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## **Gauss sums of the cubic character over $\text{GF}(2^m)$ : an elementary derivation**

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# Gauss Sums of Cubic Characters over $GF(p^r)$ , $p$ odd

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## Abstract

An elementary approach is shown which derives the values of the Gauss sums over  $\mathbb{F}_{p^r}$ ,  $p$  odd, of a cubic character. New links between Gauss sums over different field extensions are shown in terms of factorizations of the Gauss sums themselves, which then are revisited in terms of prime ideal decompositions. Interestingly, one of these results gives a representation of primes  $p$  of the form  $6k + 1$  by a binary quadratic form in integers of a subfield of the cyclotomic field of the  $p$ -th roots of unity.

**Keywords:** Gauss sum, character, finite fields, algebraic number fields.

**Mathematics Subject Classification (2010):** 12Y05, 12E30

## 1 Introduction

Let  $\mathbb{F}_{p^r}$  be a Galois field of order  $p^r$ , with  $\text{Tr}_r(x) = \sum_{j=0}^{r-1} x^{p^j}$  being the trace function over  $\mathbb{F}_{p^r}$ , and  $\text{Tr}_{r/d}(x) = \sum_{j=0}^{r/d-1} x^{p^{dj}}$  the relative trace function over  $\mathbb{F}_{p^r}$  relatively to  $\mathbb{F}_{p^d}$ , with  $d|r$  [16]. Further let  $\chi_m$  be a character of order  $m$  defined over  $\mathbb{F}_{p^r}$  and taking values in the cyclotomic field  $\mathbb{Q}(\zeta_m)$ , where  $\zeta_m$  denotes a primitive  $m$ -th complex root of unity. The Gauss sum of  $\chi_m$  over  $\mathbb{F}_{p^r}$  is defined as ([3, 13])

$$G_r(\beta, \chi_m) = \sum_{y \in \mathbb{F}_{p^r}} \chi_m(y) \zeta_p^{\text{Tr}_r(\beta y)} = \bar{\chi}_m(\beta) G_r(1, \chi_m) .$$

We will focus our interest on cubic characters  $\chi_3$  for odd primes  $p$  (the case  $p = 2$  is dealt with in [20]), that is,  $\chi_3$  may be the principal character, i.e.  $\chi_3(\beta) = 1$  for all  $\beta \in \mathbb{F}_{p^r}^*$ , or, if  $p^r \equiv 1 \pmod{6}$ , it may be a non-principal character

$$\chi_3(\alpha^{h+3j}) = \zeta_3^h \quad h = 0, 1, 2, \quad j \in \mathbb{N} ,$$

where  $\alpha$  is a generator of  $\mathbb{F}_{p^r}^*$ , in addition,  $\chi_3(0) = 0$  by definition.

By the above assumptions, the values of the Gauss sums of a cubic character over  $\mathbb{F}_{p^r}$  are in general

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algebraic integers in the field  $\mathbb{Q}(\zeta_3, \zeta_p) = \mathbb{Q}(\zeta_{3p})$ ,  $p \neq 3$  and  $\mathbb{Q}(\zeta_3)$ , if  $p = 3$ . Our aim is to derive more precise statements about these values with elementary techniques. In particular, Gauss sums over extended fields will often be obtained from the expression of Gauss sums over smaller fields, either by means of Davenport-Hasse's theorem or with new techniques developed here.

## 2 Lemmas

For the considerations below, let

$$A_s(\alpha) = \sum_{y \in \mathbb{F}_{p^s}} \chi_m(y + \alpha) \quad \text{and} \quad B_{r,s}(\alpha) = \sum_{z_1, \dots, z_{r-1} \in \mathbb{F}_{p^s}} \chi_m(1 + \sum_{i=1}^{r-1} z_i \alpha^i),$$

where  $\alpha$  may be in an extension of  $\mathbb{F}_{p^s}$ , and  $\chi_m$  defined in the same extension.

**Lemma 1** *Let  $\chi_m$  be a nontrivial character of order  $m$  over  $\mathbb{F}_{p^r}$ ,  $p$  prime, whose restriction to  $\mathbb{F}_{p^s}$  is also nontrivial, and assume that there exists an irreducible polynomial  $X^r - \beta$ , for a suitable  $\beta \in \mathbb{F}_{p^s}$ . Then*

$$G_{rs}(1, \chi_m) = \bar{\chi}_m(r) G_s(1, \chi_m) B_{r,s}(\alpha)$$

where  $\alpha$  is a root of  $X^r - \beta$  (thus with relative trace  $\text{Tr}_{r,s/s}(\alpha) = 0$ )

PROOF. Since  $\mathbb{F}_{p^r}$  is an extension of order  $r$  of  $\mathbb{F}_{p^s}$ , its elements can be written in the form  $\sum_{i=0}^{r-1} x_i \alpha^i$  with  $x_0, \dots, x_{r-1} \in \mathbb{F}_{p^s}$ . We thus have

$$\begin{aligned} G_{rs}(1, \chi_m) &= \sum_{z \in \mathbb{F}_{p^r}} \chi_m(z) \zeta_p^{\text{Tr}_{rs}(z)} = \sum_{x_0, \dots, x_{r-1} \in \mathbb{F}_{p^s}} \chi_m\left(\sum_{i=0}^{r-1} x_i \alpha^i\right) \zeta_p^{\text{Tr}_{rs}\left(\sum_{i=0}^{r-1} x_i \alpha^i\right)} \\ &= \sum_{x_0, \dots, x_{r-1} \in \mathbb{F}_{p^s}} \chi_m\left(\sum_{i=0}^{r-1} x_i \alpha^i\right) \zeta_p^{\text{Tr}_s(rx_0)} = \sum_{\substack{x_0 \in \mathbb{F}_{p^s}^* \\ x_1, \dots, x_{r-1} \in \mathbb{F}_{p^s}}} \chi_m\left(\sum_{i=0}^{r-1} x_i \alpha^i\right) \zeta_p^{\text{Tr}_s(rx_0)}, \end{aligned}$$

since

$$\text{Tr}_{rs}\left(\sum_{i=0}^{r-1} x_i \alpha^i\right) = \text{Tr}_s\left(\text{Tr}_{rs/s}\left(\sum_{i=0}^{r-1} x_i \alpha^i\right)\right) = \sum_{i=0}^{r-1} \text{Tr}_s(x_i \text{Tr}_{rs/s}(\alpha^i)) = \text{Tr}_s(rx_0),$$

as  $\text{Tr}_{rs/s}(x_i \alpha^i) = x_i \text{Tr}_{rs/s}(\alpha^i)$  because  $x_i^{p^s} = x_i$ , further  $\text{Tr}_{rs/s}(1) = r$  and  $\text{Tr}_{rs/s}(\alpha^i) = 0$  if  $i > 0$  because  $\alpha$  is a root of the polynomial  $X^r - \beta$  which has every coefficient (that is an elementary symmetric function of the roots) equal to zero with the exclusion of the constant coefficient, then every symmetric function of the powers of the roots  $s_i = \sum_{j=0}^{r-1} (\alpha^{p^j})^i = \text{Tr}_{rs/s}(\alpha^i)$  with  $i = 1, \dots, r-1$  is zero as a consequence of the Newton formulas [4, vol. I, p.166], we thus have  $\text{Tr}_{rs}\left(\sum_{i=0}^{r-1} x_i \alpha^i\right) = \text{Tr}_s(rx_0)$ ; lastly, the summation  $\sum_{x_1, \dots, x_{r-1} \in \mathbb{F}_{p^s}} \chi_m\left(\sum_{i=1}^{r-1} x_i \alpha^i\right)$  is zero, since with the change of variable  $x_i = x'_i \lambda$ , where  $\lambda$  is an element of  $\mathbb{F}_{p^s}^*$  with  $\chi_m(\lambda) \neq 1$ , the sum becomes  $\chi_m(\lambda) \sum_{x_1, \dots, x_{r-1} \in \mathbb{F}_{p^s}} \chi_m\left(\sum_{i=1}^{r-1} x_i \alpha^i\right)$ .

Now, as  $x_0 \neq 0$ , we may perform the change of variables  $x_i = x_0 z_i, i = 1, \dots, r-1$  and write

$$\begin{aligned} G_{rs}(1, \chi_m) &= \sum_{x_0 \in \mathbb{F}_{p^s}^*} \zeta_p^{\text{Tr}_s(rx_0)} \sum_{z_1, \dots, z_{r-1} \in \mathbb{F}_{p^s}} \chi_m(x_0 + x_0 \sum_{i=1}^{r-1} z_i \alpha^i) \\ &= \sum_{x_0 \in \mathbb{F}_{p^s}^*} \zeta_p^{\text{Tr}_s(rx_0)} \chi_m(x_0) \sum_{z_1, \dots, z_{r-1} \in \mathbb{F}_{p^s}} \chi_m(1 + \sum_{i=1}^{r-1} z_i \alpha^i). \end{aligned}$$

The conclusion is immediate noting that the first summation is simply  $\bar{\chi}_m(r)G_s(1, \chi_m)$ .  $\square$

The following Lemma is a corollary of the previous one, specialized to the case  $r = 2$ , however, we present another proof whose structure and running results are instrumental to proofs of further theorems.

**Lemma 2** *Let  $\chi_m$  be a nontrivial character of order  $m$  over  $\mathbb{F}_{p^{2s}}$ ,  $p$  odd, whose restriction to  $\mathbb{F}_{p^s}$  is also nontrivial; then*

$$G_{2s}(1, \chi_m) = \chi_m(\alpha)G_s(1, \chi_m)A_s\left(\frac{1}{2\alpha}\right)$$

where  $\alpha$  is a root of an irreducible polynomial  $X^2 - \beta$  (thus with relative trace  $\text{Tr}_{2s/s}(\alpha) = 0$ ), for a suitable  $\beta \in \mathbb{F}_{p^s}$  (that is  $\chi_2(\beta) = -1$  for a quadratic character over  $\mathbb{F}_{p^s}$ ).

PROOF. Since  $\mathbb{F}_{p^{2s}}$  is a quadratic extension of  $\mathbb{F}_{p^s}$ , its elements can be written in the form  $x + \alpha y$  with  $x, y \in \mathbb{F}_{p^s}$ . We thus have

$$G_{2s}(1, \chi_m) = \sum_{z \in \mathbb{F}_{p^{2s}}} \chi_m(z) \zeta_p^{\text{Tr}_{2s}(z)} = \sum_{x, y \in \mathbb{F}_{p^s}} \chi_m(x + \alpha y) \zeta_p^{\text{Tr}_{2s}(x + \alpha y)} = \sum_{x, y \in \mathbb{F}_{p^s}} \chi_m(x + \alpha y) \zeta_p^{\text{Tr}_s(2x)},$$

since

$$\text{Tr}_{2s}(x + \alpha y) = \text{Tr}_{2s}(x) + \text{Tr}_{2s}(\alpha y) = 2\text{Tr}_s(x) + \text{Tr}_s(\alpha y) + \text{Tr}_s(\alpha^{p^s} y) = 2\text{Tr}_s(x) + \text{Tr}_s(\alpha y) + \text{Tr}_s(-\alpha y),$$

as the Frobenius map  $x \rightarrow x^{p^s}$  permutes the roots of  $X^2 - \beta$ . We thus have  $\text{Tr}_{2s}(x + \alpha y) = 2\text{Tr}_s(x) = \text{Tr}_s(2x)$ . Multiplying the last sum by  $\bar{\chi}_m(2)\chi_m(2) = 1$ , we can write

$$G_{2s}(1, \chi_m) = \bar{\chi}_m(2) \sum_{x', y \in \mathbb{F}_{p^s}} \chi_m(x' + 2\alpha y) \zeta_p^{\text{Tr}_s(x')},$$

and split the summation into three sums

$$\bar{\chi}_m(2) \sum_{y \in \mathbb{F}_{p^s}} \chi_m(2\alpha y), \quad \bar{\chi}_m(2) \sum_{x' \in \mathbb{F}_{p^s}^*} \chi_m(x') \zeta_p^{\text{Tr}_s(x')}, \quad \bar{\chi}_m(2) \sum_{x', y \in \mathbb{F}_{p^s}^*} \chi_m(x' + 2\alpha y) \zeta_p^{\text{Tr}_s(x')}.$$

The first summation is 0, the second summation is  $\bar{\chi}_m(2)G_s(1, \chi_m)$ ; the third summation can be written as follows: the substitution  $y = zx'$  yields

$$\bar{\chi}_m(2) \sum_{x', z \in \mathbb{F}_{p^s}^*} \chi_m(x' + 2\alpha z x') \zeta_p^{\text{Tr}_s(x')} = \bar{\chi}_m(2) \sum_{x' \in \mathbb{F}_{p^s}^*} \chi_m(x') \zeta_p^{\text{Tr}_s(x')} \sum_{z \in \mathbb{F}_{p^s}^*} \chi_m(1 + 2\alpha z) =$$

$$\bar{\chi}_m(2)G_s(1, \chi_m)\chi_m(2\alpha) \sum_{z \in \mathbb{F}_{p^s}^*} \chi_m(z + \frac{1}{2\alpha}) = \bar{\chi}_m(2)G_s(1, \chi_m)\chi_m(2\alpha)[A_s(\frac{1}{2\alpha}) - \chi_m(\frac{1}{2\alpha})] .$$

In conclusion, by combining the above summations, we have  $G_{2s}(1, \chi_m) = \chi_m(\alpha)G_s(1, \chi_m)A_s(\frac{1}{2\alpha})$ . □

**Corollary 1** Suppose  $p$  is odd and  $t = 2^k s$ , with  $k \geq 1$ , and let  $\chi_m$  be a nontrivial character over  $\mathbb{F}_{p^t}$ , whose restriction to  $\mathbb{F}_{p^s}$  is also nontrivial. Then

$$G_t(1, \chi_m) = G_s(1, \chi_m) \prod_{i=1}^k \chi_m(\alpha_i) A_{2^{i-1}s}(\frac{1}{2\alpha_i}),$$

where  $\alpha_i$  is a root of an irreducible polynomial  $X^2 - \beta_i$  over  $\mathbb{F}_{p^{2^{i-1}s}}$ ,  $i = 1, \dots, k$ .

**Lemma 3** Let  $\chi_m$  be a character over  $\mathbb{F}_{p^s}$ ,  $p \equiv -1 \pmod m$  and  $m$  odd. Then  $G_s(1, \chi_m)$  is real.

PROOF. We can write

$$G_s(1, \chi_m) = G_0 + \zeta_m G_1 + \zeta_m^2 G_2 + \dots + \zeta_m^{m-1} G_{m-1} ,$$

where  $\zeta_m$  is a primitive  $m$ -th root of unity and

$$G_j = \sum_{\chi_m(x)=\zeta_m^j} \zeta_p^{\text{Tr}_s(x)} , \quad 0 \leq j \leq m-1 ,$$

known as Gauss periods [11], are real numbers, since  $\chi_m(x) = \chi_m(-x)$ , as  $-1 = (-1)^m$  is an  $m$ -th power, thus in each sum the exponentials occur in complex conjugated pairs. Furthermore,  $G_j = G_{m-j}$  as proved by the following argument:

$$G_j = \sum_{\chi_m(x)=\zeta_m^j} \zeta_p^{\text{Tr}_s(x)} = \sum_{\chi_m(x)=\zeta_m^j} \zeta_p^{\text{Tr}_s(x^p)} = \sum_{\chi_m(x^p)=\zeta_m^{pj}} \zeta_p^{\text{Tr}_s(x^p)} = \sum_{\chi_m(y)=\zeta_m^{m-j}} \zeta_p^{\text{Tr}_s(y)} = G_{m-j} ,$$

because raising the trace argument to the power  $p$  leaves the trace invariant,  $\zeta_m^{pj} = \zeta_m^{-j}$  as  $p$  is congruent to  $-1$  modulo  $m$ , and the automorphism  $\sigma(x) = x^p$  simply permutes the elements of the field. Then for any  $j$ ,  $\zeta_m^j G_j$  and  $\zeta_m^{m-j} G_{m-j}$  sum to give a real number, hence  $G_s(1, \chi_m)$  is also real. □

**Corollary 2** Let  $\chi_3$  be a cubic character,  $p \equiv 2 \pmod 3$  ( $p = 2$  or  $p = 6k + 5$ ). Then  $G_s(1, \chi_3)$  is real.

**Remark 1.** For the case  $p = 2$ , see an alternative proof in [20].

**Lemma 4** Let  $\chi_m$  be a nontrivial character over  $\mathbb{F}_{p^{2s}}$ , with  $p$  and  $m$  odd. If  $(p^s - 1, m) = 1$  (in particular the restriction of  $\chi_m$  to  $\mathbb{F}_{p^s}$  is trivial), then  $G_{2s}(1, \chi_m) = p^s$ .

PROOF. Let  $\alpha$  be defined, as in Lemma 2, as a root of an irreducible polynomial  $X^2 - \beta$ , with a suitable  $\beta \in \mathbb{F}_{p^s}$ , then the Gauss sum  $G_{2s}(1, \chi_m)$  can be written as

$$G_{2s}(1, \chi_m) = \sum_{z \in \mathbb{F}_{p^{2s}}} \chi_m(z) \zeta_p^{\text{Tr}_{2s}(z)} = \sum_{x, y \in \mathbb{F}_{p^s}} \chi_m(x + \alpha y) \zeta_p^{\text{Tr}_{2s}(x + \alpha y)} = \sum_{x, y \in \mathbb{F}_{p^s}} \chi_m(x + \alpha y) \zeta_p^{\text{Tr}_s(2x)} .$$

We split the summation into three:  $S_1 = \bar{\chi}_m(2) \sum_{y \in \mathbb{F}_{p^s}} \chi_m(2\alpha y)$ ,

$$S_2 = \bar{\chi}_m(2) \sum_{x' \in \mathbb{F}_{p^s}^*} \chi_m(x') \zeta_p^{\text{Tr}_s(x')}, \text{ and } S_3 = \bar{\chi}_m(2) \sum_{x', y \in \mathbb{F}_{p^s}^*} \chi_m(x' + 2\alpha y) \zeta_p^{\text{Tr}_s(x')} .$$

The first summation is  $S_1 = \chi_m(\alpha)(p^s - 1)$ , since the character is trivial over  $\mathbb{F}_{p^s}$ , the second summation is  $S_2 = -\bar{\chi}_m(2)$ , and the third summation, after the substitution  $y = zx'$ , gives

$$S_3 = \bar{\chi}_m(2) \sum_{x' \in \mathbb{F}_{p^s}^*} \chi_m(x') \zeta_p^{\text{Tr}_s(x')} \sum_{z \in \mathbb{F}_{p^s}^*} \chi_m(1 + 2\alpha z) = -\bar{\chi}_m(2) [\chi_m(2\alpha) \sum_{z \in \mathbb{F}_{p^s}} \chi_m(\frac{1}{2\alpha} + z) - 1] .$$

In order to evaluate  $A_s(\frac{1}{2\alpha}) = \sum_{z \in \mathbb{F}_{p^s}} \chi_m(\frac{1}{2\alpha} + z)$ , we consider the sum of  $A_s(\beta)$ , for every  $\beta \in \mathbb{F}_{p^{2s}}$ , and observe that  $A_s(\beta) = p^s - 1$  if  $\beta \in \mathbb{F}_{p^s}$ , since all elements in this field are  $m$ -th powers, while, if  $\beta \notin \mathbb{F}_{p^s}$  all sums assume the same value  $A_s(\alpha)$ , which is shown as follows: set  $\beta = u + \alpha v$  with  $v \neq 0$ , then

$$\sum_{z \in \mathbb{F}_{p^s}} \chi_m(z + u + \alpha v) = \sum_{z \in \mathbb{F}_{p^s}} \chi_m(v) \chi_m((z + u)v^{-1} + \alpha) = \sum_{z' \in \mathbb{F}_{p^s}} \chi_m(z' + \alpha) = A_s(\alpha) .$$

Therefore, the sum  $\sum_{\beta \in \mathbb{F}_{p^{2s}}} A(\beta) = \sum_{\beta \in \mathbb{F}_{p^{2s}}} \sum_{z \in \mathbb{F}_{p^s}} \chi_m(z + \beta) = \sum_{z \in \mathbb{F}_{p^s}} \sum_{\beta \in \mathbb{F}_{p^{2s}}} \chi_m(z + \beta) = 0$  yields

$$p^s(p^s - 1) + (p^{2s} - p^s)A(\alpha) = 0$$

which implies  $A(\alpha) = -1 = A(\frac{1}{2\alpha})$  (clearly  $\frac{1}{2\alpha}$  is not in  $\mathbb{F}_{p^s}$ , otherwise  $\alpha = \frac{1}{2\alpha}2\alpha^2 = 2\beta\frac{1}{2\alpha}$  would also be in  $\mathbb{F}_{p^s}$ ). Finally, by combining the above,

$$G_{2s}(1, \chi_m) = \chi_m(\alpha)(p^s - 1) + \chi_m(\alpha) = \chi_m(\alpha)p^s = p^s ,$$

since  $\alpha$ , a root of  $X^2 - \beta$ , is an  $m$ -th power, since every  $\beta \in \mathbb{F}_{p^s}$  is an  $m$ -th power. □

**Remark 2.** The above lemma can also be proved using a theorem by Stickelberger ([16, Theorem 5.16] or [22]).

### 3 Results

**Trivial character.** We have

$$G_r(1, \chi_3) = \sum_{y \in \mathbb{F}_{p^r}} \chi_3(y) \zeta_p^{\text{Tr}_r(y)} = \sum_{y \in \mathbb{F}_{p^r}} \zeta_p^{\text{Tr}_r(y)} - 1 = p^{r-1} \sum_{a \in \mathbb{F}_p} \zeta_p^a - 1 = -1 ,$$

since the number of elements with the same trace  $a \in \mathbb{F}_p$  (0 included) is equal to  $p^{r-1}$ , i.e. the number of roots in  $\mathbb{F}_{p^r}$  of the equation  $\text{Tr}_r(x) = a$ . This result settles in particular all the cases of the fields  $\mathbb{F}_{3^r}$ , or  $\mathbb{F}_{p^r}$  with  $p \equiv 5 \pmod{6}$  and odd  $r$ , where there is only the principal character because every field element is a cube.

**Nontrivial character: case  $p=6k+5$ .** If  $p = 6k + 5$  and  $r$  is even, a nontrivial cubic character exists and it will be shown that  $G_r(1, \chi_3) = -(-p)^{r/2}$ , without recurring to Davenport-Hasse's theorem.

**Theorem 1** *If  $p = 6k + 5$  and  $s$  is odd, then  $G_{2s}(1, \chi_3) = p^s$ .*

PROOF. Since  $p^s \equiv -1 \pmod{3}$ , the conclusion is a consequence of Lemma 4. □

**Theorem 2** *If  $p = 6k + 5$  and  $s$  is even, then  $G_{2s}(1, \chi_3) = (-p)^{s/2} G_s(1, \chi_3)$ .*

PROOF. Let  $\alpha \in \mathbb{F}_{p^{2s}}$  be a cube and root of an irreducible polynomial  $X^2 - \beta$  over  $\mathbb{F}_{p^s}$  (clearly such an  $\alpha$  exists, since if  $\gamma$  is a root of  $X^2 - \beta$ , with  $\chi_2(\beta) = -1$ , then  $\gamma^3$ , a cube, is a root of  $X^2 - \beta^3$  and  $\chi_2(\beta^3) = \chi_2(\beta)^3 = -1$ ). Then by Lemma 2

$$G_{2s}(1, \chi_3) = G_s(1, \chi_3) A_s\left(\frac{1}{2\alpha}\right) ,$$

where  $A_s\left(\frac{1}{2\alpha}\right) = \sum_{z \in \mathbb{F}_{p^s}} \chi_3\left(\frac{1}{2\alpha} + z\right)$  is an algebraic integer in the cyclotomic field  $\mathbb{Q}(\zeta_3)$  which can be written as  $A_0 + \zeta_3 A_1 + \zeta_3^2 A_2$ , where  $A_0, A_1$ , and  $A_2$  are the number of characters  $\chi_3\left(\frac{1}{2\alpha} + z\right)$  that are 1,  $\zeta_3$  or  $\zeta_3^2$ , respectively, and  $A_0 + A_1 + A_2 = p^s$ .

Now, by Lemma 3, both  $G_{2s}(1, \chi_3)$  and  $G_s(1, \chi_3)$  are real, which implies that  $A_s\left(\frac{1}{2\alpha}\right)$  is also real, so that  $A_1 = A_2$ . We also know that  $A_0 + A_1 + A_2 = A_0 + 2A_1 = p^s$ , so we consider two equations for  $A_0$  and  $A_1$ :

$$\begin{cases} A_0 + 2A_1 = p^s \\ A_0 - A_1 = \pm p^{s/2} \end{cases}$$

obtained from the fact that we know the absolute values of  $G_s(1, \chi_3)$  and  $G_{2s}(1, \chi_3)$  ([3, Theorem 1.1.4, p.10],[20]).

Solving for  $A_1$  we have  $A_1 = \frac{1}{3}(p^s \mp p^{s/2})$ . As  $A_1$  must be an integer, we have

$$\begin{cases} A_0 - A_1 = p^{s/2} & \text{if } s/2 \text{ is even} \\ A_0 - A_1 = -p^{s/2} & \text{if } s/2 \text{ is odd.} \end{cases}$$

□

**Corollary 3** *If  $p = 6k + 5$  and  $s$  is even, then  $G_{2s}(1, \chi_3) = -p^s$ .*

**Nontrivial character: case  $p=6k+1$ .** If  $p = 6k+1$ ,  $p^r - 1$  is divisible by 3, so there exists a nontrivial cubic character in  $\mathbb{F}_{p^r}$  for every  $r \geq 1$ : we know that the Gauss sum over  $\mathbb{F}_p$  of a nontrivial cubic character is an algebraic integer in  $\mathbb{Q}(\zeta_{3p})$  of absolute value  $\sqrt{p}$ . Specifically we have

**Theorem 3** *If  $p = 6k + 1$ , then  $G_1(1, \chi_3)$  is an element of  $\mathbb{Q}(\zeta_3, \eta)$ , a subfield of  $\mathbb{Q}(\zeta_{3p})$  with degree 6 over  $\mathbb{Q}$ , where  $\eta$  is a root of a cubic polynomial with rational integer coefficients and cyclic Galois group over  $\mathbb{Q}$ .*

PROOF. As in the proof of Lemma 3, for a cubic character we can write

$$G_1(1, \chi_3) = G_0 + \zeta_3 G_1 + \zeta_3^2 G_2 ,$$

where  $\zeta_3$  is a primitive cube root of unity and, for  $0 \leq j \leq 2$ ,

$$G_j = \sum_{\chi_3(x)=\zeta_3^j} \zeta_p^x ,$$

which are real numbers since  $\chi_3(x) = \chi_3(-x)$ , as  $-1$  is a cubic power. Then, to evaluate the Gauss sum  $G_1(1, \chi_3)$  is tantamount to compute the Gauss periods  $G_0$ ,  $G_1$ , and  $G_2$ . The following derivation can be found partly, in different form, in Gauss [11, art.350-352].

Let  $a$  be any positive integer less than  $p$  and  $\sigma_a \in \mathfrak{G}(\mathbb{Q}(\zeta_p)/\mathbb{Q})$  be the element of the Galois group of  $\mathbb{Q}(\zeta_p)$ , whose action on  $\zeta_p$  is defined as  $\sigma_a(\zeta_p) = \zeta_p^a$  ([23]), then

$$\sigma(G_j) = \sum_{\chi_3(x)=\zeta_3^j} \zeta_p^{ax} = \sum_{\chi_3(x'a^{-1})=\zeta_3^j} \zeta_p^{x'} = \sum_{\chi_3(x')=\zeta_3^j \chi_3(a)} \zeta_p^{x'} .$$

This implies that any of these automorphisms induces a permutation of  $G_0$ ,  $G_1$ , and  $G_2$ , and therefore leaves their symmetric functions invariant, which thus belong to  $\mathbb{Q}$ . In particular, the three elementary symmetric functions

$$s_1 = G_0 + G_1 + G_2 , \quad s_2 = G_0 G_1 + G_1 G_2 + G_2 G_1 , \quad s_3 = G_0 G_1 G_2 ,$$

are rational integers; it follows that  $G_0$ ,  $G_1$ , and  $G_2$  are roots of a cubic polynomial with rational coefficients  $q(z) = z^3 - s_1 z^2 + s_2 z - s_3$ , which has a cyclic Galois group of order 3 (since  $\frac{p-1}{3}$  values of  $a$  give the same permutation of its roots). Thus  $q(z)$  is irreducible over  $\mathbb{Q}$ , and denoting one root with  $\eta$ , the other roots can be expressed as polynomials with integer coefficients  $r_1(\eta)$  and  $r_2(\eta)$  of degree 2 in  $\eta$ . □

Gauss computed the coefficients of the cubic polynomial  $p(z)$  by clever manipulations of the periods, a task that generally has non-polynomial-time complexity in  $p$ . The following theorem proves that these coefficients can be computed, with deterministic polynomial-time complexity, exploiting pure arithmetic features of  $p$  without dealing with Gauss periods.

**Theorem 4** *Let  $q(z)$  be the monic polynomial whose roots are  $G_0$ ,  $G_1$  and  $G_2$ . Then*

$$q(z) = z^3 + z^2 - \frac{p-1}{3}z - \frac{p(3+u)-1}{27},$$

where  $u$  is obtained from the representation  $4p = u^2 + 27v^2$  and taken with the sign making the constant term an integer.



PROOF. Let  $q(z)$  be as above  $z^3 - s_1z^2 + s_2z - s_3$ . It is immediately seen that  $s_1 = -1$ , as  $\sum_{x=0}^{p-1} \zeta_p^x = 0$ . Using the structure constants of the integral algebra generated by  $G_0, G_1$ , and  $G_2$ , we will show that  $s_2 = -\frac{p-1}{3}$ , while  $s_3$  ultimately depends on the representation  $(u, v)$  of  $4p$  by the quadratic form  $u^2 + 27v^2$ .

Let  $\sigma$  be a generator of the cyclic Galois group of  $\mathbb{Q}(\eta)$  ( $\sigma$  is also a generator of the Galois group of  $q(z)$ ), then  $G_0 = \eta, G_1 = \sigma(\eta)$ , and  $G_2 = \sigma^2(\eta)$  are  $\mathbb{Q}$ -linearly independent [2] and generate an algebra ([19, Lemma 2.2]) whose constants of multiplication [9] are rational integers ([19, Remark 2.3]), so that  $G_0G_1, G_1G_2$ , and  $G_2G_0$  are linear combinations of  $G_0, G_1$ , and  $G_2$  with integer coefficients. Furthermore, since  $G_0, G_1$ , and  $G_2$  are cyclically permuted by the action of  $\sigma$ , we can write

$$\begin{cases} G_0G_1 = aG_0 + bG_1 + cG_2 \\ G_1G_2 = aG_1 + bG_2 + cG_0 \\ G_2G_0 = aG_2 + bG_0 + cG_1 \end{cases} \quad (1)$$

where  $a, b, c$  are integers whose sum is  $\frac{p-1}{3}$ , since each  $G_j$  contains  $\frac{p-1}{3}$  powers of  $\zeta_p$  and each  $G_iG_j, i \neq j$ , expands into  $\frac{(p-1)^2}{9}$  terms which are powers of  $\zeta_p$  whose exponents, reduced modulo  $p$ , are never 0. Then, summing the three equations, we get

$$s_2 = (a + b + c)(G_0 + G_1 + G_2) = -\frac{p-1}{3}.$$

The evaluation of  $s_3$  requires the explicit knowledge of  $c$ : by summing the three equations, multiplied by  $G_2, G_0$ , and  $G_1$  respectively, we obtain the relation

$$3G_0G_1G_2 = (a + b)s_2 + c(G_0^2 + G_1^2 + G_2^2) = \left(\frac{p-1}{3} - c\right)s_2 + c(1 - 2s_2),$$

which yields  $s_3 = \frac{1}{3}[cp - \frac{(p-1)^2}{9}]$ . Now, the value of  $c$  is specified as follows.

Since the Galois group of  $q(z)$ , which is a polynomial with integer coefficients, is cyclic of order 3, its discriminant  $\Delta$  is the square of an integer [6, Proposition 7.4.2]. The direct computation yields

$$\Delta = -\frac{p^2(p^2 - 2p + 1 - 18cp - 18c + 81c^2)}{27},$$

then  $\Delta$  must be of the form  $u^2p^2$ , whence  $c$  is obtained from the equation

$$0 = p^2 - 2p + 1 - 18cp - 18c + 81c^2 + 27u^2 = -4p + (9c - p - 1)^2 + 27u^2. \quad (2)$$

It is known [5, 11, 12, 13] that primes of the form  $6k + 1$  are represented in essentially (up to signs) a unique way by the quadratic form  $x^2 + 3y^2$  (note that this representation may be computed in deterministic polynomial time using the Schoof algorithm [21] and the Gauss reduction algorithm of quadratic forms [17]), further  $4 = 1 + 3$  is represented by the same form, then  $4p$  has essentially three different representations, namely

$$4p = (2x)^2 + 3(2y)^2, \quad 4p = (x - 3y)^2 + 3(x + y)^2, \quad 4p = (x + 3y)^2 + 3(x - y)^2.$$

Since  $x$  is relatively prime with 3, necessarily exactly one of  $2y$ , or  $x + y$  or  $x - y$  is divisible by 3 and allows us to write  $4p = u^2 + 27v^2$ . By comparison with (2) we have  $9c - p - 1 = u$ , and  $u$  should be taken with the sign making the expression  $p + 1 + u$  divisible by 9, in order to have an integer  $c$ , that is  $u$  should be taken with the sign that makes it congruent to 1 modulo 3: since  $p + 1 + u = 0 \pmod{9}$  implies that the same expression is 0 modulo 3 and  $p$  is congruent to 1 modulo 3, then  $u$  must be congruent 1 modulo 3 too.  $\square$

**Corollary 4** *The multiplicative constants of the algebra generated by the periods (equation (1)) are obtained from the representation  $4p = u^2 + 27v^2$  as*

$$a = \frac{2p - u + 9v - 4}{18} \quad , \quad b = \frac{2p - u - 9v - 4}{18} \quad , \quad c = \frac{p + 1 + u}{9} \quad ,$$

where the sign of  $u$  is specified in Theorem 4, and the sign of  $v$  is such that  $a$  and  $b$  are compliant with the chosen definition of  $G_1$  and  $G_2$ .

PROOF. The value  $c = \frac{p+1+u}{9}$  has been found in the proof of Theorem 4, where it was also remarked that  $a + b + c = \frac{p-1}{3}$ , thus for computing  $a$  and  $b$ , besides  $a + b = \frac{2p-4-u}{9}$ , we only need a further independent relation.

Adding member by member the three equations in (1) after their orderly multiplication by  $G_0, G_1, G_2$ , or by  $G_1, G_2, G_0$ , respectively, we obtain

$$\begin{aligned} r_1 &= G_0^2 G_1 + G_1^2 G_2 + G_2^2 G_0 = a(G_0^2 + G_1^2 + G_2^2) + (b + c)(G_0 G_1 + G_1 G_2 + G_2 G_0) \quad , \\ r_2 &= G_1^2 G_0 + G_0^2 G_2 + G_2^2 G_1 = b(G_0^2 + G_1^2 + G_2^2) + (a + c)(G_0 G_1 + G_1 G_2 + G_2 G_0) \quad . \end{aligned}$$

If we know either  $r_1$ , or  $r_2$ , then we have a second linear equation for  $a$  and  $b$ . To compute  $r_1$  and  $r_2$ , we observe that they are exchanged by permuting, for example  $G_0$  and  $G_1$ , and are invariant under a cyclic permutation of  $G_0, G_1$ , and  $G_2$ , then, their sum  $r_1 + r_2$  and product  $r_1 r_2$  are symmetric functions of the roots of  $q(z)$  and a theorem of Lagrange's [4] assures that they can be expressed by means of the elementary symmetric functions  $s_1, s_2$ , and  $s_3$  (the coefficients of  $q(z)$ ). Thus, using properties of the symmetric functions [4], we have

$$r_1 + r_2 = s_1 s_2 - 3s_3 \quad , \quad r_1 r_2 = s_2^3 - 6s_1 s_2 s_3 - 9s_3^2 - s_3 s_1^3 \quad ,$$

and substituting the explicit values of  $s_1, s_2$ , and  $s_3$  given in Theorem 4, we obtain

$$r_1 + r_2 = \frac{3p - 1 - p(u + 3)}{9} \quad \text{and} \quad r_1 r_2 = \frac{1 + pu + p^2 u^2 - 3p^3}{81} \quad .$$

Solving a second degree equation, we find  $r_1 = \frac{1}{2} \left( \frac{3p-1-p(u+3)}{9} + pv \right)$  and  $r_2 = \frac{1}{2} \left( \frac{3p-1-p(u+3)}{9} - pv \right)$ , where the sign of  $v$  should be properly chosen to match the values of  $r_1$  and  $r_2$  obtained from the definition of the Gauss periods. In conclusion, from the system

$$\begin{cases} a + b &= \frac{2p - 4 - u}{9} \\ a \frac{2p+1}{3} - b \frac{p-1}{3} &= \frac{2p^2 + 27pv - pu - 2u - 8}{54} \quad , \end{cases}$$

we obtain  $a = \frac{2p-u+9v-4}{18}$  and  $b = \frac{2p-u-9v-4}{18}$ .

□

It is possible to obtain a representation of the Gauss sum  $G_1(1, \chi_3)$  in terms of a single root  $\eta_p$  of  $q(z)$  by expressing  $G_0, G_1$ , and  $G_2$  in terms of  $\eta_p$ , because  $\mathbb{Q}(\eta_p)$  is the splitting field of  $q(z)$ , as seen in Theorem 3 (cf. also [2]). For example, we may set  $G_0 = \eta_p$ , thus the other roots, that is, Gauss periods, are

$$G_1 = \frac{G_0^2}{v} + \frac{4 - u - 3v}{6v} G_0 + \frac{2 - u - 9v - 4p}{18v} \quad ,$$

$$G_2 = -\frac{G_0^2}{v} - \frac{3v - u + 4}{6v}G_0 - \frac{9v - u - 4p + 2}{18v}.$$

We note that changing the sign of  $v$  is equivalent to exchanging the values of  $G_1$  and  $G_2$ . These equations establish a correspondence between  $G_0$ , and  $G_1$  and  $G_2$ , thus the coefficients  $a$ ,  $b$ , and  $c$  in the equation (1) can be uniquely specified, for instance the equation  $G_0G_1 = aG_0 + bG_1 + cG_2$  is satisfied choosing

$$a = \frac{2p - u - 9v - 4}{18} \quad \text{and} \quad b = \frac{2p - u + 9v - 4}{18},$$

whatever be the sign of  $v$ ;  $c$  is specified in any case as shown in Theorem 4.

These observations yield the following representation:

**Theorem 5** *The Gauss sum  $G_1(1, \chi_3)$  is uniquely characterized in terms of a root  $\eta_p$  of  $q(z)$ , with  $u, v$  obtained from the representation  $u^2 + 27v^2$  of  $4p$ , as*

$$\eta_p + \zeta_3 \left( \frac{\eta_p^2}{v} + \frac{4 - u - 3v}{6v} \eta_p + \frac{2 - u - 9v - 4p}{18v} \right) + \zeta_3^2 \left( -\frac{\eta_p^2}{v} - \frac{3v - u + 4}{6v} \eta_p - \frac{9v - u - 4p + 2}{18v} \right). \quad (3)$$

**Remark 3.** Since  $\zeta_3^2 = -1 - \zeta_3$ , we can write

$$G_1(1, \chi_3) = G_0 - G_2 + \zeta_3(G_1 - G_2),$$

thus the relation  $G_1(1, \chi_3)\bar{G}_1(1, \chi_3) = p$  yields

$$p = (G_0 - G_2)^2 - (G_0 - G_2)(G_1 - G_2) + (G_1 - G_2)^2$$

which shows that the equation  $x^2 - xy + y^2 = p$  has further solutions in the maximal order of  $\mathbb{Q}(\zeta_p)$  besides the solutions in rational integers, for example  $x = r_1(\eta) - \eta$  and  $y = r_2(\eta) - \eta$ .

**Example** Consider  $p = 7$ , then the Gauss sum has the form

$$G_1(1, \chi) = (\zeta_7 + \zeta_7^6) + \zeta_3(\zeta_7^2 + \zeta_7^5) + \zeta_3^2(\zeta_7^3 + \zeta_7^4),$$

the coefficients  $G_j$  of the powers of  $\zeta_3$  are real, and are roots of the cubic polynomial  $z^3 + z^2 - 2z - 1$ , which has a cyclic Galois group of order 3 over  $\mathbb{Q}$ . Let  $\eta_7$  be a root of this polynomial. The other roots are  $-2 + \eta_7^2$  and  $1 - \eta_7 - \eta_7^2$ , thus if we choose the roots  $\eta_7 = \zeta_7 + \zeta_7^6$ , and the other two roots equal to  $\zeta_7^2 + \zeta_7^5$  and  $\zeta_7^3 + \zeta_7^4$ , respectively, we obtain the expression  $\eta_7 + \zeta_3(-2 + \eta_7^2) + \zeta_3^2(1 - \eta_7 - \eta_7^2)$ , which coincides with the expression obtained specializing (3) with  $p = 7$ ,  $u = 1$ , and  $v = 1$ . Furthermore, it is direct to check that  $x = -2 + \eta_7^2 - \eta_7$  and  $y = 1 - \eta_7 - \eta_7^2 - \eta_7$  give a representation of 7 through the quadratic form  $x^2 - xy + y^2$  in integers of  $\mathbb{Q}(\eta_7)$ , which may be of interest besides the 12 representations in rational integers (see e.g. [13, Proposition 8.3.1],[18]), namely  $x = 2$  and  $y = -1$  and those obtained through associates and conjugates of  $2 - \zeta_3$  in  $\mathbb{Q}(\zeta_3)$ .

A Gauss sum over  $\mathbb{F}_{p^r}$  is in general also not rational, as can be found using Davenport-Hasse's theorem ([3, 14]) by lifting the case over  $\mathbb{F}_p$ . If there exists an irreducible polynomial  $X^r - \beta$  over  $\mathbb{F}_p$  we can use Lemma 1 to obtain the following theorem:

**Theorem 6** *If  $p = 6k + 1$ , and if there exists an irreducible polynomial  $X^r - \beta$  over  $\mathbb{F}_p$ , then  $G_r(1, \chi_3)$  is again an element of the subfield  $\mathbb{Q}(\zeta_3, \eta)$  of degree 6 of  $\mathbb{Q}(\zeta_{3p})$ , and in particular it can be written in the form*

$$G_r(1, \chi_3) = \bar{\chi}_3(r)G_1(1, \chi_3)B_{r,1}(\alpha) ,$$

where  $\alpha$  is a root of  $X^r - \beta$ . The factor  $B_{r,1}(\alpha)$  has the form  $B_0 + B_1\zeta_3 + B_2\zeta_3^2$  where  $B_0, B_1$ , and  $B_2$  are positive rational integers, that can be computed, up to a permutation, from the solutions of a quadratic Diophantine equation.

PROOF. By Lemma 1, we have

$$G_r(1, \chi_3) = \bar{\chi}_3(r)G_1(1, \chi_3)B_{r,1}(\alpha) ,$$

where  $B_{r,1}(\alpha)$  is an element of  $\mathbb{Q}(\zeta_3)$  with absolute value  $\sqrt{p^{r-1}}$  (by taking absolute values of both sides).

This expression shows that  $G_r(1, \chi_3)$  belongs to  $\mathbb{Q}(\eta, \zeta_3)$  as  $G_1(1, \chi_3)$ , since  $B_{r,1}(\alpha)$  belongs to  $\mathbb{Q}(\zeta_3)$ .

The factor  $B_{r,1}(\alpha) \in \mathbb{Q}(\zeta_3)$  can be written as

$$B_{r,1}(\alpha) = B_0 + B_1\zeta_3 + B_2\zeta_3^2$$

where  $B_0, B_1$ , and  $B_2$  are positive integers whose sum is  $p^{r-1}$ . Since the square of the norm of  $B_{r,1}(\alpha)$  is  $p^{r-1}$ , we have the Diophantine equation

$$p^{r-1} = B_0^2 + B_1^2 + B_2^2 - B_0B_1 - B_1B_2 - B_2B_0 .$$

Using the relation  $B_0 + B_1 + B_2 = p^{r-1}$ , we eliminate  $B_2$  and obtain a quadratic Diophantine equation that can be solved for  $B_0$  and  $B_1$ :

$$3B_0^2 + 3B_1^2 + 3B_0B_1 - 3p^{r-1}B_0 - 3p^{r-1}B_1 + 3(p^{2r-1} - p^{r-1}) = 0 .$$

With the substitution

$$B_0 = \frac{X + p^{r-1}}{3} , \quad B_1 = \frac{Y + p^{r-1}}{3} ,$$

we obtain the equation

$$X^2 + XY + Y^2 - 3p^{r-1} = 0 ,$$

whose solutions can be obtained from the solution of

$$u^2 + uv + v^2 = p$$

as coming from

$$X - \zeta_3 Y = (1 - \zeta_3)(u - v\zeta_3)^{r-1}$$

by composition of quadratic forms. The ultimate assignment of the solutions to the  $B_i$  depends on the choice of the primitive roots in the definition of the Gauss sums.

□

In the following we focus on the special case  $r = 2$  to enlighten some properties and relations of the Gauss sums seen from different perspectives.

**Theorem 7** *If  $p = 6k + 1$ , then  $G_2(1, \chi_3)$  is again an element of the subfield  $\mathbb{Q}(\zeta_3, \eta)$  of degree 6 of  $\mathbb{Q}(\zeta_{3p})$ , and in particular it can be written in the form*

$$G_2(1, \chi_3) = G_1(1, \chi_3)A_1\left(\frac{1}{2\alpha}\right) ,$$

where  $\alpha$  is a root of  $x^2 - \beta$  and  $\beta \in \mathbb{F}_p$  is a cube and quadratic non-residue.

PROOF. As in Theorem 2, we can find such an  $\alpha$  and then use Lemma 2 to deduce

$$G_2(1, \chi_3) = G_1(1, \chi_3) \sum_{z \in \mathbb{F}_p} \chi_3\left(\frac{1}{2\alpha} + z\right) = G_1(1, \chi_3)A_1\left(\frac{1}{2\alpha}\right) ,$$

where  $A_1\left(\frac{1}{2\alpha}\right)$  is an element of  $\mathbb{Q}(\zeta_3)$  with absolute value  $\sqrt{p}$  and  $\chi_3(\alpha) = 1$ .

In conclusion  $G_2(1, \chi_3) = G_1(1, \chi_3)A_1\left(\frac{1}{2\alpha}\right)$  shows that  $G_2(1, \chi_3)$  belongs to  $\mathbb{Q}(\eta, \zeta_3)$ .

□

**Remark 4.** Theorem 7 states that the Gauss sum  $G_2(1, \chi_3)$  is the product of  $G_1(1, \chi_3)$  and  $A_1\left(\frac{1}{2\alpha}\right)$ , a result that is slightly different from that obtained using Davenport-Hasse's theorem, which states that  $G_2(1, \chi'_3) = -G_1(1, \chi_3)^2$ , where  $\chi'_3$  is defined by extending the nontrivial character over  $\mathbb{F}_p$  to a character over  $\mathbb{F}_{p^m}$ , using the extension rule [13]

$$\chi'_3(x) = \chi_3(N_{\mathbb{F}_{p^m}}(x)),$$

where  $N_{\mathbb{F}_{p^m}}(x) \doteq x \cdot x^p \cdots x^{p^{m-1}}$  is the norm of  $x$ . In our case we have  $\chi'_3(x) = \chi_3(N_{\mathbb{F}_{p^2}}(x))$ , and whenever  $\chi'_3$  is restricted to  $\mathbb{F}_p$ , we specifically have

$$\chi'_3(x) = \chi_3(N_{\mathbb{F}_{p^2}}(x)) = \chi_3(x^2) = \bar{\chi}_3(x) \quad \forall x \in \mathbb{F}_p .$$

Therefore, since  $G_2(1, \bar{\chi}_3) = \chi'_3(-1)\bar{G}_2(1, \chi'_3) = \bar{G}_2(1, \chi'_3)$ , the equation given by Davenport-Hasse can be read as

$$G_2(1, \chi'_3) = -\bar{G}_1(1, \chi'_3)^2 ,$$

where  $\chi'_3$  is a cubic character defined in  $\mathbb{F}_{p^2}$ , and  $\bar{G}_1(1, \chi'_3)$  is evaluated on the subset  $\mathbb{F}_p$ .

In the following Proposition we show how this relation may also be derived elementarily. First we need a lemma (see also [13, Proposition 8.3.3] or [1]):

**Lemma 5** *If  $p = 6k + 1$ , then  $G_1(1, \chi_3)^3 = p \sum_{x \in \mathbb{F}_p} \chi_3(x(x-1))$ .*

PROOF. The proof is straightforward from the computation of the cube

$$G_1(1, \chi_3)^3 = \sum_{x, y, z \in \mathbb{F}_p} \chi_3(xyz)\zeta_p^{x+y+z} = \sum_{x, y, u \in \mathbb{F}_p} \chi_3(xy(u-x-y))\zeta_p^u ,$$

in which the substitution  $u = x + y + z$  has been performed. The summation over  $x$  can be split into two summations  $S_1$  and  $S_2$ , depending on whether  $y = u$  or  $y \neq u$ . The first summation turns out to be 0, since

$$S_1 = \sum_{y \in \mathbb{F}_p} \sum_{x \in \mathbb{F}_p} \chi_3(xy(x)) \zeta_p^y = \sum_{y \in \mathbb{F}_p} \chi_3(y) \zeta_p^y \sum_{x \in \mathbb{F}_p} \chi_3(x^2) = \sum_{y \in \mathbb{F}_p} \chi_3(y) \zeta_p^y \sum_{x \in \mathbb{F}_p} \chi_3^2(x) = 0 .$$

The second summation, with the substitution  $x = x'(u - y)$ , becomes

$$S_2 = \sum_{\substack{y, u \in \mathbb{F}_p \\ y \neq u}} \zeta_p^u \sum_{x \in \mathbb{F}_p} \chi_3(xy(u - x - y)) = \sum_{\substack{y, u \in \mathbb{F}_p \\ y \neq u}} \chi_3(y) \zeta_p^u \sum_{x' \in \mathbb{F}_p} \bar{\chi}_3(u - y) \chi_3(x'(1 - x')) .$$

Defining  $A = \sum_{x' \in \mathbb{F}_p} \chi_3(x'(1 - x'))$ , a constant that does not depend on  $u$  and  $y$ , we may write

$$S_2 = A \sum_{u \in \mathbb{F}_p} \zeta_p^u \sum_{y \neq u} \chi_3(y) \bar{\chi}_3(u - y) = A \left[ \sum_{y \in \mathbb{F}_p} \chi_3(y) \bar{\chi}_3(0 - y) + \sum_{u \neq 0} \zeta_p^u \sum_{y \in \mathbb{F}_p} \chi_3(y) \bar{\chi}_3(u - y) \right] .$$

In conclusion, we have  $S_2 = Ap$ , since the first summation over  $y$  is  $p - 1$ , the second summation over  $y$  is  $-1$  independently of  $u$  ([20, 24]); finally, the summation over  $u$  is  $-1$ , so that  $p - 1 + (-1)(-1) = p$ .

□

Since  $G_1(1, \chi_3) \bar{G}_1(1, \chi_3) = p$ , the above result gives

$$G_1(1, \chi_3)^3 = G_1(1, \chi_3) \bar{G}_1(1, \chi_3) A$$

which implies that  $G_1(1, \chi_3)^2 = \bar{G}_1(1, \chi_3) A$ . On the other hand, Theorem 7 gives

$$G_2(1, \chi_3) = G_1(1, \chi_3) A_1\left(\frac{1}{2\alpha}\right) ,$$

thus we can prove the identity  $G_2(1, \chi_3) = -\bar{G}_1(1, \chi_3)^2$ , implied by Davenport-Hasse's theorem, if we can prove that  $A = -\bar{A}_1\left(\frac{1}{2\alpha}\right)$ . It is in fact immediately seen that both  $A$  and  $A_1\left(\frac{1}{2\alpha}\right)$  are primes of the form  $a + b\zeta_3$  and field norm  $p$  in  $\mathbb{Z}(\zeta_3)$ . Less direct is the exact relation between them, which we establish in the following proposition making use of the function defined as

$$F(d, i) \doteq \sum_{\substack{y \in \mathbb{F}_p^* \\ \chi_3(y)=1}} \left( \frac{\theta^i y + d}{p} \right) ,$$

where  $\theta$  is a primitive element in  $\mathbb{F}_p$ .

**Proposition 1**

$$A = -\bar{A}_1\left(\frac{1}{2\alpha}\right) .$$

PROOF. We can write  $A$  in the following form

$$A = \sum_{x \in \mathbb{F}_p} \chi_3(x(x-1)) = \sum_{z \in \mathbb{F}_p} \chi_3(z^2 - \frac{1}{4}) , \quad (4)$$

where the last expression was obtained by making the substitution  $x = z + \frac{1}{2}$ . Furthermore,  $A_1(\frac{1}{2\alpha})$  can be written in a similar form, arguing as follows:

$$\bar{A}_1(\frac{1}{2\alpha}) = \sum_{x \in \mathbb{F}_p} \bar{\chi}_3(x + \frac{1}{2\alpha}) = \sum_{x \in \mathbb{F}_p} \chi_3(x + \frac{1}{2\alpha})^2 ,$$

as the conjugate of  $\zeta_3$  is  $\zeta_3^2$ . Furthermore, the identity  $\chi_3(y) = \chi_3(y)^p = \chi_3(y^p)$ , which is true since  $p$  is congruent to 1 modulo 3 and  $\chi_3$  is a multiplicative character, implies

$$\chi_3(x + \frac{1}{2\alpha}) = \chi_3(x + \frac{1}{2\alpha})^p = \chi_3(x^p + \frac{1}{(2\alpha)^p}) = \chi_3(x - \frac{1}{2\alpha}) ,$$

as  $x$  and 2 belong to  $\mathbb{F}_p$ ,  $\alpha$  is a root of  $x^2 - \beta$  and the Frobenius automorphism exchanges the roots. Then

$$\bar{A}_1(\frac{1}{2\alpha}) = \sum_{x \in \mathbb{F}_p} \chi_3(x + \frac{1}{2\alpha})\chi_3(x + \frac{1}{2\alpha}) = \sum_{x \in \mathbb{F}_p} \chi_3(x^2 - \frac{1}{4\beta}) . \quad (5)$$

We notice now that, by definition, the value of any summation  $\sum_{z \in \mathbb{F}_p} \chi_3(z^2 - d)$  can be written in the form  $a_0 + a_1\zeta_3 + a_2\zeta_3^2$ , where  $a_0, a_1$  and  $a_2$  are the numbers of  $z \in \mathbb{F}_p$  such that the value of  $\chi_3(z^2 - d)$  is either 1, or  $\zeta_3$ , or  $\zeta_3^2$ . Therefore, writing  $z^2 - d = \theta^i y$ , with  $\chi_3(y) = 1$ , we have

$$a_i = \sum_{\substack{y \in \mathbb{F}_p^* \\ \chi_3(y)=1}} [1 + \left(\frac{\theta^i y + d}{p}\right)] = \frac{p-1}{3} + F(d, i) \quad i = 0, 1, 2 ,$$

since  $[1 + \left(\frac{\theta^i y + d}{p}\right)]$  is equal to 0, if  $\theta^i y + d$  is not a square; it is equal to 1 if  $\theta^i y + d = 0$ ; and it is equal to 2 if  $\theta^i y + d$  is a square.

Then, setting  $A = b_0 + b_1\zeta_3 + b_2\zeta_3^2$  and  $\bar{A}_1(\frac{1}{2\alpha}) = c_0 + c_1\zeta_3 + c_2\zeta_3^2$ , and using the expressions for  $A$  and  $\bar{A}_1(\frac{1}{2\alpha})$  given in (4) and (5), we obtain

$$b_i = \sum_{\substack{y \in \mathbb{F}_p^* \\ \chi_3(y)=1}} [1 + \left(\frac{\theta^i y + \frac{1}{4}}{p}\right)] \quad \text{and} \quad c_i = \sum_{\substack{y \in \mathbb{F}_p^* \\ \chi_3(y)=1}} [1 + \left(\frac{\theta^i y + \frac{1}{4\beta}}{p}\right)] \quad i = 0, 1, 2 .$$

The numbers  $c_i$  can be written as follows

$$c_i = \sum_{\substack{y \in \mathbb{F}_p^* \\ \chi_3(y)=1}} [1 + \left(\frac{\theta^i y + \frac{1}{4\beta}}{p}\right)] = \sum_{\substack{y \in \mathbb{F}_p^* \\ \chi_3(y)=1}} [1 - \left(\frac{\beta}{p}\right) \left(\frac{\theta^i y + \frac{1}{4\beta}}{p}\right)] = \sum_{\substack{y \in \mathbb{F}_p^* \\ \chi_3(y)=1}} [1 - \left(\frac{\theta^i \beta y + \frac{1}{4}}{p}\right)]$$

because  $\beta$  is a quadratic non-residue; furthermore, since  $\beta$  is a cube, setting  $w = y\beta$ , we deduce that

$$c_i = \sum_{\substack{w \in \mathbb{F}_p^* \\ \chi_3(w)=1}} \left[ 1 - \left( \frac{\theta^i w + \frac{1}{4}}{p} \right) \right] = \frac{p-1}{3} - F\left(\frac{1}{4}, i\right) .$$

which only differs in sign from  $b_i = \frac{p-1}{3} + F\left(\frac{1}{4}, i\right)$ . The proposition follows from the fact that

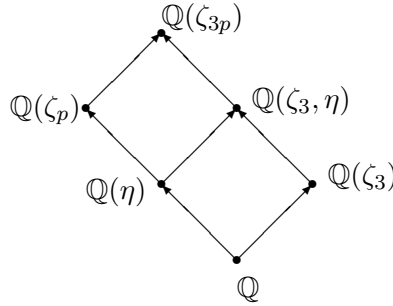
$$A = b_0 + b_1\zeta_3 + b_2\zeta_3^2 = (b_0 - b_2) + (b_1 - b_2)\zeta_3$$

and

$$\bar{A}_1\left(\frac{1}{2\alpha}\right) = (c_0 - c_2) + (c_1 - c_2)\zeta_3 = (b_2 - b_0) + (b_2 - b_1)\zeta_3 .$$

□

**Remark 5.** As has been said, Gauss sums are algebraic integers that belong to a subfield of a cyclotomic field, and in the above Theorems we found some factorizations of Gauss sums into elements that may belong to different subfields. For example Theorem 4 shows that  $G_2(1, \chi_3) \in \mathbb{Q}(\zeta_3, \eta)$  can be expressed as a product of  $G_1(1, \chi_3) \in \mathbb{Q}(\zeta_3, \eta)$  and  $A_1\left(\frac{1}{2\alpha}\right) \in \mathbb{Q}(\zeta_3)$ . The general picture of the fields involved in these factorizations is shown in the following figure



Every extension is Galois, in particular  $\mathbb{Q}(\eta)$ ,  $\mathbb{Q}(\zeta_3)$  and  $\mathbb{Q}(\zeta_3, \eta)$  have Galois groups  $\mathfrak{G}(\mathbb{Q}(\eta)/\mathbb{Q})$ ,  $\mathfrak{G}(\mathbb{Q}(\zeta_3)/\mathbb{Q})$ , and  $\mathfrak{G}(\mathbb{Q}(\zeta_3, \eta)/\mathbb{Q})$ , which are cyclic groups of order 3, 2, and 6, respectively; moreover, the third group  $\mathfrak{G}(\mathbb{Q}(\zeta_3, \eta)/\mathbb{Q}) = \mathfrak{G}(\mathbb{Q}(\zeta_3)/\mathbb{Q}) \times \mathfrak{G}(\mathbb{Q}(\eta)/\mathbb{Q})$  is a direct product of the other two (see also [23]). In these fields, every rational prime  $p$  of the form  $6k + 1$  splits into prime ideals as follows:

$$(p) = \mathfrak{p}^3 \text{ in } \mathbb{Q}(\eta), \text{ i.e. the ideal } (p) \text{ fully ramifies;}$$

$$(p) = (\pi_1)(\pi_2) \text{ in } \mathbb{Q}(\zeta_3), \text{ i.e. the ideal } (p) \text{ fully splits into principal ideals;}$$

$$(p) = \mathfrak{P}_1^3 \mathfrak{P}_2^3 \text{ in } \mathbb{Q}(\zeta_3, \eta), \text{ i.e. the ideal } (p) \text{ fully splits into ramified ideals;}$$

$$(\pi_1) = \mathfrak{P}_1^3 \text{ and } (\pi_2) = \mathfrak{P}_2^3, \text{ i.e. the principal ideals of } \mathbb{Q}(\zeta_3) \text{ fully ramify in } \mathbb{Q}(\zeta_3, \eta);$$

$$\mathfrak{p} = \mathfrak{P}_1 \mathfrak{P}_2 \text{ in } \mathbb{Q}(\zeta_3, \eta).$$



These factorizations can be established by the properties given in [7, p.137-138], that is Dedekind's formulation in terms of ideals of a theorem of Kummer's, or in [23, p.15].

Let  $\tau_2$  denote the automorphism of order 2 in  $\mathfrak{G}(\mathbb{Q}(\zeta_3)/\mathbb{Q})$ , which leaves the elements of  $\mathbb{Q}(\eta)$  invariant when considered as elements of  $\mathfrak{G}(\mathbb{Q}(\zeta_3, \eta)/\mathbb{Q})$ , then  $\tau_2(\mathfrak{P}_1) = \mathfrak{P}_2$ .

Now, the Gauss sum  $G_1(1, \chi_3)$  is an element of  $\mathbb{Q}(\zeta_3, \eta)$  that divides  $p$ , as  $G_1(1, \chi_3)\bar{G}_1(1, \chi_3) = p$  ([3]), so that  $(G_1(1, \chi_3))(\tau_2(G_1(1, \chi_3))) = (G_1(1, \chi_3))(G_1(1, \chi_3)) = (p)$ . Therefore the principal ideal  $(G_1(1, \chi_3))$  will be a product of powers of the two primes  $\mathfrak{P}_1$  and  $\mathfrak{P}_2$ , i.e  $(G_1(1, \chi_3)) = \mathfrak{P}_1^a \mathfrak{P}_2^b$ , where  $a + b = 3$  by the unique factorization in prime ideals, since the previous relation gives  $(p) = \mathfrak{P}_1^a \mathfrak{P}_2^b \tau_2(\mathfrak{P}_1^a \mathfrak{P}_2^b) = \mathfrak{P}_1^a \mathfrak{P}_2^b \mathfrak{P}_2^a \mathfrak{P}_1^b = \mathfrak{P}_1^{a+b} \mathfrak{P}_2^{a+b}$ .

Thus, we may assume that  $(G_1(1, \chi_3)) = \mathfrak{P}_1 \mathfrak{P}_2^2$ , as  $G_1(1, \chi_3)$  belongs properly to  $\mathbb{Q}(\zeta_3, \eta)$ , whence Theorem 7 and Proposition 1 show that  $(G_2(1, \chi_3)) = \mathfrak{P}_1^4 \mathfrak{P}_2^2 = (\pi_1) \mathfrak{P}_1 \mathfrak{P}_2^2$ .

In this framework, if the character  $\chi'_3$  is used, the role of the two prime ideals is simply exchanged, i.e.  $(G_2(1, \chi'_3)) = \mathfrak{P}_2^4 \mathfrak{P}_1^2 = (\pi_2) \mathfrak{P}_2 \mathfrak{P}_1^2$ , which is the expression defined by Davenport-Hasse's theorem written in terms of ideals.

In general, the Gauss sums  $G_s(1, \chi_3)$  for any  $s$  can be expressed in terms of ideals as follows:  $(G_s(1, \chi_3)) = \mathfrak{P}_2^s \mathfrak{P}_1^{2s}$ .

However, these formulations in terms of ideals (see also [8, 15, 22]) conceal the information about which units are involved. In this sense, the elementary direct approach can be more informative, although it may require various approaches for different situations. Considering for example the Gauss sum mentioned above,  $G_1(1, \chi)$  for  $p = 7$  (see also [10]), setting  $\eta_7 = \zeta_7 + \zeta_7^6$ , we can explicitly write the expression (which can also be obtained specializing (3) with  $p = 7, u = 1, v = 1$ )

$$G_1(1, \chi) = \eta_7 + \zeta_3(-2 + \eta_7^2) + \zeta_3^2(1 - \eta_7 - \eta_7^2) ,$$

whereas, choosing the ideals  $\mathfrak{P}_1 = (\zeta_3 - \eta_7)$ ,  $\mathfrak{P}_2 = (\zeta_3^2 - \eta_7)$ , we must find a unit in order to obtain a complete factorization:  $G_1(1, \chi) = (4 - \eta_7 - 2\eta_7^2)(\zeta_3 - \eta_7)(\zeta_3^2 - \eta_7)^2$ , where  $4 - \eta_7 - 2\eta_7^2$  is a unit.

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