genus
35 *Streptococcus* fluctuated over the course of the five phases with a significant increase in
36 PII ($p=0.01$), decreasing in PIII and PIV (PIII and PIV vs. PII: $p<0.00001$) and increasing
37 again towards PV. Other taxa showed varying fluctuations of their abundances, in PV
38 returning approximately to the levels of PI. In conclusion, while elevated sucrose
39 consumption favoured caries-promoting non-mutans streptococci, frequent milk and
40 yoghurt intake caused a significant decrease in the abundance of these microbial taxa
41 and in addition reduced enamel surface roughness. These results indicate that
42 modulations of the oral biofilm microbiota can be attained even in adults through dietary
43 changes and corresponding recommendations can be made for the prevention of caries
44 development.

45

46 **Importance**

47 Caries affects a large proportion of the population worldwide resulting in high treatment
48 costs. Its etiology can be ascribed to shifts of the microbiota in dental biofilms primarily
49 driven by dietary factors. It is unclear, how diet affects the microbial community of
50 plaque biofilm *in situ* and whether it can be modulated to help prevent caries
51 development. To address this question we analyzed changes of the *in situ*-plaque
52 microbiota following three-month long dietary changes, involving elevated sucrose, dairy
53 and dietary fiber consumption over a long-term period of 15 months. Applying high-
54 throughput sequencing we found non-mutans streptococci, a taxonomic group involved
55 in the beginning stages towards microbial dysbiosis, in decreased abundance with
56 elevated dairy and dietary fiber intake. Analyzing the enamel surface roughness, these
57 effects were confirmed. Therefore correspondent dietary measures can be
58 recommended for children as well as adults regarding caries prevention.

59

60 **Introduction**

61 The oral biofilm is considered an ecosystem at the micron scale comprising over 700
62 bacterial species building a spatial network of interacting entities(1). In a state of oral
63 health, homeostasis prevails as a dynamic equilibrium providing mutual benefits for the
64 host and the microbiota(1-3) . When environmental factors come into play and disturb
65 the equilibrium the proliferation of species with pathogenic potential results in a dysbiotic
66 state(4). Environmental influences, e.g. dietary factors such as a frequent availability of
67 simple carbohydrates can lead to a higher proportion of acidogenic and aciduric species
68 resulting in the development of carious lesions as formulated in the extended ecological

69 plaque hypothesis(5). Caries affects about 2.4 billion people worldwide, constituting a
70 major health concern implicating high treatment costs (6). Mutans streptococci and
71 lactobacilli had long been considered the main cariogenic taxa responsible for acid
72 production and demineralization of tooth structure. However, during the last decades, a
73 more complex composition of the microbial community associated with caries, involving
74 various additional bacterial species in the different stages of caries development, has
75 been revealed(7, 8). Whereas non-mutans streptococci, such as *Streptococcus*
76 *salivarius*, *Streptococcus parasanguinis* and the genus *Actinomyces* have been
77 associated with the initial stages, *Veillonella* spp., *Lactobacillus* spp., *Atopobium* spp.
78 and other taxa dominate the more advanced stages(9).

79 Apart from the well-known link between simple carbohydrate consumption and caries
80 development, different specific dietary factors have been reported to exert caries-
81 preventive effects. Particularly, frequent consumption of milk and dairy products as well
82 as high-fiber and certain plant-based foods have been associated with a lower incidence
83 of caries that is more pronounced in individuals with a relatively high daily sucrose-
84 consumption frequency(10-12). Milk and dairy products have been presumed to protect
85 oral health and possibly prevent caries due to different effects. The contained calcium
86 and phosphate as well as caseinphosphopeptides (CPP) can counteract acidic
87 demineralization and promote remineralization processes, specifically CPP forming
88 nanocomplexes with amorphous calcium phosphate provide calcium and phosphate for
89 remineralization(13-15). Furthermore, casein and other milk proteins have been shown
90 to reduce bacterial adhesion and affect the growth of mutans streptococci(16).

91 Concerning plant-based foods, different parameters have been assumed to help prevent
92 caries. Primarily, foods with a high fiber content have been considered to stimulate

93 salivary flow which buffers sudden pH drops and thereby protect the teeth. Also,
94 phosphates in plant foods (mostly phytates) can reinforce remineralization(17).
95 Furthermore, secondary plant substances, e.g. flavonoids and other polyphenols from
96 cranberry juice and cruciferous vegetables have been shown to decrease the risk of
97 caries by reducing bacterial adhesion, inhibiting growth or reducing capacity of biofilm
98 formation of cariogenic microorganisms(10, 18, 19).

99 Nevertheless, so far there has been a lack of understanding about how the consumption
100 of certain foods influences the complex composition of the oral biofilm in an *in vivo*
101 situation, since most studies have either described *in-vitro* experimental approaches(13,
102 15, 20), animal experiments(16, 21) or epidemiological data(22, 23). Also, it was unclear
103 whether it is possible to influence and modify the oral biofilm of adults, which is
104 considered relatively stable, through a dietary intervention. Therefore, we investigated
105 the influence of the frequent consumption of sucrose, dairy products and vegetables in
106 addition to the regular diet on the supragingival biofilm microbiota using an *in-situ* splint
107 system to collect 7-day dental plaque samples. Our previous study reported the
108 influence of frequent sucrose consumption over the course of three months on the oral
109 supragingival microbiome in greater detail(24). Here we present the compositional
110 changes in oral biofilms caused by frequent additional milk and yoghurt, as well as
111 dietary fiber consumption. To achieve the best possible overview over the cultivable as
112 well as yet uncultivated bacterial taxa in the supragingival microbial community, high-
113 throughput sequencing of the 16S rRNA gene was applied on the Illumina platform. In
114 addition to the analysis of the supragingival microbiota, the effect of the phase-specific
115 bacterial community on the enamel surface roughness was determined measuring
116 surface roughness with 3D laser-scanning microscopy.

117

118 **Results**

119 Demographics and dietary intake

120 Eleven participants with an average age of 32 years were included in this study. They
121 underwent five different three month-long dietary phases, first keeping their regular diet,
122 then an elevated sucrose intake, followed by elevated dairy intake, elevated dietary fiber
123 intake and finally returning to their regular diet (Figure 1). Supragingival plaque was
124 sampled from bovine enamel slabs (BES) embedded in splint systems (Figure 2) worn
125 for three x seven days towards the end of each dietary phase (three months), after an
126 adaptation to the dietary phase for seven weeks (PI-PV). The regular diet of all
127 participants corresponded to a high carbohydrate western diet, i.e. over 45%
128 carbohydrate intake(25), the details of the main nutrient intake are shown in Table 1.
129 None of the participants had active carious lesions and their mean DMFT value was 8.1.
130 Detailed demographic and clinical data are reported in Anderson *et al.*(24). The dietary
131 intake in the different phases did not reveal any statistically significant differences
132 regarding the intake of main nutrients, except for simple carbohydrate intake in PI versus
133 PIV, so that basically all changes in the biofilm composition in PII-PV can be ascribed to
134 the phase-specific dietary changes.

135

136 Composition of the supragingival microbiota

137 A total number of 9.91 million high-quality reads was obtained from 151 samples from all
138 five phases and 8.19 million sequences could be assigned to 346 species-level
139 Operational Taxonomic Units (OTUs; 97% similarity). The sequence abundances of the
140 three sampling time points per phase were averaged for the comparison of the five

141 phases and several samples did not yield a sequencing result (PIII, 3 samples; PIV, 6
142 samples; PV, 5 samples). Concerning relative bacterial phyla abundances (shown in
143 Figure 2a), *Firmicutes* dominated in all phases (PI, 55.41%; PII, 59.37%; PIII, 42.05%;
144 PIV, 41.37%; PV, 44.01%), followed by *Proteobacteria*, *Bacteroidetes*, *Actinobacteria*,
145 *Fusobacteria* and *Saccharibacteria*. The OTUs represented 59 taxa on the genus level,
146 the most abundant being *Streptococcus* (PI, 33.52%; PII, 40.28%; PIII, 24.34%; PIV,
147 24.22%; PV, 28.83%), followed by *Neisseria*, *Granulicatella*, *Gemella*, *Veillonella*,
148 *Capnocytophaga* and *Porphyromonas* (Figure 3a). All these genera were detected in all
149 five phases in all study participants. The full list of detected bacterial taxa and assigned
150 OTUs with their relative abundances is given in Supplementary Tables S1 and S2. The
151 manual species-level analysis of the genus *Streptococcus* revealed the proportional
152 distribution of the oral streptococci (Figure 3b). The highest relative abundance was
153 found for *Streptococcus mitis* (PI, 16.92%; PII, 20.83%; PIII, 13.34%; PIV, 13.17%; PV,
154 18.91%), followed by ambiguously assigned *Streptococcus* spp. (PI, 5.45%; PII, 5.19%;
155 PIII, 3.48%; PIV, 4.39%; PV, 5.48%), *Streptococcus infantis* (PI, 5.70%; PII, 5.56%; PIII,
156 3.0%; PIV, 3.33%; PV, 2.43%), *Streptococcus sanguinis* (PI, 3.03%; PII, 4.87%; PIII,
157 1.37%; PIV, 1.42%; PV, 1.06%), *Streptococcus gordonii*, *Streptococcus parasanguinis*
158 and *Streptococcus salivarius/vevibularis* (the latter species could not be discriminated
159 based on the 16S rDNA). As for *Streptococcus mutans*, this was the *Streptococcus*
160 species with the lowest relative abundance, only detectable in single study participants
161 and at very low abundances of < 0.01%, except in PII (PI, 0.0006%; PII, 0.0115%; PIII,
162 0.0076%; PIV, 0.0011%; PV, 0.0005%). The relative abundances of the different oral
163 *Streptococcus* species are shown in Supplementary Table S3.

164

165 Species richness and alpha-diversity changes

166 Over the course of the five phases, the species richness decreased significantly in the
167 sucrose phase (PII; $p=0.008$) and then increased again in PIII ($p=0.005$) and PIV (PIV
168 vs. PII, $p=0.002$) followed by a renewed slight decrease in PV. The alpha-diversity
169 measures, Shannon effective and Simpson effective were significantly increased in PIII
170 compared to PII (Shannon eff., Simpson eff., $p<0.0001$) and also in PIV compared to PII
171 (Shannon eff., $p=0.001$; Simpson eff., $p=0.007$) whereas in PV both parameters were
172 significantly decreased compared to PIII (Shannon eff., $p=0.025$; Simpson eff., $p=0.011$).
173 The details of the alpha-diversity measures are shown in Table 2.

174

175 Beta-Diversity reveals significantly different microbiota in different dietary phases

176 In order to assess the differences between the microbial communities in PI-PV, the beta-
177 diversity was analyzed based on UniFrac. Comparing PI with all following phases, the
178 Permanova analysis revealed significant differences of the microbial communities
179 between PI and PII ($p=0.004$), PIII ($p=0.0016$), PIV ($p=0.0016$) as well as PV
180 ($p=0.00016$). Also, significant differences of the microbial communities were found in PII
181 compared to PIII ($p=0.0016$), PIV ($p=0.0016$) and PV ($p=0.0016$) (Figure 4). There were
182 no significant differences between PIII and PIV, PIII and PV and PIV and PV.

183

184 Fluctuations of bacterial taxa abundances in the course of PI-PV

185 Serial group comparisons were done in Rhea to compare relative abundances of
186 microbial taxa in the different phases. This revealed both characteristic fluctuations and
187 significant differences in abundances in PI-PV for several bacterial taxa (Figure 5a). The
188 abundance of the phylum *Firmicutes*, with the genus *Streptococcus* being its main

189 representative in the supragingival biofilm, increased in PII and showed a significantly
190 decreased abundance in PIII, PIV and PV compared to PI (PI-PIII, $p=0.0002$; PI-PIV,
191 $p<0.00001$; PI-PV, $p=0.007$). The abundance of the genus *Streptococcus* showed a
192 similar course, revealing a significantly decreased abundance in PIII and PIV in
193 comparison to PI (PI-PIII, $p=0.003$; PI-PIV, $p=0.002$). Other taxa showed fluctuations of
194 their relative abundance in different directions. The abundance of the family
195 *Pasteurellaceae* (represented mainly by the oral genus *Haemophilus*) was decreased
196 significantly in PII, yet increased in PIII when compared to PI (PI-II, $p=0.006$; PII-PIII,
197 $p=0.004$). Similarly, the class *Bacteroidia*, which was represented in the supragingival
198 microbiota mainly by the genera *Porphyromonas* and *Prevotella*, showed a decreased
199 abundance in PII and an increased abundance in PIII (PII-PIII: $p<0.00001$). *Rothia* spp.,
200 *Leptotrichiaceae* spp. and *Granulicatella* spp. showed other fluctuations: *Granulicatella*
201 spp. showed a decreased abundance in PII-PV compared to PI (PI-PIV, $p=0.03$; PI-PV,
202 $p=0.001$), whereas the genus *Rothia* had a higher abundance in PIII versus PI, PII and
203 PIV ($p=0.007$; $p=0.007$ and $p=0.03$ resp.) and *Leptotrichiaceae* also had a higher
204 abundance in PIV and PV versus PI ($p<0.00001$).

205 The analysis of the abundance of the genus *Streptococcus* on the species level
206 revealed certain abundance fluctuations of different *Streptococcus* species that are
207 depicted in Figure 5b. *S. sanguinis*, *S. gordonii*, *S. parasanguinis*, *S. mitis* and *S. infantis*
208 showed decreased abundance levels in PIII, most of them significantly. In PIV, these
209 species showed a similarly low abundance as in PIII or a slight increase, yet not
210 significant. Lastly, in PV most *Streptococcus* species showed a similar abundance as in
211 PI, with *S. sanguinis* and *S. infantis* showing a significantly lower abundance compared

212 to PI. Only *S. salivarius* showed increased relative abundance levels in PIII, but was only
213 detected in a few individuals (6/30) and decreased again in PIV and PV.

214

215 Changes in surface area roughness in PI-PV

216 The mean enamel surface roughness (Ra) measured throughout PI-PV varied between
217 0.035 ± 0.037 (STD) μm (median: $0.032 \mu\text{m}$) in PV and 0.062 ± 0.022 (STD) μm
218 (median: $0.054 \mu\text{m}$) in PII. Neither the increase in surface roughness in PII, nor the
219 decrease in PIII ($p=0.056$) were significant. However, the values in PV were significantly
220 lower compared to the sucrose phase (PII, $p=0.005$), and compared with PI, both the
221 surface roughness in PIV as well as PV was significantly lower ($p=0.018$ and $p=0.001$
222 resp.). The mean values of the surface roughness in PI-PV are depicted in Figure 5.

223

224 **Discussion**

225 Caries is associated with shifts in the bacterial composition of the oral biofilm microbiota,
226 when acidogenic and aciduric taxa proliferate, mostly due to frequent simple
227 carbohydrate consumption, which results in cariogenic plaque. Other foods, e.g. dairy
228 and plant-based whole-foods presumably exert a more positive influence on the oral
229 microbiota, possibly having a protective effect regarding caries. To investigate the effect
230 of long-term (3 months) dietary changes on the oral biofilm microbiota *in situ*, we
231 analyzed supragingival plaque from eleven participants with high-throughput
232 sequencing. The applied splint system with included bovine enamel slabs for the
233 collection of oral biofilm is a well-established *in situ* model that has been proven to allow
234 the formation of supragingival biofilm in the natural environment (26, 27). The long-term
235 timespan of three month duration for each dietary phase facilitated the observation of

236 potential shifts in the oral microbiota and changes in the enamel surface roughness (28,
237 29). As the results of the frequent sucrose consumption have been discussed earlier,
238 therefore our focus here is on the dairy and the dietary fiber phases(24).

239 Altogether, one of the most notable findings consisted in the significant change of the
240 beta-diversity for all four phases PII-PV compared with the baseline phase (PI), and in
241 the significant difference of the beta-diversity in the sucrose phase (PII) compared to all
242 other phases. Thus, the microbial community showed fluctuations according to the
243 additional phase-specific food items, with the microbiota in PII deviating most from the
244 other phases. Even after returning to the regular diet for seven weeks in PV, the
245 microbial community had not reverted to its initial composition.

246 Another prominent observation is the significantly lower abundance of the genus
247 *Streptococcus* in the dairy as well as the dietary fiber phases (PIII and PIV). After a
248 significant increase of streptococci in PII their abundance in PIII even decreased
249 significantly in comparison to PI. Hence, following an increase in different acidogenic
250 non-mutans streptococci in PII(24), the elevated milk and yoghurt intake and dietary
251 fiber intake in PIII and PIV respectively resulted in a significant decrease of these taxa,
252 presumably rendering the oral biofilm less cariogenic.

253 So far, it has been shown that compared to other human habitats the oral microbiota
254 constitutes a rather stable and highly diverse microbial community that – given that there
255 are no environmental factors causing perturbations – only varies in a very small
256 percentage of low-abundant species over time in an individual(30-32). Zaura *et al.*
257 demonstrated that although the treatment of patients with four different antibiotics
258 caused considerable perturbations in the fecal microbiome, their salivary microbiome
259 was fairly resilient and did not undergo any major shifts(33). In another study, the oral

260 biofilm metatranscriptome of five individuals thirty minutes before and after a
261 carbohydrate-rich meal was analyzed, showing short-term changes for some of the
262 participants, but not consistently and not for all(34).

263 In our study, however, the influence of diet as a major environmental factor was
264 examined over the course of 4 x 3 months involving three specific food items. These
265 longer-term dietary changes provoked persistent changes in the composition of the
266 supragingival microbiota that had not reverted even after the participants went back to
267 the original regular diet for three months, although in PV the abundance of some taxa,
268 e.g. *Streptococcus* spp., *Pasteurellaceae*, *Bacteroidia*, and *Rothia* spp. showed a
269 tendency to approximate the initial levels of PI again. This result indicates that certain
270 foods, in our case sucrose, dairy products and dietary fibers, if consumed frequently,
271 have the ability to induce changes in the composition of the oral biofilm microbiota, even
272 in adults, that persist for several months. This finding has not been demonstrated *in vivo*
273 so far. An extension of the last dietary phase with sampling of the oral biofilm at a later
274 point in time would be necessary to show if the changes will prove long-term or if the
275 microbiota will revert to the original composition after a certain period of time.

276 Concerning alpha-diversity and species richness, both Shannon effective and Simpson
277 effective values as well as the species richness, after a decrease in PII, were
278 significantly increased in PIII and in PIV compared to PII. In earlier studies of the oral
279 biofilm microbiota in caries, alpha-diversity and richness were usually decreased in
280 carious teeth and a higher diversity was found in healthy teeth(24). Although we
281 analyzed oral biofilm from healthy individuals without active carious lesions, the diet-
282 induced changes of the microbiota had an effect on richness and diversity parameters.
283 The observed diversity measures confirm the notion that a higher sucrose consumption

284 is supposed to create a shift of the microbiota towards a cariogenic composition and
285 higher dairy or dietary fiber intake presumably favors a healthy oral biofilm composition.
286 The effect of the consumption of milk and dairy products on caries development has
287 been debated in the past. Some studies did not find any anti-cariogenic effect and only
288 found less demineralization of enamel specimens with whole milk, but not skimmed milk
289 compared to a sucrose solution(35, 36). However, these experiments were performed *in*
290 *vitro* on a single species biofilm model with *Streptococcus mutans* which is not able to
291 reasonably reflect the actual complexity of the oral biofilm *in situ* with a multitude of
292 interacting species and more than one species producing acids. Animal studies reported
293 findings of bovine milk not being cariogenic and slightly cariostatic in rodents, especially
294 when consumed together with cariogenic challenges(37-39). However several
295 epidemiological studies mainly revealed correlations of high milk or yoghurt consumption
296 with lower caries incidence in children and adults, especially in individuals with a high
297 sucrose intake, although some studies reported a neutral outcome, particularly in areas
298 with an already low caries prevalence in the population(12, 17, 23, 40). For example,
299 Lempert *et al.* found that Danish children and adolescents that had a milk and dairy
300 intake above the mean showed a significantly lower caries incidence after three
301 years(41). Tanaka *et al.* showed that young Japanese children had significantly lower
302 caries prevalence when consuming yoghurt more than four times a week(23).
303 However, so far only one recent study explored the oral microbiota in detail in relation to
304 self-reported milk intake using high-throughput sequencing(42), the same methodology
305 that was used in the present study. Johansson *et al.* sequenced 139 supragingival
306 plaque samples from adolescents whose milk intake had been recorded and categorized
307 into low, medium and high intake (high = more than 3.7 servings per day). Interestingly

308 they found that certain taxa differed significantly between the individuals who reported
309 high or low milk intake, e.g. *Streptococcus mutans* showed a significantly lower
310 abundance with high milk intake. The caries prevalence however, did not differ between
311 the groups, presumably because the higher milk intake was paralleled by a higher intake
312 of sweets.

313 In the present study, as the participants changed to a high dairy intake, we observed a
314 significant decrease in abundance of the whole genus *Streptococcus*, as well as the
315 phylum *Firmicutes*, of which streptococci are the main representatives. This significant
316 decrease was also shown on the species level for the oral streptococci *S. sanguinis*, *S.*
317 *gordonii* and *S. mitis*. It is known that these non-mutans streptococci include so called
318 'low pH streptococci', i.e. strains that are able to reduce the pH of a glucose broth to less
319 than 4.4(43). Albeit, it must be noted, that the species with the highest relative
320 abundance was *S. mitis* (PII, 20.83%; PIII, 13.34%), a species that contains acid-tolerant
321 isolates(44), however has not been reported to show a high acidogenic potential(43).

322 The prevalence and the abundance of *S. mutans*, the major acidogenic species was
323 only found in two study participants in very low abundances (below 0.01%; except for
324 PII, 0.115 %). Most probably, the reason for this is that we analyzed oral biofilm from
325 healthy individuals with no active carious lesions(24). Nevertheless, the fluctuations of
326 the abundance of *S. mutans*, although very low, follow the same pattern as other oral
327 streptococci, which increased in PII and then substantially decreased in PIII and PIV,
328 going back to almost their original abundance in PV.

329 Some other taxa showed a significantly increased abundance in PIII, including
330 *Pasteurellaceae*, with *Haemophilus* its main representative in the oral biofilm,
331 *Bacteroidia*, with the genera *Porphyromonas*, *Prevotella* and *Alloprevotella* as main

332 representatives and the genus *Rothia*. *Haemophilus* spp. as well as *Rothia* spp. have
333 been found in high abundance as a normal part of the oral microbiota of caries-free
334 individuals and can be regarded as health-associated(24, 45, 46). Regarding
335 *Porphyromonas* spp. and *Prevotella* spp., Johansson *et al.* also observed a higher
336 relative abundance for the genus *Porphyromonas* and some *Prevotella* species,
337 although periodontitis-associated *P. intermedia* and *P. melaninogenica* showed a lower
338 abundance in the group with high milk intake(42). In our study we were not able to
339 classify the genus *Prevotella* on the species level by sequencing, yet the cultivation of
340 corresponding samples revealed mainly *Prevotella nigrescens*, *Prevotella histicola*,
341 *Prevotella loeschei* and *Prevotella salivae* (data not shown). It can be assumed that the
342 abundance of these taxa increased as pH increased and also due to the higher
343 availability of proteins and peptides stemming from the milk and yoghurt that can be
344 utilized by these taxa.

345 In view of the ecological plaque hypothesis, the decrease of the genus *Streptococcus* in
346 PIII is indicative of a reduction of aciduric and acidogenic bacterial taxa in the
347 supragingival biofilm as a consequence of the elevated milk and yoghurt intake, hereby
348 creating an environment that is less prone to the development of carious lesions. As we
349 studied the oral biofilm microbiota of healthy participants and not longer than three
350 months per dietary phase, it is not possible to predict the outcome regarding the long-
351 term development of carious lesions on the enamel slab surfaces had the different
352 dietary phases been prolonged. Nevertheless, the measurements of the enamel surface
353 roughness confirmed our conclusion of an oral biofilm with low cariogenicity regarding its
354 effect on the enamel surface. The roughness showed a decrease in PIII, with a clear
355 trend towards significance ($p=0.056$). In PIV and PV the surface roughness was

356 significantly lower than in PI, pointing to a lasting smoothing effect of the dietary phases
357 III and IV.

358
359 Different aspects concerning plant-based foods have been assumed to exert an effect
360 on oral health. A diet high in plant-based, whole-foods has been linked to a lower
361 prevalence of caries, where phosphates, polyphenolic compounds as well as dietary
362 fibers that increase salivary flow are assumed to be protective factors(17). Polyphenols
363 possess powerful antioxidant activity demonstrated in many *in vitro* studies and have
364 shown antibacterial activity against several periodontal pathogens(19). Regarding dental
365 caries, studies investigating specific polyphenols demonstrated inhibition of glucan
366 synthesis, adherence or acid production of mutans streptococci. Moreover, whole plant
367 extracts, including other components in addition to polyphenols, have been shown to
368 result in a decrease in the growth and virulence of mutans streptococci *in vitro*(19).
369 These results were also confirmed in animal experiments, examining rats infected with
370 mutans streptococci(47).

371 However, to the best of our knowledge, the influence of plant-based food on the oral
372 biofilm microbiota has not yet been investigated *in situ* in humans. In the present study,
373 the effect of fibrous plant foods on the mechanical stimulation of salivary flow could not
374 have been detected, since the participants consumed vegetable puree which did not
375 require a lot of chewing. Therefore the influence of the frequent dietary fiber intake on
376 the composition of the oral biofilm was thought to arise directly from plant compounds.
377 However the constraint that in PIV the simple carbohydrate intake was significantly lower
378 than in PI needs to be taken into account, which could also have had an effect.

379 Even though we did not select any specific foods containing very high amounts of
380 certain polyphenols, all the vegetables used in the study contain a variety of phenolic
381 compounds, e.g. phenolic acids or flavonoids(48). Frequent consumption of a
382 combination of several vegetables was deemed more reasonable than concentrating on
383 a certain food product, since it is more feasible for individuals to focus on a diet that is
384 rich in a variety of vegetables which is a recommendation for general health already. In
385 PIV we observed a microbial community that was not significantly different from PIII
386 regarding the beta-diversity, i.e. it was characterized by a low abundance of the genus
387 *Streptococcus* and a slightly decreasing abundance of *Pasteurellaceae*, *Bacteroidia* and
388 *Granulicatella* spp.. The genera *Rothia* and *Granulicatella* showed a significantly lower
389 abundance in PIV compared to PIII and PI, respectively, whereas *Leptotrichiaceae* had
390 a significantly higher abundance compared to PI and PII, respectively. There is
391 contradicting information found in literature concerning the genus *Leptotrichia*. It is
392 reported to be one of the dominant genera in the resident oral flora and many studies
393 have associated it with caries-free individuals(49, 50) but at the same time it has also
394 been associated with caries in other reports(49, 51, 52). Altogether this phase reflects a
395 composition of the oral biofilm microbiota with a low abundance of potentially acidogenic
396 streptococci and simultaneously a high abundance of taxa that are mostly considered
397 part of a healthy oral flora.

398 In conclusion, the dietary phases with elevated sucrose, dairy and dietary fiber intake
399 induced fluctuations of the oral biofilm microbiota that were still detectable three months
400 after returning to the original baseline diet. Increased sucrose consumption favoured
401 caries-promoting non-mutans streptococci while frequent milk and yoghurt consumption
402 lowered the abundance of these taxa. A high dietary fiber intake revealed a high

403 abundance of mostly representatives of the normal oral microbiota. The observed
404 changes were reflected in the developments of the enamel surface roughness, which
405 was lowered after elevated dairy and dietary fiber intake. These results support and
406 confirm the ecological plaque hypothesis. They point towards the significance of
407 possible modulations of the microbiota through dietary changes even in adults, and
408 hence call for a multifactorial approach to help prevent caries as a multifactorial disease,
409 one factor being diet. This also seems to be a suitable therapeutic approach against
410 periodontal diseases(53). Thus, the proportion of potentially pathogenic species could
411 be influenced while the homeostasis of the commensal microflora can be preserved.

412

413 **Material and methods**

414 Study group and study design

415 The study group consisted of eleven healthy adults between 21 and 56 years (5 male, 6
416 female), that had given their written informed consent. Ethical approval was obtained
417 from the Ethics Committee of the University of Freiburg (No. 237/14) and all
418 experimental procedures were performed in accordance with relevant guidelines and
419 regulations. Exclusion criteria for the study comprised: severe systemic diseases or
420 diseases involving salivary glands and oral mucosa; acute or chronic oral diseases;
421 current dental treatment, use of antibiotics within the last 30 days, eating disorders, food
422 allergies or intolerances, allergies to dental materials, pregnancy or lactation. At the
423 beginning of each study phase, oral examinations were performed, including
424 measurements of salivary flow rates and buffering capacity of saliva as well as the
425 DMFT values. The study design, shown in Figure 1, consisted of five different dietary
426 phases (PI-PV), in which supragingival plaque was sampled using splint systems worn

427 by the participants for three x seven days (with seven-day intermissions) towards the
428 end of each three-month-long phase. In PI, the regular diet was kept, in PII to IV specific
429 foods were added to the regular diet and in PV the participants returned to their regular
430 diet. During each phase, the diet was monitored using a validated food frequency
431 questionnaire(54) and analyzed statistically using paired t-test. In PII, participants
432 consumed an additional 10 g rock candy per day (Weisser Kandis, Südzucker AG,
433 Mannheim, Germany), sucking small pieces of 2 g five times in between meals. PIII
434 included the additional consumption of 150 g plain yoghurt 3 times daily and 100 ml
435 long-life milk twice a day (both 1.5 % fat, Schwarzwaldmilch GmbH, Freiburg, Germany).
436 In PIV, the study participants consumed 500 g vegetable puree per day of the following
437 types: white carrot, parsnip, carrot, 'jardinière' (carrot, potato, cauliflower, pea), pumpkin
438 and 'garden vegetables' (carrot, potato, spinach, parsnip, leeks) (Reine Weiße Karotte,
439 Reine Pastinake, Reine Frühkarotte and Gemüse-Allerlei, Hipp GmbH Pfaffenhofen,
440 Germany; Kürbis pur, Gartengemüse, Alnatura, Darmstadt, Germany). The splint system
441 was taken out and stored in saline solution (0.9% NaCl) for regular meals and during
442 oral hygiene only, but worn while consuming the additional foods specific for the different
443 phases; these foods were eaten slowly, exposing them to the oral cavity for several
444 minutes. Participants brushed their teeth with standardized tooth brushes and toothpaste
445 (both Friscodent, Aldi Süd, Germany, toothpaste with a sodium fluoride content of
446 1.450ppm).

447
448 Splint systems containing bovine enamel slabs
449 Lower jaw acrylic appliances with bovine enamel slabs (BES) for the collection of
450 supragingival biofilm were manufactured as described in earlier studies (24). Each of

451 these splint systems was equipped with six BES which were placed in the area between
452 upper premolars and molars facing the teeth for the biofilm to grow undisturbed by
453 movements of the tongue (Figure 2). The BES were prepared as described earlier as
454 cylindrical slabs with a diameter of 5 mm that were polished by wet grinding, disinfected
455 and loaded on the splint systems. For each phase the *in situ* grown biofilm was
456 harvested from the slabs and used for high-throughput sequencing analysis, while the
457 BES itself was cleansed using cotton pellets and saline solution and used for the
458 analysis of the surface roughness. The splint system was disinfected (70% ethanol for 5
459 min), equipped with fresh BES and stored in saline solution until it was worn again.

460

461 16S r DNA Illumina MiSeq sequencing

462 DNA of the supragingival biofilm obtained from the BES was extracted using the DNeasy
463 blood & tissue Kit (Qiagen, Hilden, Germany) according to the manufacturer's protocol
464 for bacteria with an additional step for Gram-positive bacteria. For enzymatic lysis in
465 addition to lysozyme (20 mg/ml), mutanolysin (1.500 U/ml; Sigma Aldrich, Taufkirchen,
466 Germany) was applied and incubated for 1.5 h at 37°C. Microbial DNA was eluted twice
467 in 100 µl AE buffer and then used for amplicon library preparation with the primers S-D-
468 Bact-0008-a-S-16 (5'- AGA GTT TGA TCM TGG C -3') and S-D-Bact 0343-a-A-15 (5'-
469 CTG CTG CCT YCC GTA -3') amplifying a 335 bp fragment of the variable regions v1-
470 v2 and including the recommended adaptors for Illumina sequencing. Illumina paired-
471 end sequencing according to the Illumina MiSeq protocol for amplicon sequencing and
472 data analysis was performed as described in earlier studies(24). From this study the
473 sequencing data for PI and PII was taken and analyzed together with PIII-PV. Post-
474 processing was done with the IMNGS platform and the assembled OTUs for PI-V were

475 deposited in GenBank(55, 56). Additional analysis of the genus *Streptococcus* on the
476 species level was performed with a phylogenetic analysis in ARB after manual extraction
477 of all OTU sequence assigned to the genus *Streptococcus*(57). All sequences with a
478 similarity of 97% or higher with the oral streptococci, i.e. *S. infantis*, *S. mitis*, *S. oralis*, *S.*
479 *gordonii*, *S. sanguinis*, *S. parasanguinis*, *S. salivarius/vestibularis* and *S. mutans* were
480 identified. If only ambiguous affiliations were achieved, these OTUs were grouped as
481 *Streptococcus* spp.

482

483 Statistical analysis

484 Statistical analysis of the obtained OTUs was performed with the Rhea pipeline for R for
485 the analysis of sequence abundances, as well as alpha- and beta-diversity with
486 visualization using non-metric multi-dimensional scaling (NMDS)(55). All calculations
487 were done with normalized data. Generalized Uni Frac was used to calculate beta-
488 diversity. Subsequently, taxonomic binning and serial group comparisons were
489 performed for which the details can be found in the download package of Rhea.

490 For the analysis of the alpha-diversity linear mixed models (with random intercept for
491 each probing and study participant as clusters) were used to compare subgroups. The
492 method of Scheffe was applied to correct for the multiple testing problem (adjustment of
493 p-values). The intraclass correlation coefficient (ICC) was used to quantify the reliability
494 of the different methods. All computations were done with STATA 16.I.

495

496 Surface roughness of the enamel

497 To measure surface roughness a Keyence 3D Laserscanning Microscope VK-X210
498 (Keyence Deutschland GmbH, Neu-Isenburg, Germany) was used. First, the enamel

499 slabs were thoroughly cleansed and visually checked for any residues with the camera
500 unit. Then, a polygonal measuring field was selected and the complete surface was
501 measured with a resolution of 1000/mm, $\lambda_S=2.5$ and $\lambda_c=0.25$, excluding areas where the
502 specimens had been mechanically damaged.

503

504 Data availability

505 The datasets supporting the conclusion of this article are available through
506 GenBank (file: SUB7330939; accession numbers: MT435139 - MT435484).

507

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513 LK collected the samples. MR and KV performed statistical analyzes. ACA and MR
514 performed the experiments, analyzed the results, drafted the manuscript and prepared
515 the figures. All authors edited the manuscript and approved the final article.

516 The authors declare that they have no competing interests.

517

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657

658 **Figure Legends**

659 **Figure 1**

660 **Study design.** Supragingival biofilm samples grown on bovine enamel slabs on splint
661 systems worn by 11 study participants were collected in five three month-long phases at
662 three sampling times each (PIa,b,c – PVa,b,c). Regular diet (grey), dietary phases with

663 specific food intake added to the regular diet (pink), weeks in which splint system was
664 worn (blue), weeks in which splint system was not worn (burgundy).

665

666 **Figure 2**

667 **Splint system.** Lower-jaw splint system with bovine enamel slabs (a-e) for the collection
668 of supragingival oral biofilm

669

670 **Figure 3**

671 **Bacterial composition of supragingival biofilm of 11 study participants in different**
672 **dietary phases.** Relative abundance of bacterial phyla and most abundant genera (>
673 2%) (a) and oral *Streptococcus* species (b) detected in dietary phases I-V (including
674 zero values). In b) *Streptococcus* spp. refers to OTUs that could not be unambiguously
675 assigned to one of the oral *Streptococcus* species.

676

677 **Figure 3**

678 **Beta-diversity of the microbial communities in supragingival biofilm of 11 study**
679 **participants in different dietary phases based on UniFrac.** NMDS plots show
680 significant differences comparing beta-diversity of PI-PV. PI-PV are indicated in the plot
681 by numbers 1-5.

682

683 **Figure 4**

684 **Box plots demonstrating significant differences in relative species abundance in**
685 **supragingival biofilm in different dietary phases.** a) Box plots showing the relative
686 abundance (%) of different bacterial taxa that showed significant differences between

687 different dietary phases. b) Box plots showing the relative abundance (%) of oral
 688 *Streptococcus* species that showed significant differences between different dietary
 689 phases.

690

691 **Figure 5**

692 **Enamel surface roughness in different dietary phases.** Mean values [μm] of the
 693 surface roughness profile (Ra) of the enamel samples for the different dietary phases PI-
 694 PV.

695

696 **Tables**

697

698 **Table 1**

699 Mean values [%] of main nutrient intake by the 11 study participants in phase I-V.

700

Participant	Phase	% Simple CHO	% Complex CHO	% total CHO	% Proteins	% Fat
1	I	0.46	0.21	0.68	0.16	0.17
	II	0.23	0.40	0.63	0.20	0.16
	III	0.30	0.36	0.66	0.17	0.17
	IV	0.25	0.45	0.70	0.16	0.14
	V	0.26	0.41	0.67	0.18	0.15
2	I	0.22	0.42	0.64	0.15	0.21
	II	0.29	0.40	0.69	0.19	0.12
	III	0.37	0.22	0.60	0.23	0.18
	IV	0.15	0.38	0.53	0.31	0.16
	V	0.30	0.35	0.65	0.22	0.13
3	I	0.23	0.34	0.57	0.22	0.20
	II	0.32	0.29	0.61	0.20	0.19
	III	0.23	0.30	0.53	0.25	0.23
	IV	0.20	0.45	0.65	0.20	0.15
	V	0.15	0.45	0.60	0.21	0.18
4	I	0.24	0.22	0.46	0.22	0.32
	II	0.44	0.17	0.62	0.17	0.21
	III	0.27	0.36	0.63	0.17	0.20

5	IV	0.30	0.36	0.66	0.18	0.17
	V	0.27	0.34	0.62	0.17	0.21
	I	0.24	0.36	0.60	0.17	0.24
	II	0.13	0.47	0.60	0.18	0.21
	III	0.33	0.28	0.60	0.17	0.23
6	IV	0.30	0.37	0.67	0.15	0.17
	V	0.43	0.25	0.68	0.15	0.17
	I	0.23	0.27	0.51	0.25	0.24
	II	0.20	0.43	0.63	0.17	0.20
	III	0.28	0.35	0.63	0.18	0.19
7	IV	0.11	0.33	0.44	0.21	0.35
	V	0.26	0.23	0.49	0.24	0.27
	I	0.23	0.30	0.54	0.23	0.23
	II	0.23	0.26	0.49	0.23	0.28
	III	0.23	0.26	0.49	0.22	0.28
8	IV	0.09	0.38	0.47	0.25	0.28
	V	0.11	0.48	0.59	0.20	0.20
	I	0.36	0.28	0.64	0.18	0.18
	II	0.38	0.27	0.65	0.17	0.18
	III	0.30	0.24	0.54	0.16	0.30
9	IV	0.37	0.21	0.58	0.18	0.24
	V	0.33	0.30	0.63	0.17	0.20
	I	0.47	0.21	0.68	0.12	0.20
	II	0.37	0.33	0.69	0.14	0.16
	III	0.35	0.26	0.61	0.19	0.20
10	IV	0.46	0.26	0.72	0.14	0.14
	V	0.38	0.22	0.60	0.19	0.21
	I	0.21	0.35	0.56	0.18	0.26
	II	0.25	0.38	0.63	0.17	0.19
	III	0.36	0.34	0.70	0.14	0.16
11	IV	0.27	0.34	0.60	0.21	0.19
	V	0.31	0.31	0.63	0.19	0.18
	I	0.36	0.28	0.64	0.20	0.16
	II	0.31	0.10	0.41	0.21	0.38
	III	0.18	0.24	0.42	0.20	0.39
Mean	IV	0.27	0.29	0.56	0.20	0.24
	V	0.28	0.25	0.53	0.23	0.25
	I	0.28	0.30	0.58	0.20	0.22
	II	0.28	0.33	0.62	0.18	0.20
	III	0.28	0.29	0.57	0.18	0.24
	IV	0.25	0.34	0.59	0.20	0.21
	V	0.28	0.32	0.60	0.20	0.21

702

703 **Table 2**

704 Mean values of richness and alpha-diversity measures of the supragingival microbiota of
705 the 11 study participants in phase I-V

706

Phase	Richness	Shannon effective	Simpson effective
I	193.48 ± 29.9	37.36 ± 13.2	20.07 ± 7.8
II	171.27 ± 31.5	30.58 ± 13.2	16.13 ± 8.0
III	194.30 ± 38.5	43.47 ± 19.1	24.70 ± 12.8
IV	194.56 ± 37.5	42.03 ± 16.3	22.39 ± 9.1
V	183.14 ± 37.9	34.55 ± 14.9	18.40 ± 8.7

707

708

709 **Additional Information:**

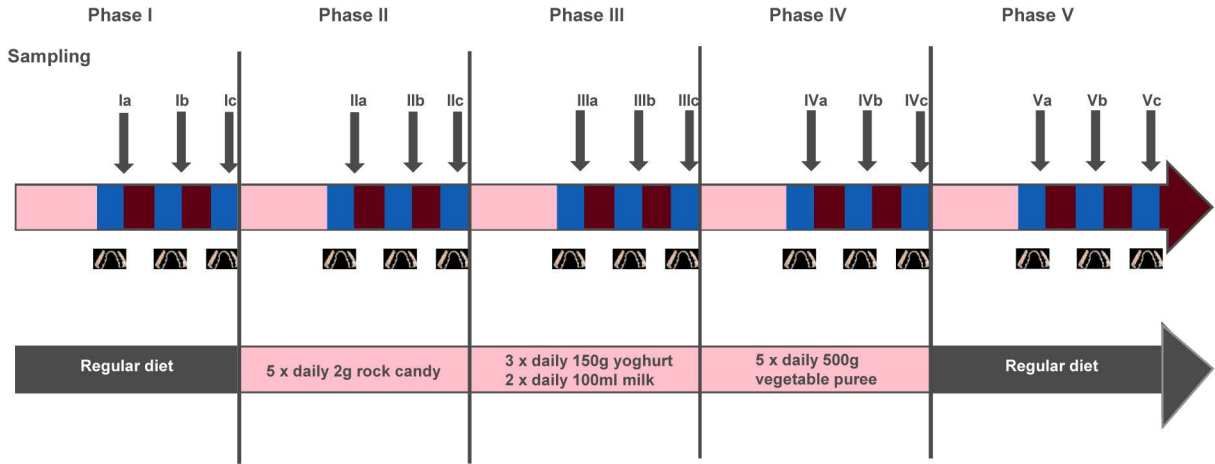
710 Supplementary Dataset:

711 **Table S1:** Bacterial taxa found in the supragingival biofilm samples of 11 study
712 participants in phase I-V with their relative abundances.

713 **Table S2:** OTUs assigned to the supragingival biofilm samples of 11 study participants
714 in phase I-V with their relative abundances.

715 **Table S3:** Relative abundances of the different oral streptococci found in the
716 supragingival biofilm of 11 study participants in phase I-V.

717



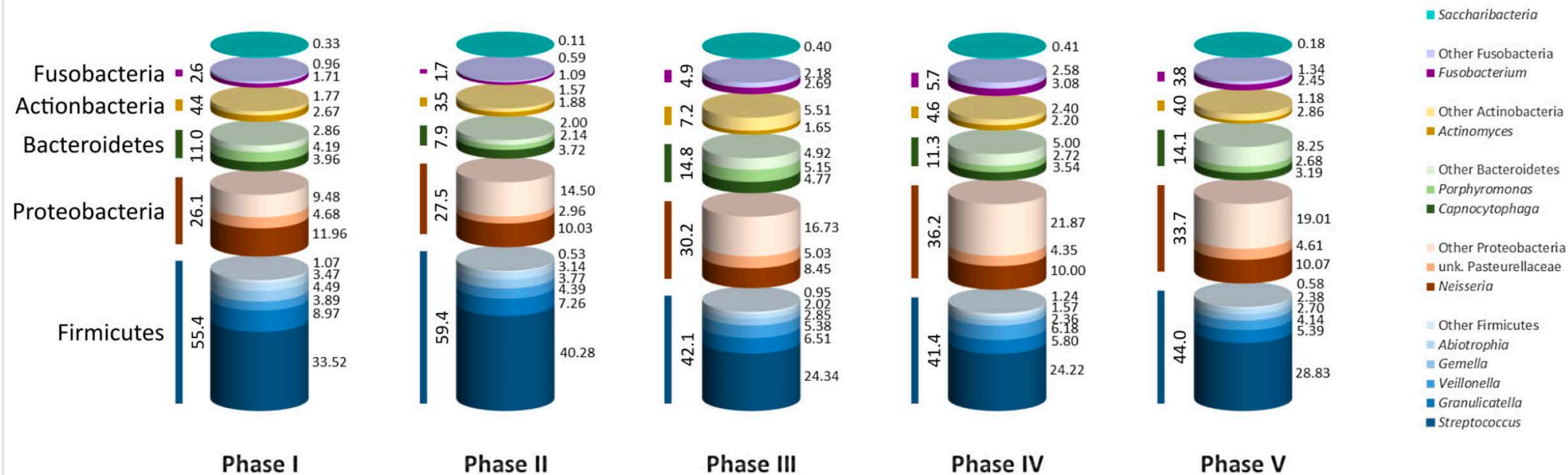
□ = 7 weeks no splint

■ = 7 days with splint

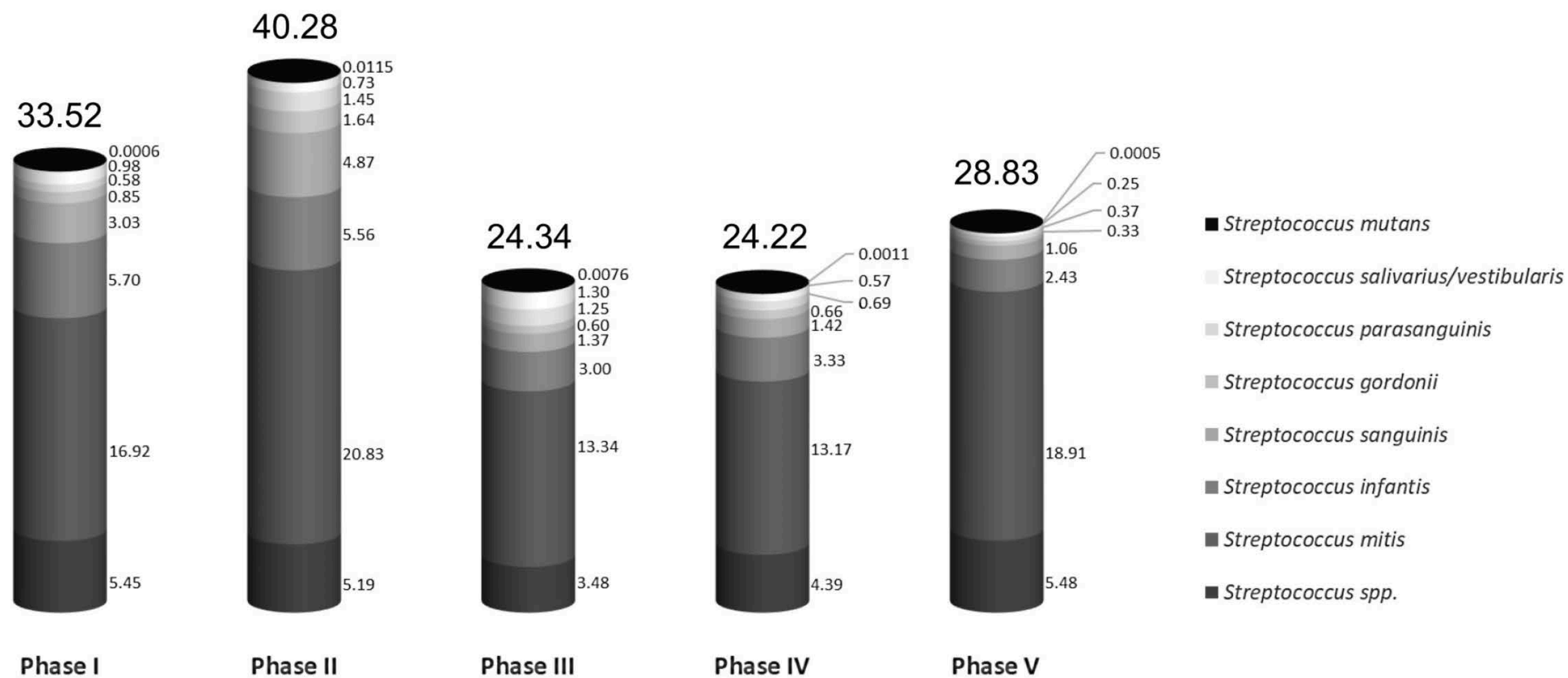
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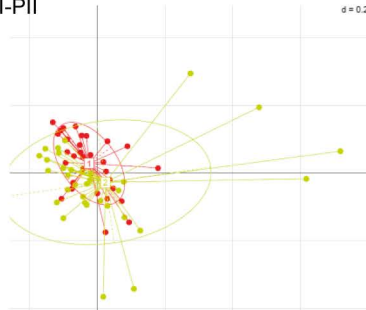
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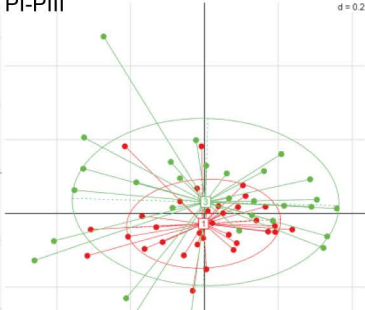
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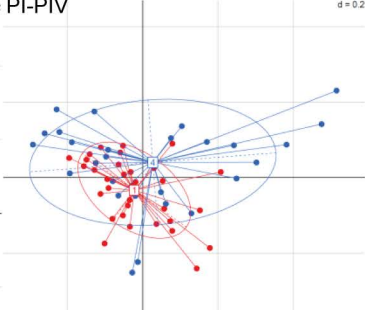
PI-PII



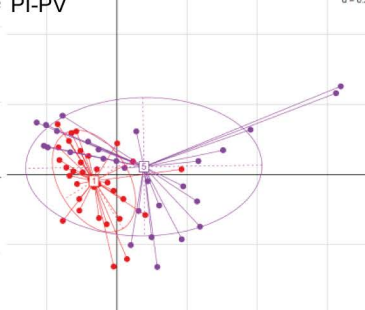
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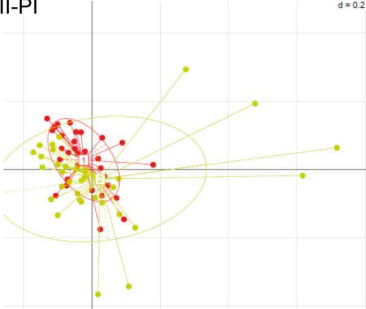
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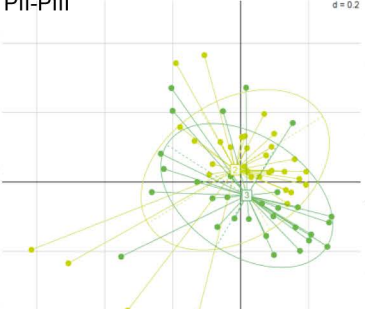
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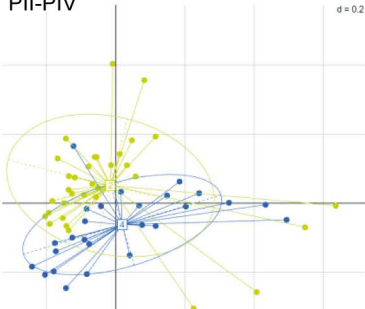
PII-PI



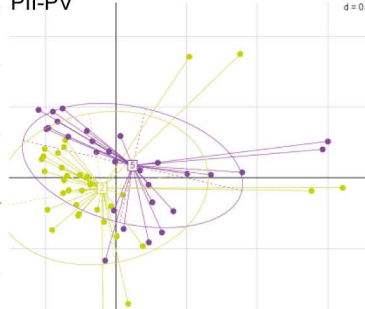
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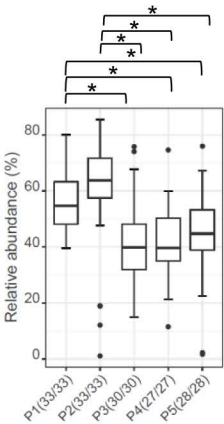


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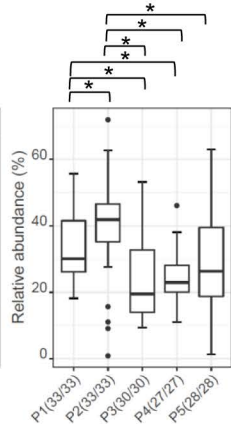


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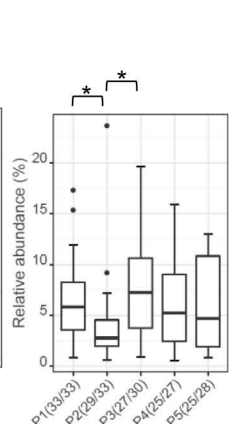




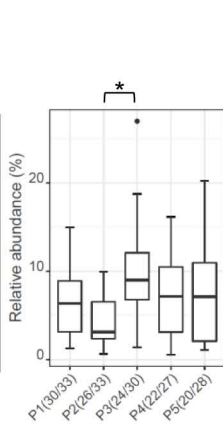
Firmicutes



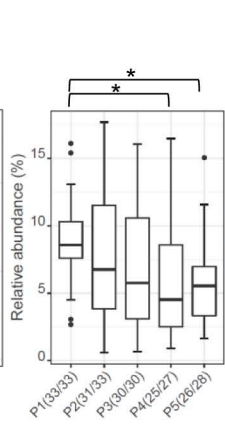
Streptococcus



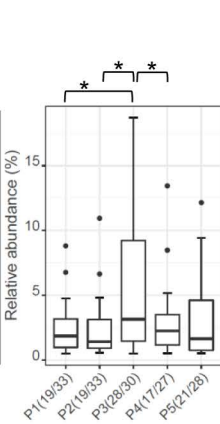
Pasteurellaceae



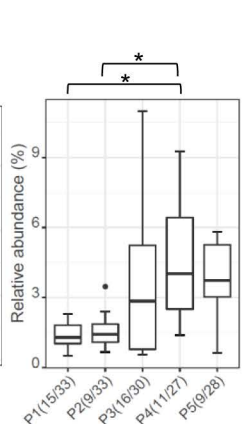
Bacteroidia



Granulicatella



Rothia



Leptotrichiaceae

