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## Conformation-dependent recognition of HIV gp120 by designed ankyrin repeat proteins provides access to novel HIV entry inhibitors

Mann, Axel ; Friedrich, Nikolas ; Krarup, Anders ; Weber, Jacqueline ; Stiegeler, Emanuel ; Dreier, Birgit ; Pugach, Pavel ; Robbiani, Melissa ; Riedel, Tina ; Moehle, Kerstin ; Robinson, John A ; Rusert, Peter ; Plückthun, Andreas ; Trkola, Alexandra

**Abstract:** Here, we applied the designed ankyrin repeat protein (DARPin) technology to develop novel gp120-directed binding molecules with HIV entry-inhibiting capacity. DARPins are interesting molecules for HIV envelope inhibitor design, as their high-affinity binding differs from that of antibodies. DARPins in general prefer epitopes with a defined folded structure. We probed whether this capacity favors the selection of novel gp120-reactive molecules with specificities in epitope recognition and inhibitory activity that differ from those found among neutralizing antibodies. The preference of DARPins for defined structures was notable in our selections, since of the four gp120 modifications probed as selection targets, gp120 arrested by CD4 ligation proved the most successful. Of note, all the gp120-specific DARPin clones with HIV-neutralizing activity isolated recognized their target domains in a conformation-dependent manner. This was particularly pronounced for the V3 loop-specific DARPin 5m3<sub>D</sub>12. *In stark contrast to V3-specific antibodies, 5m3<sub>D</sub>12 preferentially recognized the V3 loop in a specific conformation, as probed by structurally arrested V3 recognition allowed 5m3<sub>D</sub>12 to bypass the V1V2 shielding of several tier 2 HIV isolates and to neutralize these viruses.*

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1 **Conformation-dependent recognition of HIV gp120 by Designed Ankyrin Repeat Proteins**  
2 **provides access to novel HIV entry inhibitors**

3

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26 **Abstract**

27 Here we applied the *Designed Ankyrin Repeat Protein* (DARPin) technology to develop novel  
28 gp120-directed binding molecules with HIV entry-inhibiting capacity. DARPins are interesting  
29 molecules for HIV envelope inhibitor design, as their high affinity binding differs from that of  
30 antibodies. DARPins in general prefer epitopes with a defined folded structure. Here we probed  
31 whether this capacity favors the selection of novel gp120-reactive molecules with specificities  
32 in epitope recognition and inhibitory activity that differ from those found amongst neutralizing  
33 antibodies. The preference of DARPins for defined structures was notable in our selections as of  
34 the four gp120 modifications probed, gp120 arrested by CD4 ligation proved the most  
35 successful panning target. Of note, all gp120 specific DARPin clones with HIV neutralizing  
36 activity we isolated, recognized their target domains in a conformation-dependent manner.  
37 This was particularly pronounced for the V3-loop-specific DARPin **5m3\_D12**. In stark contrast to  
38 V3-specific antibodies, 5m3\_D12 preferentially recognized the V3 loop in a specific  
39 conformation, as probed by structurally arrested V3 mimetic peptides, but bound linear V3  
40 peptides only very weakly. Most notably, this conformation-dependent V3 recognition allowed  
41 **5m3\_D12** to bypass V1V2 shielding of several tier 2 HIV isolates and to neutralize these viruses.  
42 These data provide a proof of concept that the DARPin technology holds promise for the  
43 development HIV entry inhibitors with unique mechanism of action.

## 44 **Introduction**

45 The highly specific interaction of the HIV envelope spike, a trimer composed of gp120 and gp41  
46 heterodimers, with the cellular receptor CD4 and a co-receptor (typically CCR5 or CXCR4)  
47 initiates HIV entry and is indispensable for infection (reviewed in (1)). Although, the potential of  
48 HIV entry blocking agents for intervention strategies has been acknowledged for long and a  
49 number of agents targeting the viral envelope proteins and the cellular receptors CD4, CCR5 or  
50 CXCR4 have been developed (2, 3), thus far only two entry inhibitors, the peptidic fusion  
51 inhibitor T-20 targeting gp41 (4) and the small molecule CCR5 inhibitor Maraviroc (5), have  
52 been used clinically.

53 Design of appropriate inhibitors, in particular those which target the viral envelope proteins,  
54 faces challenges which are in many aspects similar to those encountered by natural and  
55 vaccine-induced antibody responses. Both neutralizing antibodies and inhibitors need to access  
56 vulnerable sites on the HIV envelope trimer. The complex quaternary structure of the trimeric  
57 envelope spike, however, efficiently shields functionally important domains. Conserved  
58 domains are positioned facing inwards in the trimeric complex, only to be exposed transiently  
59 upon receptor engagement (6-8), while the outer trimer surface is protected by flexible,  
60 variable loops and extensive glycosylation (7, 9-11). These strategies act in concert to shield the  
61 envelope complex from immune recognition and inhibitor attack (8, 12). Most of the gp120  
62 outer domain is functionally not important (7, 13) and allows the virus to rapidly mutate and  
63 evade any envelope targeting agent (12). Antibody-based vaccines and entry inhibitors thus  
64 need to act against a wide spectrum of genetically divergent HIV strains to be effective, ideally  
65 by targeting conserved, yet easily accessed sites on the viral envelope, which are important for  
66 the function of the envelope trimer and cannot be altered without significant fitness costs.  
67 Rare, broadly active and potent neutralizing antibodies can emerge in natural infection (14).  
68 Vaccine immunogens, however, capable of eliciting such responses, still need to be developed  
69 and, likewise, means to design and select inhibitors, which target conserved and accessible  
70 domains, still need to be created.

71 Here we employed the *Designed Ankyrin Repeat Protein* (DARPin) technology (15-19) to derive  
72 HIV envelope-specific entry inhibitors. We have previously utilized the technology successfully

73 to develop DARPin specific for CD4, which proved to be highly potent in inhibiting entry of  
74 divergent HIV strains (18). DARPins, like the natural ankyrin repeat proteins they are derived  
75 from (20), have exceptional binding properties and recognize particularly well targets with a  
76 defined rigid surface, such as folded proteins. Although they share many properties with  
77 antibodies, most noteworthy their high target specificity and affinity, DARPins differ from  
78 antibodies in size, structure and preference for folded proteins as targets (19). In the current  
79 study we sought to explore whether these distinct properties of DARPins allow selection of  
80 binding molecules which recognize epitopes on gp120 differing from those targeted by  
81 antibodies and, which upon binding to virions, interfere with HIV entry.

82 To this end, we performed four independent series of ribosome display selections of gp120-  
83 specific DARPins, utilizing different gp120 molecules and epitope display approaches (Figure 1A,  
84 B). Our selections yielded a variety of gp120-directed DARPin molecules which all proved to  
85 depend to a higher degree on a structural conservation of the envelope protein than gp120-  
86 specific antibodies recognizing overlapping domains. Most noteworthy, DARPin **5m3\_D12**  
87 proved to recognize the V3 loop in a highly conformation dependent manner. This clone  
88 recognized the V3 loop on wild-type gp120 protein as well as in the context of the trimeric spike  
89 on virus particles and bound to structurally arrested V3 loop mimetic peptides, but unlike V3  
90 loop antibodies, showed dramatically reduced capacity to bind to linear V3 loop peptides.  
91 Importantly, V3 loop antibodies largely fail to interact with the V3 loop on envelope trimers in  
92 the presence of active V1V2 shielding on tier 2 viruses and thus have in most cases only  
93 marginal or no neutralization activity (21). On the contrary, DARPin **5m3\_D12** was in part  
94 capable of bypassing V1V2 shielding of tier 2 HIV isolates, albeit in a strain-dependent manner,  
95 enabling it to block entry of these virus isolates.

96

97 **Materials and Methods**

98

99 **Reagents**

100 Reagents were kindly provided by following groups: soluble CD4 (sCD4) by W. Olson (Progenics  
101 Pharmaceuticals Inc., Tarrytown, New York, USA); mAbs IgG1b12 (22), b6 (23), PGT128 (24) by  
102 D. Burton (The Scripps Research Institute, La Jolla, USA); mAb 1-79 (25) by M. Nussenzweig  
103 (Rockefeller University, New York, NY); mAb 2G12 (26) by H. Katinger (Polymun, Vienna, Austria);  
104 mAbs 17b, 19b, 48D, A32 (27) by J. Robinson (Tulane University, USA); 447-52D (28) by S. Zolla  
105 Pazner and F425 B4e8 (29) by Dr. Marshall Posner and Dr. Lisa Cavacini via the NIH AIDS  
106 Research and Reference Reagent Program, Division of AIDS, NIAID, NIH. CD4M47 was  
107 synthesized as described (30). Linear and cyclic V3 mimetic peptides from strains JR-FL and MN  
108 were synthesized as described (31). The following V3 loop peptides were used (sequences are  
109 indicated in brackets).

- 110 • Linear peptides: linear (JR-FL) (NNTRKSIHIGPGRAFYTTEIIG); linear (MN)  
111 (GGGGYNKRKRIHIGPGRAFYTTKNIIG).
- 112 • Structural V3 loop mimetics which were cyclized by a D-Pro-L-Pro (<sup>D</sup>PP) dipeptide which  
113 stabilizes the hairpin conformation: cyclic IY (MN) (KRIHIGPGRAFYT<sup>D</sup>PP); cyclic IY  
114 (MN<sup>mut</sup>) (KRIHIGAGRAFYT<sup>D</sup>PP); cyclic IY (JR-FL) (KSIHIGPGRAFYT<sup>D</sup>PP); cyclic IF (MN)  
115 (KRIHIGPGRAFYT<sup>D</sup>PP); cyclic IF (JR-FL) (TCKSIHIGPGRAFYT<sup>D</sup>PP) with the Cys residues  
116 disulfide bonded; cyclic HY (JR-FL) (SIHIGPGRAFYT<sup>D</sup>PP); cyclic HF (MN) (RIHIGPGRAFYT  
117 <sup>D</sup>PP); cyclic HF1 (JR-FL) (SIHIGPGRAFYT<sup>D</sup>PP); cyclic HF2 (JR-FL) (RCSIHIGPGRAFYT<sup>D</sup>PP)  
118 with the Cys residues disulfide bonded; cyclic HF3 (JR-FL) (RCSIHIGPGRAFYT<sup>D</sup>PP).
- 119 • A cyclic peptide cyclized by a disulfide bond between the two cysteines: cyclic SS (JR-FL)  
120 (GNCRKSIHIGPGRAFYTTCG).

121 For the peptide ELISA, biotinylated peptides were used. The linear (MN) peptide was  
122 biotinylated directly and the cyclic IY (MN) had a PEG08 linker between the mimetic and biotin  
123 (see (31)). All synthetic peptides were ≥95% pure by analytical HPLC and gave electrospray MS  
124 spectra consistent with the expected masses.

## 125 **Gp120 proteins**

126 Codon-optimized sequences of strain JR-FL gp120 wild type, gp120<sup>D368R</sup>, gp120<sup>I420R</sup> and the loop  
127 deletion mutants (32, 33) were custom synthesized (GeneArt, Germany), fused to a C-terminal  
128 AviTag and cloned into the expression vector CMV/R (34). Recombinant gp120 was produced by  
129 transient transfection of HEK 293T Freestyle suspension cells maintained in serum-free medium  
130 as described by the manufacturer (Invitrogen, USA). Gp120 was purified from culture  
131 supernatants using *Galantus nivalis* lectin resin (Vector Laboratories) as described (35).  
132 Retrieved gp120 was mono-biotinylated using BirA as described by the manufacturer (Roche)  
133 followed by Superdex200 size exclusion chromatography (GE Healthcare, USA) to derive  
134 monomeric gp120. To obtain deglycosylated gp120, 500 µg of gp120 were subjected to 0.15 U  
135  $\alpha(1-2,3,6)$ -mannosidase (from jack bean; Europa Bioproducts) for 30 h at 37°C in the presence  
136 of protease inhibitors (Complete Mini EDTA-free Protease Inhibitor Cocktail Tablets, Roche  
137 Applied Science, Switzerland). The gp120 <sup>$\Delta$ V1V2</sup> construct was created as previously described  
138 (21). The  $\Delta$ V1 and  $\Delta$ V3 gp120 loop deletions were created with the following linker sequences  
139 for deleted loops (HXB2 numbering)  $\Delta$ V1 linker: C<sub>131</sub>KDVNAGEIKNC<sub>157</sub>;  $\Delta$ V3 linker:  
140 C<sub>296</sub>TGAGHC<sub>331</sub>.

141

## 142 **DARPin selection by ribosome display**

143 Selection with the N2C and N3C DARPin libraries was performed essentially as described (36-  
144 38). During ribosome display, a library of DARPins is translated *in vitro* without stop codons,  
145 such that a ternary complex of ribosome, mRNA and the still attached, newly translated DARPin  
146 is formed. Thereby, the genotype is coupled to the phenotype, allowing the enrichment of  
147 DARPins which specifically bind to the respective target (Figure 1A). After each selection round  
148 RNA of bound DARPin species is amplified by RT-PCR. The obtained cDNA constitutes a sub-  
149 library of the starting library. Selection in absence of target protein is performed in parallel to  
150 monitor the extent of background (off-target) cDNA amplification. Comparisons of the quantity  
151 of amplified RT-PCR products from target and background selection indicate when enrichment  
152 of target-specific DARPins occurs (38). Commonly, several consecutive rounds (>3) of selection

153 are necessary to derive sub-libraries which are highly enriched for target-specific DARPins.  
154 During this process, a balance between maintaining diversity in the library (in order not to lose  
155 specific binders with low affinity too early), and stringency of panning (to deselect nonspecific  
156 binders) has to be achieved (37). Stringency can be modulated by the intensity of the washing  
157 steps, tuning of RT-PCR cycles or depletion of low-affinity binders by addition of excess, non-  
158 immobilized target (off-rate selection, see below). Prior to the experiments described here we  
159 performed a series of analyses to probe which selection conditions prove best for our different  
160 targets (data not shown).

161 In summary, we found that in order to achieve selection of gp120-specific binders, adding the  
162 target in solution rather than immobilized on a surface was key, as previously described for  
163 other targets (38). Biotinylated gp120 was bound to streptavidin-coupled magnetic microbeads  
164 (MyOne-T1 Dynabeads, Invitrogen, USA) and panning was performed in microtubes. Early  
165 rounds of selection (1-3) were kept at low stringency with typically 3-5 short washing steps (5  
166 min), while intensity of washing was increased during subsequent rounds of selection (typically  
167 8-10 wash steps of 10 min each). The most important adaptation to the standard ribosome  
168 display protocol previously described (18, 36, 37) was the omission of heparin in the panning  
169 buffer. Heparin is usually added during panning to prevent non-specific RNA binding to protein  
170 or surfaces. Since gp120 has been described to have multiple heparin binding sites (39) and *in*  
171 *vitro* efficiently interacts with heparin (40), addition of heparin during the selection process  
172 could block otherwise accessible sites on gp120. Indeed, we found that addition of heparin  
173 completely obliterated gp120-specific DARPin selections (data not shown). Thus, while omission  
174 of heparin can lead, to some extent, to false positive binder enrichment as judged by RT-PCR,  
175 selections of DARPins in the absence of heparin proved the only possible approach to select  
176 gp120-specific DARPins (data not shown).

177 The number of selection rounds performed in each respective selection is stated in Figure 1B.  
178 Sub-libraries for which a target-specific enrichment by RT-PCR was evident were screened for  
179 DARPin molecules that specifically bind to gp120 by ELISA (see below). In an alternate screening  
180 approach N3C sub-libraries from *Selection II*, which yielded 1<sup>st</sup> and 2<sup>nd</sup> generation binders based  
181 on ELISA gp120, were re-probed and tested directly for inhibition of HIV by pseudovirus



182 inhibition screening against strains JR-FL, JR-FLΔV1V2, NL4-3, SHIV SF162 P3 and MuLV on TZM-  
183 bl cells (see below).

184

#### 185 **Detection of DARPIn and mAb binding to target protein and peptides by ELISA**

186 20 nM biotinylated gp120 or peptide was immobilized to white high binding microplates  
187 (Costar) that had been coated with 60 nM Neutravidin (Pierce) either over-night at 4°C or for 1  
188 h at 37°C, followed by three wash steps with TBST (Tris buffered saline containing 0.1%  
189 Tween 20), pH 7.5.

190 Gp120 proteins were either probed unliganded or triggered with 50 nM sCD4 or CD4M47. Serial  
191 dilutions of either purified DARPins or crude extract from DARPIn-producing *E. coli* were added  
192 in TBSTB (TBST with 0.5% bovine serum albumin), pH 7.5. Unbound material was washed off in  
193 TBST and bound DARPins were detected via their His-tag using a mouse anti-  
194 polyHistidine-alkaline phosphatase antibody (clone HIS-1; Sigma-Aldrich) and Tropix CDP-star  
195 chemiluminescent substrate (Applied Biosystems). MAbs were detected with polyclonal Anti-  
196 Human IgG (Fc specific)-Alkaline Phosphatase antibody produced in goat (Sigma-Aldrich).  
197 Emission of relative light units was detected on a Dynex Technologies Luminometer. For  
198 competition ELISA, gp120 was pretreated with 33 nM of the respective mAb or sCD4 and the  
199 subsequent ELISA steps were performed as described above. The percentages of binding in the  
200 presence of competitor were determined at saturating DARPIn concentrations. For peptide  
201 competition ELISA, the DARPIn **5m3\_D12** or mAbs 447-52D and F425-4e8 were pretreated with  
202 indicated concentrations of peptide and the subsequent ELISA steps were performed as  
203 described above.

204

#### 205 **Affinity maturation of DARPIn binders by diversification by error-prone PCR and off-rate 206 selection**

207 Sub-libraries of interest were randomized by amplification via error-prone PCR (41), using a  
208 dNTP-Mutagenesis Kit (Jena Biosciences) including 50 μM of each of the nucleotide analogs 8-

209 oxo-dGTP and dPTP in the RT-PCR reaction of ribosome display. For the following panning  
210 round a one-to-one mix of error-prone and original PCR product was used as a template for  
211 RNA transcription.

212 To select clones with improved affinity, DARPIn-ribosome complexes were first allowed to bind  
213 to bead-immobilized target before an 100 to 300-fold molar excess of non-biotinylated gp120  
214 was added as competitor for 1-2 h, followed by 10-15 times 10 min washing steps. DARPIn  
215 binders with a fast off-rate are captured by the non-biotinylated competitor target in solution  
216 and will be lost during subsequent washing steps. In contrast, high-affinity binders with a slow  
217 off-rate remain bound to bead-coupled target protein and their mRNA will eventually be  
218 preferentially eluted and amplified. This off-rate selection was then followed by a ribosome  
219 display round in the absence of competitor and subsequent washing for 10 times 5 min, to re-  
220 enrich for specific binders, since the proportion of specific binders is lowered in resulting sub-  
221 libraries by the off-rate selection step (42). Afterwards, individual clones from the sub-libraries  
222 were screened by binding ELISA as described above.

223

#### 224 **Molecular phylogenetic analysis by the Maximum Likelihood method**

225 Phylogenetic analyses were conducted in MEGA5 (43) using the Maximum Likelihood method  
226 based on the JTT matrix-based model (44). The trees with the highest log likelihood are shown.  
227 Initial tree(s) for the heuristic search were obtained automatically employing the BIONJ method  
228 with MCL distance matrix.

229

#### 230 **DARPIn purification and analysis**

231 DARPins were produced in *E. coli* XL10 Gold strain via the qQE30 system with Isopropyl  $\beta$ -D-1-  
232 thiogalactopyranoside (IPTG) induction and purified using Ni-NTA affinity chromatography as  
233 described (45). Obtained DARPIn proteins were checked by SEC-MALS (Size Exclusion  
234 Chromatography coupled to Multi Angle Light Scattering) for oligomerization state. DARPins  
235 that exhibited a tendency to form dimers or higher order aggregates were excluded from

236 further analysis. For direct neutralization screening, small scale DARPins were  
237 purified from 400 µl bacterial lysate in 96-well plates using Ni-NTA-coated magnetic beads (His  
238 Mag Sepharose Ni; GE Healthcare, USA).

239

#### 240 **Virus preparation**

241 Env-pseudotyped viruses were prepared by cotransfection of HEK 293-T cells with plasmids  
242 encoding the respective Env genes and the luciferase reporter HIV vector pNLuc-AM as  
243 described previously (46). The following envelope genes were used: NL4-3 (47), JR-FL (48),  
244 SF162-LS (49), NAB1pre-cl39x, NAB2pre-cl\_3, NAB3pre-cl\_43, NAB4pre-cl\_1, NAB05.1,  
245 NAB10pre-cl\_2 and NAB12pre-cl\_7 (50), ZA110.C.10.14 and V1V2-deleted envs (21).  
246 ZA110.C.10.14 (21) was isolated in the frame of the Zurich Primary HIV Infection Study (ZPHI),  
247 Division of Infectious Diseases and Hospital Epidemiology supported by the University of  
248 Zurich's Clinical research Priority Program (CRPP).

249 The panel of reference subtype B env clones comprising the following envelopes, 6535.3,  
250 AC10.0.29, CAAN5342.A2, PVO.4, QH0692.42, REJO4541.67, RHPA4259.7, SC422661.8,  
251 THRO4156.18, TRO.11 and WITO4160.33 (51) was obtained through the AIDS Research and  
252 Reference Reagent Program, Division of AIDS, NIAID, NIH.

253

#### 254 **Neutralization assay using Env-pseudotyped virus**

255 The neutralization activity of DARPins and mAbs was evaluated on TZM-bl cells as described  
256 previously (46). Virus input was chosen to yield virus infectivity corresponding to 5,000–20,000  
257 RLU (relative light units) in the absence of inhibitors. The antibody concentrations or reciprocal  
258 plasma titers causing 50% reduction in viral infectivity (inhibitory concentration  $IC_{50}$  or  
259 neutralization titer  $NT_{50}$ ) were calculated by fitting pooled data from two to three independent  
260 experiments to sigmoid dose–response curves (variable slope) using Prism software (GraphPad  
261 Software). If 50% inhibition was not achieved at the highest or lowest drug or plasma  
262 concentration, a greater than or less than value was recorded.

263 For competition inhibition assays with V3-peptides, mAb and DARPin inhibitor concentrations  
264 were chosen that inhibit the env-pseudotyped viruses to 95%. The respective inhibitor at the  
265 fixed dose and increasing serial dilutions of the different V3-peptides were preincubated for 1 h,  
266 then virus was added and the entire mixture incubated for an additional hour before TZM-bl  
267 cells were added. Infection was measured after 48 h as described (46). Percent inhibition of  
268 DARPin and mAb in presence of competing peptide was calculated in relation to control wells  
269 containing the corresponding concentration of the peptide in the absence of inhibitor.

270

## 271 **Results**

272

### 273 **Selection of gp120 specific DARPins molecules**

274 The high structural flexibility of the surface unit gp120 within the viral envelope trimer and its  
275 extensive glycosylation are considered major barriers for the selection of neutralizing  
276 antibodies, both in natural infection and upon vaccination (8, 10, 52). In *Selection I* (Figure 1B)  
277 we sought to define whether DARPins can overcome these barriers and efficiently bind to this  
278 flexible, highly glycosylated target. DARPin DNA libraries encoding either two (N2C) or three  
279 (N3C) internal randomized ankyrin repeats between an N- and C-terminal capping repeat (15)  
280 were subjected to ribosome display selections and panned against recombinant gp120 from  
281 strain JR-FL (Figure 1B). Enrichment of specific binders in both the N2C and the N3C libraries  
282 was observed based on RT-PCR products after four rounds of ribosome display (data not  
283 shown). 285 individual clones of each library were expressed in *Escherichia coli*, crude extracts  
284 screened for gp120 binding by ELISA and reactive clones sequenced. None of the N2C clones  
285 and only one single clone from the N3C library proved to encode a DARPin which specifically  
286 bound to JR-FL gp120 (Figure 1B), indicating that DARPins have a limited capacity in recognizing  
287 the wild-type, conformationally flexible gp120 envelope glycoprotein.

288

289 **DARPin panning on gp120 target proteins with decreased flexibility and degree of**  
290 **glycosylation**

291 This low frequency in selecting gp120-specific DARPin binders prompted us to probe three  
292 alternative selection strategies to determine whether modified gp120 proteins with reduced  
293 structural flexibility or decreased camouflage by glycosylation prove better selection targets. In  
294 a first approach we limited the structural flexibility of gp120 by ligation with the CD4 mimetic  
295 mini-protein CD4M47, which arrests gp120 in the CD4-bound conformation (30) (Figure 1B,  
296 *Selection II*). In a further strategy, we probed whether removal of the V1V2 domain, the largest  
297 and most flexible of the gp120 variable loop domains (53, 54), improves the efficiency of gp120-  
298 specific DARPin selection (gp120 $\Delta$ V1V2, *Selection III*). Additionally, we explored whether de-  
299 glycosylated gp120 allows selection of gp120-specific DARPins at higher frequency (Figure 1B,  
300 *Selection IV*) as sites vulnerable to neutralizing antibody attack are known to be efficiently  
301 shielded by the Env protein's heavy glycosylation (9-11).

302 After three to five rounds of ribosome display, 95 clones from each sub-library were screened  
303 by ELISA for reactivity with the respective target protein used during panning. Gp120  
304 conformationally arrested by ligation with the CD4 mimetic proved the most effective target as  
305 already after three rounds of selection, we derived two specific binders from the N2C library  
306 and nine specific binders from the N3C library of *Selection II*. In *Selection III* (target:  
307 gp120 $\Delta$ V1V2) and *Selection IV* (target: deglycosylated gp120) the derived N2C and N3C sub-  
308 libraries yielded no gp120-specific clones. Although a few N2C clones (3 clones in *Selection III*  
309 and 1 clone in *Selection IV*) with low reactivity with gp120 were detected, these had, however,  
310 too low affinity ( $\geq 10\mu\text{M}$ ) for gp120 to allow further follow-up analysis (data not shown).

311

312 **Affinity maturation of gp120-specific DARPins**

313 Our primary screen of sub-libraries from the four independent selection strategies yielded in  
314 total 12 gp120-specific clones (referred to as 1<sup>st</sup> generation binders, Figure 1B and Figure 2),  
315 which all originated from *Selections I* and *II*. Of note, specific binding of these 1<sup>st</sup> generation  
316 binders in ELISA to the respective gp120 target protein used in the selection was only detected

317 at high nanomolar to micromolar concentrations, indicative of relatively low affinities of these  
318 DARPin for gp120 (Figure 3 and data not shown). In order to select for gp120-specific DARPins  
319 with improved affinities, the sub-libraries of all four selection strategies were subjected to  
320 additional selection rounds with the aim to diversify specific binders and to specifically select  
321 for high-affinity binders using off-rate selection. Clones obtained after this affinity maturation  
322 step are referred to as “2<sup>nd</sup> generation binders” (Figure 1B and Figure 2). Overall the affinity  
323 maturation and off-rate selection step proved successful. *Selection I* yielded four improved  
324 derivatives of the N3C clone **4a3\_H3**, selected in the primary screen, and four additional N2C  
325 binders whereas *Selection II* yielded 5 further N3C clones upon affinity maturation.

326 For *Selections III* and *IV*, which only yielded particularly weak binders in the 1<sup>st</sup> generation, we  
327 retrieved several 2<sup>nd</sup> generation binders with improved reactivity for the respective target (13  
328 N2C from *Selection III* and 10 N2C binders from *Selection IV*; Figure 1B).

329

### 330 **Characterization of gp120-specific 1<sup>st</sup> and 2<sup>nd</sup> generation DARPins**

331 Sequence analysis of gp120-specific DARPins obtained in the 1<sup>st</sup> and 2<sup>nd</sup> generation of selections  
332 revealed that individual clones derived from the same N2C or N3C sub-library were in most  
333 cases highly related (Figure 2 and Supplement Figure S1). Based on the sequence analysis and  
334 binding efficacy in the initial screen, distinct clones from all selections were chosen for detailed  
335 binding and inhibitory activity analysis. To this end, DARPin preparations were purified and  
336 checked by SEC-MALS for oligomeric state. DARPins which had a tendency to form dimers or  
337 higher order aggregates were excluded from further analysis (data not shown). The 10 N2C  
338 DARPin clones from *Selection IV* only differed in a few amino acids (Figure 2). Unfortunately, all  
339 clones needed to be excluded from follow up as they formed higher order oligomers. For all  
340 other clones from *Selection I*, *II* and *III* selected for follow-up, binding properties of purified  
341 DARPins to (i) full length, wild-type (wt) gp120 of strain JR-FL, (ii) JR-FL gp120 liganded to  
342 CD4M47, (iii) JR-FL gp120 liganded to soluble CD4, and (iv) V1V2-loop-deleted JR-FL gp120 were  
343 determined. Figure 3 depicts gp120 binding data for representative clones of each selection

344 series. In general, the derived DARPin clones portrayed gp120-binding patterns that were in line  
345 with their respective selection strategy.

### 346 ***Selection I***

347 Clones derived from the N2C and N3C sub-libraries of *Selection I* were in both cases closely  
348 related (Figure 2). While both N2C and N3C DARPins bound wt gp120 efficiently, this binding  
349 was abolished in the presence of sCD4, but remained comparable to wt gp120 when gp120 was  
350 complexed with CD4M47 (Figure 3). Of note, the N2C clone **6a2\_A1** bound V1V2-deleted  
351 gp120 efficiently, while the N3C clone **H3\_1B3** failed to do so, indicating that these clones  
352 recognize distinct epitopes.

353

### 354 ***Selection II***

355 *Selection II* (CD4M47-liganded gp120) yielded clones with substantially divergent sequences  
356 and binding reactivities within the N3C sub-library (Figure 2). CD4 ligation proved to be a  
357 decisive determinant for DARPin binding to gp120 amongst *Selection II* clones (Figure 3). None  
358 of the probed 1<sup>st</sup> generation clones bound unliganded, wild-type gp120: All N3C clones bound  
359 to CD4M47-liganded gp120 but not to CD4M47 alone (Figure 2 and data not shown). In  
360 contrast, the N2C binder **3m2\_A12** only bound to sCD4-liganded gp120 but none of the other  
361 probed targets, even though this binder was selected against CD4M47-liganded gp120. The 2<sup>nd</sup>  
362 generation clone **5m3\_D12** was the only *Selection II* DARPin which recognized non-CD4-  
363 triggered wild-type gp120, albeit with markedly lower efficacy than when triggered with either  
364 sCD4 or CD4M47. In addition **5m3\_D12** bound V1V2 deleted gp120 with high affinity.

365

### 366 ***Selection III***

367 Despite relatively high sequence diversity amongst the 13 N2C clones obtained from *Selection*  
368 *III*, the probed clones displayed largely overlapping binding patterns (Figure 3 and data not  
369 shown). Two N2C clones, **AKNF1\_10** and **AKNF1\_14**, were selected for further analysis. In  
370 accordance with their selection against V1V2-deleted gp120 this envelope mutant was

371 recognized with highest efficiency but the clones also proved to recognize wild-type gp120. CD4  
372 ligation again had a differential effect on the binding capacity of these clones. While CD4M47  
373 ligation had no influence, sCD4 abolished the capacity of these DARPins to interact with gp120  
374 (Figure 3).

375

### 376 **Defining binding domains of gp120-specific DARPins**

377 In order to map the binding sites recognized by the gp120-specific DARPins in more detail we  
378 studied the capacity of representative members of each selection to bind to a panel of  
379 recombinant JR-FL gp120 proteins which included (i) full length wild-type protein, (ii) the CD4  
380 binding site (CD4bs) mutant gp120<sup>D368R</sup> known to obliterate CD4 and CD4bs antibody binding  
381 (55-57), (iii) the coreceptor binding site mutant (CoR-bs) mutant gp120<sup>I420R</sup> known to eliminate  
382 binding of antibodies recognizing the CD4-induced (CD4i) coreceptor binding site (27, 58) as  
383 well as mutant gp120 proteins lacking, (iv) the V1 loop (gp120<sup>ΔV1</sup>), (v) the entire V1V2 domain  
384 (gp120<sup>ΔV1V2</sup>), and (vi) the V3 loop (gp120<sup>ΔV3</sup>) (Figure 4). Functionality of all gp120 proteins used  
385 in these studies was verified by assessing binding of gp120-specific antibodies and CD4 (Figure  
386 4A). The observed binding patterns of the mAbs were in accordance with the respective  
387 epitopes of the antibodies with CD4bs-specific mAb b12 and CD4IgG2 lacking the capacity to  
388 bind the gp120<sup>D368R</sup> mutant protein, the V3-loop-specific mAbs failing to bind gp120<sup>ΔV3</sup> and the  
389 CD4i mAbs 17b and 48d portraying enhanced capacity to bind gp120<sup>ΔV1V2</sup> in the non-CD4-  
390 triggered conformation. Likewise, binding of CD4i mAbs to gp120<sup>I420R</sup> was obliterated and  
391 strongly reduced for gp120<sup>ΔV3</sup>, in line with the known contribution of the V3 loop and bridging  
392 sheet to the binding domain of these antibodies (27, 58, 59).

393 With the exception of DARPins from *Selection II*, which were selected against CD4-triggered  
394 gp120 and which require this conformation for effective binding, all other DARPins bound  
395 efficiently to the CD4bs mutant gp120<sup>D368R</sup> (Figure 4B). Most strikingly, only *Selection II* DARPins  
396 **5m3\_D12** bound the CoR-bs mutant gp120<sup>I420R</sup>, indicating that the structural arrest inferred by  
397 this mutant is not tolerated by most of the selected DARPins. The V1 loop deletion, in contrast,  
398 was tolerated by all groups. Deletion of the entire V1V2 domain had a differential effect.



399 *Selection I* DARPin **H3\_1\_B3** failed to bind gp120<sup>ΔV1V2</sup>. Binding of the three *Selection II* DARPins  
400 **3m3\_A8**, **3m3\_B9** and **3m3\_F12** was markedly reduced in absence of the V1V2 domain, while  
401 the remaining DARPins bound equally well in presence and absence of the V1V2 region. With a  
402 single exception (**3m2\_A12**) deletion of the V3 loop led to complete or near complete loss of  
403 DARPin binding. Thus, both the gp120<sup>I420R</sup> mutation and V3 loop deletion inferred structural  
404 rearrangements in monomeric gp120 which affected recognition by DARPins but were largely  
405 tolerated by gp120-specific Abs.

406

### 407 **Inhibition of HIV entry by gp120-specific DARPins**

408 We next evaluated the efficacy of the DARPins to inhibit entry of envelope-pseudotyped HIV  
409 into TZM-bl cells (46, 60), probing a panel of Subtype B tier 1 (including highly neutralization-  
410 sensitive V1V2-deleted viruses) and tier 2 viruses (Figure 5). We previously determined the  
411 inhibitory capacity of mAbs b12 and 2G12 against the same virus panel (21, 46) and these data  
412 are shown in comparison. The majority of DARPin clones portrayed a moderate to potent  
413 inhibition of the highly neutralization-sensitive viruses lacking the V1V2 domain and the tier 1  
414 virus isolates NL4-3 and SF162 but lacked activity against Tier 2 viruses. Notably, only one clone,  
415 **5m3\_D12**, portrayed some breadth against tier 2 viruses. The same DARPin also blocked *in vitro*  
416 infection of activated macaque PBMCs with SHIV162P3 (data not shown). None of the selected  
417 DARPin clones affected the entry of virions carrying the unrelated retroviral murine leukemia  
418 virus (MuLV) envelope, confirming that the observed inhibition by DARPins is indeed HIV-  
419 specific. Interestingly, DARPins **H3\_1B3**, **3m3\_B9** and **3m3\_F12** blocked wt NL4-3 and wt SF162  
420 but lost in neutralizing activity in absence of the V1V2 loop. The latter is in line with our  
421 observation that these clones had a strongly reduced capacity to bind gp120<sup>ΔV1V2</sup>, indicating  
422 that they bind to domains on gp120 encompassing the V1V2 region. Similarly, **3m3\_A8** showed  
423 decreased activity against SF162 but not NL4-3 in the absence of the V1V2 domain. DARPins  
424 **AKNF1\_10** and **AKNF1\_14**, selected against V1V2-deleted JR-FL gp120, neutralized tier 1  
425 isolates and V1V2-deleted viruses with high potency (low nanomolar to sub-nanomolar range)  
426 but failed to block wild-type tier 2 isolates with one exception, (6535.3 neutralization by  
427 **AKNF1\_14**). Thus, as can be expected from the panning against V1V2-deleted gp120, DARPins

428 **AKNF1\_10** and **AKNF1\_14** are limited in their action by V1V2 shielding. They can only access  
429 their binding domain when the envelope trimer has adopted an open, tier 1 like conformation  
430 for which V1V2 shielding is less efficient or when the V1V2 shielding is artificially removed, but  
431 not when the trimer is in the closed conformation adopted by tier 2 viruses. Despite the fact  
432 that all DARPins in *Selection I* and *II* were derived from selection against wild-type JR-FL gp120,  
433 only DARPin **5m3\_D12** blocked entry of wild-type JR-FL efficiently. Since DARPin **5m3\_D12** also  
434 showed the highest reactivity against tier-2 viruses probed in our panel, we focused for the  
435 remaining analysis on this clone.

436

#### 437 **DARPin 5m3\_D12 recognizes the V3 loop**

438 To obtain further information on the epitope recognized by **5m3\_D12**, we performed  
439 competition binding experiments using a panel of gp120-directed mAbs specific for the gp120  
440 core, C-terminus, CD4bs, CoRbs and the V3 loop and the glycan-dependent mAbs 2G12 and  
441 PGT128 (Figure 6). **5m3\_D12** binding to gp120 was only competed off by mAbs directed to the  
442 V3 tip (447-52D, 1-79). The fact that none of the other DARPins was affected by these mAbs  
443 and the failure of **5m3\_D12** to bind to V3 loop deleted gp120 (Figure 4) provided strong  
444 evidence that **5m3\_D12** binds the V3 loop directly. MAb PGT128 which recognizes a glycan  
445 dependent motif at the V3 stem region had in contrast to the tip specific mAbs only a marginal  
446 influence on **5m3\_D12** binding. Of note, PGT128 had a more substantial effect on all other  
447 DARPins in our panel suggesting that PGT128 binding induces conformational rearrangements  
448 that afflict a variety of domains within gp120. In line with the CoRbs mutant analysis and the  
449 selection against a CD4 liganded target, the CoRbs epitope directed mAb 17b (and to a lesser  
450 extent mAb 48d) affected binding of all DARPins from Selection II. Of note, **5m3\_D12** which  
451 preferentially binds to CD4 and CD4-M47 triggered gp120, failed to bind to gp120 in presence  
452 of mAbs b12 and b6 but recognized gp120 in presence of VRC01 suggesting that the latter mAb  
453 induces conformational changes required for DARPin recognition similar to CD4 (Figure 6).

454

455 **DARPin 5m3\_D12 recognizes the V3 loop in a conformation-dependent manner**

456 To define whether **5m3\_D12** is indeed specific for the V3 loop we performed direct binding  
457 studies and competition binding experiments, using both linear peptides and a panel of V3 loop  
458 mimetics, based on structures in the Protein Data Bank (PDB) of V3 loop peptides bound to the  
459 mAbs F425-B4e8 (29), 2219 (61), 537-10D (62) and 447-52D (63) (Figure 7A). The peptides in  
460 each complex adopt  $\beta$ -hairpin conformations, but differences arise in the orientations of side  
461 chains on each face of the hairpin (the register of the hairpin). In the complex with 2219, the  
462 I307 and F317 side chains point to the same side of the hairpin and comprise a cross-strand  
463 hydrogen-bonding (HB) pair, whereas in the complex with F425-B4e8, I307 and Y318 form the  
464 HB pair, and with 537-10D the bond is between H308 and F317 (Figure 7A). In designing the  
465 mimetics, the V3 loop sequences were transplanted onto a D-Pro-L-Pro template in order to  
466 stabilize the backbone hairpin conformation and fix the hairpin register (64). The pair of  
467 residues directly attached to the template should orient their side chains onto the same face of  
468 the hairpin, and occupy a HB position. In this way, the four cyclic peptide mimetics referred to  
469 here as **HF**, **IY**, **IF** and **HY** (Figure 7A) were designed, which should structurally mimic the V3  
470 peptides complexed with the respective mAbs. The **IY** mimetic was reported earlier (31),  
471 whereas the other mimetics studied here were produced and characterized in the same way.  
472 NMR structures of the mimetics were determined in aqueous solution, which confirmed the  
473 expected hairpin structures (Figures 7A, S2, S3 and Tables S1-S5). All V3 peptides comprised the  
474 conserved GPG motif at the tip of the loop as well as parts of the loop stem.

475 We performed competition binding studies of **5m3\_D12** binding to plate-immobilized JR-FL  
476 gp120 triggered by CD4M47 in the presence and absence of linear V3 loop peptides and V3  
477 mimetics from strains JR-FL and MN (Figure 7B). Interestingly, **5m3\_D12** portrayed a very clear  
478 preference for structurally arrested mimetics of the IY register, as these competed effectively  
479 with gp120 for DARPin binding, whereas the linear peptides based on the same sequences did  
480 not. The mimetic IY (MN<sup>mut</sup>) which contains a proline to alanine substitution in the conserved  
481 GPG motif showed reduced competition (Figure 7B). In contrast to IY mimetics, mimetics with  
482 HY and IF register did not compete off **5m3\_D12** binding to gp120. The mimetics with HF  
483 register and the cyclic SS, a V3 mimetic cyclized by a disulphide bond, showed weak to

484 moderate competition. Overall, the competition experiments highlighted a strong dependence  
485 of **5m3\_D12** on a specific V3 conformation, which is in sharp contrast to V3-loop-directed  
486 antibodies (31). Interestingly, the mAbs 447-52D (epitope model for the HY mimetic) and F425-  
487 B4e8 (epitope model for the IY mimetics) reacted equally well with the linear V3 peptide and  
488 their modeled epitope mimetic, but showed a reduced efficacy in binding mimetics with a  
489 different register (Figure 7C). We verified these findings by performing direct V3 peptide  
490 binding experiments using plate-immobilized biotinylated peptides. DARPin **5m3\_D12**, but  
491 none of the DARPins from other groups (Figure 8 and data not shown), bound to the mimetic  
492 with IY register based on the V3 sequence of the MN virus strain. Binding to the linear V3  
493 peptide from the same strain by the DARPin was approximately hundredfold weaker. In  
494 contrast, the V3-specific mAbs 1-79 (25), 19b (65) and 447-52D bound both the linear and  
495 structurally arrested peptides at comparative levels with 1-79 even displaying a slight  
496 preference for the linear peptide (Figure 8).

497 Most interestingly, DARPin **5m3\_D12** is able to neutralize not only V1V2-deleted JR-FL but also  
498 wild-type JR-FL pseudotyped virus, albeit with 2 logs lower potency (Figure 8). This contrasts  
499 with V3-directed mAbs 1-79, 19b and 447-52D which are more than 5 logs less potent against  
500 wild-type JR-FL, compared to V1V2-deleted JR-FL (Figure 8). Since the V3 loop is potentially  
501 shielded against antibody attack by the V1V2 domain, most V3 loop antibodies defined to date  
502 cannot, or only with low efficacy, neutralize HIV (21). In the absence of V1V2 shielding,  
503 however, V3 loop antibodies display remarkable potency and cross reactivity (21).

504 DARPin **5m3\_D12** is thus able to partially circumvent V1V2 shielding. Intriguingly, we observed  
505 a notable capacity of DARPin **5m3\_D12** in blocking divergent strains of wild-type HIV (Figure 5).  
506 However, while our data suggest that **5m3\_D12** is able to partially pass by the V1V2 shielding  
507 on genetically divergent isolates, the activity of **5m3\_D12** against viruses lacking the V1V2  
508 domain was still markedly enhanced, indicating that access of **5m3\_D12** is also to some extent  
509 restricted by the V1V2 shield.

510 We next compared the neutralization breadth of **5m3\_D12** to V3-specific mAbs 447-52D (28)  
511 and 1-79 against subtype B viruses (Figure 9). Both mAbs recognize an epitope in the V3 tip that  
512 is expected to at least partially overlap with the **5m3\_D12** epitope (Figure 6). DARPin **5m3\_D12**

513 neutralized a subset of strains with considerable potency (Figure 9, symbols in red), but showed  
514 no efficacy against the residual strains. Intriguingly, the majority of the strains which were  
515 sensitive to **5m3\_D12** were also neutralized by mAb 1-79 but not mAb 447-52D, indicating also  
516 distinct differences amongst V3 loop antibodies in bypassing V1V2 shielding.

517 To obtain insights into the binding properties of **5m3\_D12** in the context of the native envelope  
518 spike during virus entry, we next performed competition inhibition experiments using V3  
519 peptides and mimetics. Neutralization activity of DARPin **5m3\_D12** against SF162 and V1V2-  
520 deleted JR-FL pseudotyped virus was diminished by addition of V3 IY mimetics in a dose-  
521 dependent fashion, whereas the corresponding linear peptides and the mimetic with HF3  
522 register showed no effect (Figure 10). This contrasted with the pattern observed for mAb 1-79,  
523 where neutralization activity was more strongly affected by addition of linear V3 peptides  
524 compared to V3 mimetics of the IY register. A V3 mimetic with HF register had an intermediate  
525 effect. In summary, these experiments underlined that DARPin **5m3\_D12** recognizes the V3  
526 loop in a structurally constrained manner.

527

## 528 **Discussion**

529 HIV has evolved an array of schemes, foremost heavy glycosylation and conformational  
530 masking, to shield vulnerable sites on the envelope trimer from immune recognition and attack  
531 by neutralizing antibodies to persevere functionality of the entry complex (8, 10). Engineered  
532 entry inhibitors at large suffer from the same limitations as antibodies in accessing relevant  
533 domains on the envelope trimer. In the present study we investigated the potential of the  
534 DARPin technology in selecting novel gp120-reactive entry inhibitors. Both antibodies and the  
535 smaller sized DARPins harbor the ability to recognize their targets with high affinity and  
536 specificity, while differing entirely in structure (19). We reasoned that the distinct binding  
537 properties of DARPins may allow the selection of novel gp120-reactive molecules with  
538 specificities in epitope recognition and inhibitory activity that differ from those found amongst  
539 neutralizing antibodies. Antibodies and the N2C (15 kDa) and N3C (18 kDa) type of DARPins  
540 employed in the present study cover a comparably sized binding footprint. DARPins recognize

541 the target by using their surface of  $\alpha$ -helices and their row of  $\beta$ -hairpins, resulting in a groove-  
542 like binding surface, and thus they prefer to bind to the surface of a globular protein domain, or  
543 at least structurally well defined loops (16-18, 66-68). Antibodies can do this, too, but they can  
544 also bind to an unstructured terminal peptide or long loop, which adapts to a pocket or groove  
545 between the antibody variable domains. Conversely, the complementary determining regions  
546 (CDRs) of the antibody, especially a long CDR-H3, can bind within a pocket of the target or at  
547 the side of a domain (69). The preference of DARPins for recognizing structural components  
548 could be advantageous in selecting HIV envelope-directed inhibitors, particularly, as recent  
549 findings of potent broadly active neutralizing antibodies revealed a high prevalence of  
550 antibodies recognizing conformational, inter- and intra-protomer loop-spanning binding  
551 domains (70, 71). DARPins also have many favorable biophysical properties, such as exceptional  
552 stability and high-yield prokaryotic production, which, if broad and potent HIV envelope-  
553 specific DARPin inhibitors are found, renders this type of molecule a promising candidate for  
554 use as topical microbicide.

555 In the present study we selected HIV entry-blocking clones from DARPin libraries via ribosome  
556 display in which gp120 was presented as panning target in four modifications aiming to  
557 investigate the influence of gp120 conformational flexibility and glycosylation on the efficacy of  
558 DARPin selection. We found that DARPins have a relatively limited capacity to recognize the  
559 wild-type, conformationally flexible gp120 envelope glycoprotein. Of the four gp120  
560 modifications probed, only gp120 liganded with the CD4M47 miniprotein (and thereby  
561 structurally arrested) yielded a broader range of DARPin binders recognizing different epitopes.  
562 Common to all selected gp120-reactive DARPins was a relatively strong dependence on specific  
563 gp120 conformations. This was particularly evident in the mutant gp120 mapping we  
564 performed. The CoRbs mutant gp120<sup>I420R</sup> and the V3 loop deletion variant were, with one  
565 exception, each not recognized by the selected DARPins, irrespective of which selection they  
566 came from, while gp120-specific antibodies recognized the same mutant proteins unless their  
567 epitope was known to be directly affected by the inferred mutations (Figure 4).

568 Preferences regarding specific gp120 conformations were also apparent amongst DARPins  
569 selected against CD4M47-liganded gp120. Only the V3-loop-specific N3C clone **5m3\_D12** was

570 able to bind to non-liganded gp120. All other DARPins derived from this selection series require  
571 CD4 ligation (by either sCD4 or the CD4 mini-protein CD4M47) in order to bind to gp120.

572 The V3-specific DARPin **5m3\_D12** was the only DARPin we selected with a notable activity to  
573 block Subtype B tier 2 virus infection. Even though this activity was limited to a relatively small  
574 number of isolates this is noteworthy, considering that V3-loop-specific antibodies commonly  
575 fail to inhibit these types of isolates, as they cannot bypass V1V2 shielding (21). Thus, although  
576 **5m3\_D12** is to some extent restricted by V1V2 shielding, it interacts with the V3 loop of certain  
577 subtype B tier 2 isolates in a way that allows bypassing of the V1V2 shield and effective  
578 inhibition of entry. Investigations of the breadth of **5m3\_D12** against viruses from other  
579 subtypes are currently underway.

580 In stark contrast to the interaction of V3 loop antibodies with their epitope on V3 **5m3\_D12**  
581 depends however on a specific conformation of the V3 loop domain in order to recognize the  
582 loop and to block infection. The preference of DARPin **5m3\_D12** for a mimetic structure with  
583 the IY register (31) suggest that the IY  $\beta$ -hairpin structure is close to the predominant  
584 conformation the gp120 V3 loop adopts after CD4 binding. Both epitope binding and  
585 neutralization activity of **5m3\_D12** crucially relied on this V3 loop conformation. Overall, our  
586 findings highlight that the preference of DARPins to recognize specific structures may be  
587 utilized in further selection strategies to their advantage. Mimetics as employed here bear  
588 promise as targets for selections as they could allow to more efficiently steer DARPin selection  
589 towards a specific site.

590 In summary, our study highlights the potential of the DARPin technology in retrieving HIV  
591 envelope-reactive binders with unique properties, which harbor entry inhibitory capacity. In  
592 particular, the conformation dependence of the DARPin-target interaction may prove of  
593 advantage for selecting potent entry inhibitors with novel specificities, including alternatives to  
594 quaternary antibodies (72, 73). Once identified, HIV specific DARPin binders with inhibitory  
595 activity open multiple avenues for improving their potency. Besides refined affinity maturation,  
596 multivalent constructs (of DARPins with one or more specificities) to crosslink subunits within a  
597 trimer or neighboring trimers bear promise in boosting efficacy (74).

598 With the increasing understanding of the architecture of the viral spike (21, 75, 76), possibilities  
599 to generate stable soluble trimers which closely resemble the native spike (77, 78) and the  
600 means to generate structurally arrested peptide mimetics of gp120 micro-domains (31), a  
601 number of tools have become available which bear promise to tailor future DARPin selections  
602 to specific domains of interest. As discussed above, based on our current data the latter holds  
603 particular promise to improve envelope-specific DARPins identification and to harness the  
604 distinctive binding properties of DARPins for HIV inhibitor development.

605

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611



612 **Appendix**

613 **Table A1.** Alignment of V3 sequences of virus isolates probed in Figures 5 and 9. (Amino acid  
614 positions numbering according to HXB2).

615  
616

<b>Table A1</b>		<b>V3 loop sequence</b>			
		300	310	320	330
<b>HIV strain</b>		..... ..... ..... ..... ..... ..... .....			
<b>Sensitive to 5M3_D12</b>	<b>JR-FL</b>	CTRPNNNTRKSIHIGPGRAFYTTGEIIGDIRQAHC			
	<b>RHPA4259.7</b>	...H.....N.....A..K.....			
	<b>NAB1pre-cl_39x</b>	...S.....T.....A.....K...			
	<b>NAB2pre-cl_3</b>	...L.....R..N.....W.....V.....K.N.			
	<b>NAB10pre-cl_2</b>	.....R...S.....K...			
	<b>NAB12pre-cl_7</b>	.....P.....A..D.....			
	<b>Resistant to 5M3_D12</b>	<b>6535.3</b>	.....NL.....A..D.....		
<b>AC10.0.29</b>		.I.....G.....D.....			
<b>CAAN5342.A2</b>		.....S...T.....A..R.....K...			
<b>PVO.4</b>		.....S.....A..D.....			
<b>QH0692.42</b>		...G.....A..D.....			
<b>REJO4541.67</b>		.....A.....A.....K.Y.			
<b>SC422661</b>		.....G.T....V...-...V...V..			
<b>THRO4156.18</b>		.....S...M...G..FA..R.....K.Y.			
<b>TRO.11</b>		.....R.....A..D.....			
<b>WITO4160.33</b>		...G...R..N.....A..A.....K...			
<b>NAB3pre-cl_43</b>		.....A..A..N.....			
<b>NAB4pre-cl_1</b>		.....R..P.....A.-D.....			
<b>NAB5pre-cl_1</b>		...S...R..T.....A..D.....K...			
<b>ZA110_10.14</b>		...S...R.....K...-G.....			

617

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619

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## 885 **Figure legends**

886

### 887 **Figure 1: Selection strategies employed for the generation of gp120-specific DARPins**

888 A) Schematic view of a ribosome display DARPIn selection round. B) The four employed DARPIn  
889 selection strategies and numbers of obtained gp120-specific binders are shown. \* 3 clones in  
890 *Selection III* and 1 clone in *Selection IV* with borderline reactivity for gp120 were detected which  
891 had, however, too low an affinity ( $\geq 10\mu\text{M}$ ) for further analysis.

892

### 893 **Figure 2: Phylogenetic analysis of gp120-specific DARPIn clones derived by different selection** 894 **approaches.**

895 Sequence relationships of clones obtained from four different selection strategies are shown as  
896 phylogenetic trees derived using the Maximum Likelihood method based on the JTT matrix-  
897 based model. Data are based on protein sequence alignments of N2C and N3C binders from  
898 each respective selection. 1<sup>st</sup> generation binders are shown in black and 2<sup>nd</sup> generation binders

899 in blue. Binders shown with inverse colored boxes were chosen to be analyzed in detail. The  
900 trees are drawn to scale, with branch lengths measured in the number of substitutions per site.

901

### 902 **Figure 3: DARPIn binding: Analysis of specificity to gp120**

903 Overview of binding strength of individual, purified DARPIn clones obtained from different  
904 selection strategies to gp120 and derivatives as assessed by ELISA. Shown is the half-maximal-  
905 binding concentration for each of the gp120-specific DARPIn binders, as estimated by ELISA to  
906 recombinant gp120 (JR-FL) wild type (green), in complex with CD4 (blue) or CD4M47 (red) or  
907 V1V2-loop-deleted gp120<sup>JR-FL</sup> (orange). No bar is depicted when no binding to a respective  
908 construct was detected up to a concentration of 4  $\mu$ M. Error bars indicate the standard error of  
909 the mean (SEM).

910

911

### 912 **Figure 4: DARPIn mapping: Reactivity with mutant gp120 proteins**

913 Binding of gp120-specific mAbs (A) and DARPins (B) to the indicated JR-FL-derived gp120  
914 proteins by ELISA. The ELISA binding signal of DARPins and mAbs to wt gp120 at saturating  
915 concentration is set to 100% and mutant gp120 binding is depicted relative to this value. For  
916 clones that only bind gp120 in a liganded form, the CD4 ligand was used in each ELISA. Since the  
917 CD4 ligands do not bind gp120<sup>D368R</sup>, this construct could not be employed in the liganded form.  
918 Heat maps indicate 100% binding like wt in green and no binding in red with a linear gradient  
919 through yellow at 50%. (n/a, not applicable; n/d, not done).

920

### 921 **Figure 5: HIV Entry inhibition by gp120-specific DARPins**

922 DARPIn inhibition of a panel of 21 env pseudotyped viruses of tier 1 (wt and V1V2-deleted), tier  
923 2 and MuLV as control was probed in the TZM-bl assay. The 50% inhibitory concentration (IC<sub>50</sub>)  
924 values are depicted. V1V2-deleted virus envelopes are shown in red, wt tier 1 envelopes in  
925 blue, wt tier 2 envelopes in black and the MuLV control in purple. For comparison, inhibition  
926 data of the mAbs IgG1-b12 and 2G12 from Rusert et al (21, 46) are depicted. DARPins were  
927 tested to a maximal concentration of 5  $\mu$ M and mAbs to 666 nM.



928

929 **Figure 6: DARPin mapping: Reactivity with gp120 in the presence of gp120-specific mAbs**

930 Binding of DARPins to wt JR-FL gp120 (CD4-triggered or not, as indicated) in ELISA in the  
931 presence of the depicted gp120-specific mAbs was monitored. “None” indicates binding to  
932 gp120 in the absence of competitor mAb and is set to 100%. Binding signal in the presence of  
933 the competing mAbs is expressed relative to this value. For clones that only bind gp120 when  
934 liganded, binding in the presence of the CD4 ligand is set to 100%. Ligands were not employed  
935 if they target the same epitope as the used competitor. Heat map indicates 100% binding in  
936 absence of competitor in green and complete inhibition (0% binding) binding in red with a  
937 linear gradient through yellow at 50%. (n/a, not applicable; n/d, not done).

938

939

940 **Figure 7: DARPin 5m3\_D12 interacts preferentially with structural mimetics of the V3 loop**

941 (A) Top row: Backbone ribbon representations of linear V3 peptides bound to the mAbs 537-  
942 10D, F425-B4e8, 2219 and 447-52D (from PDB files 3GHE, 2QSC, 2B0S and 2ESX). The C( $\beta$ ) atom  
943 of selected side chains are shown with a ball, and the residues shown are color coded. Cross-  
944 strand hydrogen-bonded residues are indicated by light blue dotted lines. Middle row: The  
945 designed backbone cyclic V3 loop mimetics, with the HF, IY, IF and HY registers. Bottom row:  
946 Representative solution NMR structures determined for each mimetic, which confirm for each a  
947 stable  $\beta$ -hairpin backbone conformation and the predicted hairpin registers. The D-Pro-L-Pro  
948 template is shown for each at the bottom of the structure in orange color. (B) Binding of  
949 DARPin **5m3\_D12** to immobilized recombinant JR-FL gp120-liganded with CD4 mimetic CD4M47  
950 was studied by ELISA in the presence of increasing concentrations of linear V3 peptides and V3  
951 mimetics. Data are shown relative to **5m3\_D12** binding without competitor peptides. (C) The  
952 same analysis as in (B) is shown for the mAbs 447-52D and F425-4e8.

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956 **Figure 8: DARPin 5m3\_D12 interacts preferentially with structural mimetics of the V3 loop**  
957 **and inhibits entry of tier 2 virus JR-FL**

958 Direct binding (left column) to plate-immobilized linear MN V3 peptide (black squares) and  
959 cyclic IY MN V3 mimetic (green dots) in ELISA and entry inhibition in TZM-bl cells (right column)  
960 of pseudoviruses JR-FL (blue dots) and JR-FLΔV1V2 (red squares) by DARPin **5m3\_D12** (first  
961 row), mAb 1-79 (second row), mAb 19b (third row) and mAb 447-52D (fourth row).

962  
963 **Figure 9: Subtype B tier 2 inhibition by DARPin 5m3\_D12 and V3-specific mAbs**  
964 Neutralization breadth against subtype B tier 2 viruses of DARPin **5m3\_D12** and V3-specific  
965 monoclonal antibodies 1-79 and 447-52D was probed in the TZM-bl pseudotype virus inhibition  
966 assays. IC<sub>50</sub> values are recorded. Isolates inhibited by DARPin **5m3\_D12** are shown in red.

967  
968 **Figure 10: IY V3 loop mimetics efficiently compete with native V3 loop on intact viral spikes**  
969 **for binding to DARPin 5m3\_D12**

970 Inhibitory activity in the TZM-bl assay of DARPin **5m3\_D12** (bottom row) and mAb 1-79 (top  
971 row) against pseudoviruses JR-FLΔV1V2 (left column) and SF162 (right column) was assessed in  
972 the presence and absence of competing linear V3 peptides and cyclic V3 mimetics.