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Refined brain tumor diagnostics and stratified therapies: the requirement for a multidisciplinary approach

Riemenschneider, M J ; Louis, D N ; Weller, M ; Hau, P

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1 **Refined brain tumor diagnostics and stratified therapies: the requirement**
2 **for a multidisciplinary approach**

3

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14 **Running title:** Molecular based diagnostics and therapy in neurooncology

15

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17 mutation, *MGMT* promoter methylation, 1p/19q deletion

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1 **Abstract**

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Individualized therapies are popular current concepts in oncology and first steps towards stratified medicine have now been taken in neurooncology through implementation of stratified therapeutic approaches. Knowledge about the molecular basis of brain tumors has expanded greatly in recent years and a few molecular alterations are studied routinely because of their clinical relevance. However, no single targeted agent has yet been fully approved for the treatment of glial brain tumors. In this review, we argue that multidisciplinary and integrated approaches are essential for translational research and the development of new treatments for patients with malignant gliomas, and we present a conceptual framework in which to place the components of such an interdisciplinary approach. We believe that this ambitious goal can be best realized through strong cooperation of brain tumor centers with local hospitals and physicians; such an approach enables close dialogue between expert subspecialty clinicians and local therapists to consider all aspects of this increasingly complex set of diseases.

1 **Introduction**

2

3 Advances in the understanding of tumor biology and the availability of more sophisticated
4 technical tools for molecular genetic analyses in recent years has led to an exponential
5 increase in the knowledge of genetic alterations linked to gliomagenesis [117, 118]. While
6 these findings have widened our understanding of the underlying biology of the disease, they
7 have also increased our awareness of its complexity. For example, intertumoral and
8 intratumoral heterogeneity may influence outcome and treatment response; the vascular niche,
9 the (hypoxic) stem cell niche or infiltrating glioma cells (to name but a few) all have their
10 own molecular characteristics [76, 116]; and molecular changes occur on multiple regulatory
11 levels (genomic, transcriptional, epigenetic) and are interconnected, such as miRNAs may
12 control sophisticated signaling networks [83, 85, 122]. Taken together, these different facets
13 of the disease have an enormous potential to influence diagnostic decisions and to stimulate
14 the development of novel therapies.

15

16 At the same time, the molecular changes that have been translated into a clinically meaningful
17 context are not many and no targeted agent has yet been fully approved for the treatment of
18 gliomas. A few molecular alterations such as *MGMT* methylation, deletions on chromosome
19 arms 1p/19q and *IDH1/2* mutations have been successfully linked to predictive and/or
20 prognostic information [114, 115]. However, although frequently evaluated, their use for
21 clinical decision-making is not yet widespread in the general community [56]. In addition,
22 novel genetically defined subgroups within histologically homogenous tumor entities and
23 global molecular signatures with prognostically relevant content have been identified [120].
24 Further, we are on the verge of understanding the molecular correlates of chemotherapy
25 resistance. These are first steps emphasizing the transfer of basic research insights into
26 clinically relevant applications. Finally, the interconnection of tissue-based information with
27 data from imaging methods such as magnetic resonance techniques and positron emission
28 tomography plays increasing roles in diagnostics and therapeutics [35]. Here, all types of
29 information converge to establish an optimized treatment strategy.

30

31 With a multitude of molecular alterations now known through high-throughput profiling
32 studies [22], one of the major future challenges will be the determination of how those
33 changes might be exploited to our patients' benefit. While we are far from providing final
34 answers to this important challenge, we here review approaches that connect molecular with

1 histopathological and clinical as well as imaging information. We further believe that such
2 approaches can be best realized in an environment where clinicians and basic scientists work
3 closely together to illuminate the many aspects of this increasingly complex disease and
4 where they connect to local hospitals and physicians to bring optimal treatment to patients. As
5 such we argue that current optimum neuro-oncology treatment and research must be
6 multidisciplinary and interdisciplinary. In the following commentary, we provide a series of
7 arguments and a conceptual framework in support of this statement (Fig. 1).

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9
10
11 **Argument #1: Diagnosis is necessarily complex and requires expert neuropathologists**

12
13 ***#1a: Histology and molecular pathology are increasingly interconnected***

14 Since its first edition in 1979, the WHO classification of tumors of the central nervous system
15 has been developed periodically by correlating histopathological findings with clinical
16 information, primarily survival data. To date, the WHO brain tumor classification (most
17 recently updated in 2007) is still based on histological and immunohistochemical findings
18 [78]. However, given the emerging knowledge of the molecular basis of brain tumors,
19 molecular analyses will likely be increasingly incorporated in future revisions of the
20 classification [77]. A few examples in this direction are as follows (also compare Table 1):

21
22 • ***Molecular subtypes of glioblastomas***

23 Histologically defined glioblastoma represents a molecularly diverse set of entities. For
24 example primary (de novo) and secondary (derived from a lower-grade precursor
25 lesion) glioblastoma have long been distinguished from one another [68, 93]. While
26 histologically indistinguishable, these tumors exhibit divergent molecular profiles [74,
27 93, 114]. Data from large-scale profiling approaches (for details see below) identified
28 even higher numbers of molecularly defined glioblastoma subgroups with clinical
29 relevance [99, 140]. For molecular-driven therapies this additional information would
30 have to be incorporated into the overall tissue diagnosis and passed from the
31 neuropathologist to the clinician; to do so in many instances, particularly in the setting
32 of complex diagnostic tests, requires subspecialized expertise.

1 • *Molecular differential diagnoses of astrocytic gliomas*

2 Molecular genetics may be beneficial in stratifying astrocytomas with ambiguous
3 histological features into groups that are more likely to behave similarly in response to
4 treatment. One example in this respect would be the case of high-grade glial neoplasms
5 that appear highly pleomorphic but in which histological criteria for the diagnosis of
6 glioblastoma are not completely fulfilled and uncertainty remains whether this may be
7 merely due to incomplete sampling. In such cases, the presence of a mutant *IDH* might
8 raise caution for the diagnosis of a WHO grade IV lesion, while the *IDH* wildtype
9 situation may support the possibility that the tumor is likely to behave in an
10 unfavorable, glioblastoma-like fashion [4, 47, 59]. As *IDH* mutations are tumor-specific
11 alterations that do not occur in non-neoplastic cell populations, they may also aid in the
12 sometimes subtle differential diagnosis between reactive astrogliosis or the infiltrative
13 rim of a low-grade glioma containing only isolated invading tumor cells [21, 121].
14 Other molecular alterations may support the sometimes difficult differential diagnosis
15 between infiltrating astrocytomas and astrocytic tumors with a more circumscribed
16 growth pattern [78, 119]. As such, *BRAF* gene alterations (and in particular a *BRAF*-
17 *KIAA1549* fusion gene) might help to differentiate pilocytic astrocytomas from
18 diffusely infiltrating low-grade astrocytomas (the latter in turn show common *IDH* gene
19 mutations) [5, 64, 65]. *BRAF V600E* mutations may also provide support for the
20 diagnosis of an (anaplastic) pleomorphic xanthoastrocytoma over that of a glioblastoma
21 [125, 143].

22
23 • *Molecular diagnostics of oligodendroglial neoplasms*

24 Because oligodendroglial tumors follow a better clinical course and because recent data
25 has shown that 1p/19q-codeleted oligodendroglial tumors should be treated with
26 combined radiochemotherapy, their precise histological identification is of major
27 clinical importance [20, 112, 138]. However, the histological features of
28 oligodendroglial differentiation are prone to marked interobserver variability [71, 135].
29 In such cases, the integration of histological and molecular information becomes
30 important. While it is still a matter of debate if the presence of 1p/19q deletions should
31 define oligodendroglioma, these prognostically favorable molecular alterations are very
32 common in oligodendroglial tumors (up to 80% of oligodendrogliomas and 30-50% of
33 oligoastrocytomas) [18, 19, 20, 136, 138, 148]. Thus, in cases with questionable
34 oligodendroglial histology, 1p/19q testing can help to identify those patients that follow

1 a better clinical course. In terms of therapies for oligoastrocytoma, this combination of
2 histological and molecular information is also important because these tumors do not
3 form a homogeneous group of neoplasms and histology alone does not sufficiently
4 reveal their nature. Only molecular analysis can segregate lesions with the molecular
5 characteristics of an oligodendroglioma (1p/19q codeletion) from those that more
6 resemble a diffusely infiltrating astrocytic neoplasm (*TP53* mutation, 17p loss,
7 chromosome 7 gain) [81, 88].

8
9 These three examples emphasize that a combination of histology, immunohistochemistry and
10 molecular genetics is required for diagnostic approaches. The combination is more than the
11 mere synopsis of the information obtained from the different investigative levels. Accurate
12 brain tumor classification has to weigh histological and molecular information carefully in
13 light of the therapeutic options available. In many instances, brain tumor diagnostics is not
14 straightforward and requires a high degree of expertise and knowledge about the clinical
15 consequences of a specific differential diagnosis.

16
17 The diagnosis of rare tumor entities also carries challenges. Tumors such as angiocentric
18 glioma, papillary tumors of the pineal region, papillary glioneuronal tumor and rosette-
19 forming glioneuronal tumor of the fourth ventricle have only recently been added to the WHO
20 classification [78]. Most of these tumors are rare and awareness of their existence and
21 differential diagnosis is more likely in neuropathological institutions where such tumors are
22 seen in greater numbers. Once identified, the lack of extensive published experience treating
23 such tumors means that the clinical implications of such diagnoses have to be individualized.
24 Thus, although the details of these rare entities is beyond the scope of this review, their rarity
25 makes them as important as common entities in terms of this conceptual framework of
26 interdisciplinary clinical approaches.

27
28
29 ***#1b: Proper molecular diagnostics requires dual molecular and histopathological expertise***

30 Tissue expertise is a required starting point for state-of-the-art molecular diagnostics. The
31 proper work-up of tissue specimens can be complex and requires the expertise of a
32 neuropathologist. For example, because most molecular assays are tumor-lysate based
33 approaches, histopathological characterization to identify representative tumor tissue is
34 essential prior to homogenization. In addition, tumor cell content should be carefully

1 controlled for since some assays (such as *MGMT* methylation testing, which requires cut-off
2 values and reports percentages) depend on a sufficient tumor cell content within the sample
3 [115]. In this context, it is important to point out that nearly all molecular tests have not yet
4 been validated independently, leading to discrepancies between laboratories.

5 For example, several methylation assays have been described for *MGMT* promoter
6 methylation testing. In addition to the methylation-specific PCR assay [52], combined
7 bisulfite restriction analysis, methylation specific sequencing and pyrosequencing as well
8 restriction enzyme-based approaches (that do not require bisulfite conversion, e.g. methyl-
9 QESD [10]) are in use. For the assays, primers are not standardized between laboratories so
10 that different regions of the gene promotor and a different number of CG sites are being
11 assessed. In this regard, it is unclear which methylation site corresponds best to clinical
12 response [27]. Such pitfalls handicap the comparability of testing results between individual
13 laboratories and make definition of clear cut-off levels questionable [115]. For these reasons,
14 the establishment of a consensus testing method that enables interlaboratory testing would be
15 desirable. New directions could involve combined assessment of gene methylation with
16 protein expression [72, 146]. Such consensus would require inter-institutional agreements,
17 perhaps through the involvement of national and international trial organizations.

18 Similar quality and comparability aspects have to be taken into consideration for assays of 1p
19 and 19q deletions where PCR-based and FISH methods coexist and deletions of specific
20 regions may bear an inverse prognostic meaning [41, 139]. All these natural limitations of the
21 methods have to be kept in mind and, in combination with the histological findings, have to
22 be adequately communicated to the clinician.

23

24

25 ***#1c: Correct diagnosis and tissue processing is the basis for interpretation of research***
26 ***results.***

27 Another important aspect that requires the input of neuropathologists is brain tumor banking.
28 Tumor banking stimulates basic, translational and clinical research aspects in many ways. The
29 collection of prospective cohorts of clinically well-annotated tumor samples supplies a unique
30 resource for basic researchers and clinicians by combining molecular and histopathological
31 with clinical and prognostic information [54, 139]. As a consequence, tissue banks in brain
32 tumor centers are a common resource usually governed by a steering board that involves all
33 disciplines (e.g., neurosurgery, neuropathology, neurology, medical oncology etc.) and
34 decides together on the use of the tissue specimens. A critical step is the proper processing

1 and characterization (e.g., with respect to representativeness and tumor cell content) of
2 surgical tissue specimens prior to banking, which requires expert neuropathological input,
3 particularly from neuropathologists interested in research applications. If research-orientated
4 neuro-oncologists and neurosurgeons then complement clinical information and other
5 additional features (such as patient blood samples), tissue banks become a valuable resource.
6 These resources become potentially yet more valuable if materials and information can be
7 shared in inter-institutional ways, for example to support large trials or large genomic studies.
8 Thus, tumor banks by linking clinical and basic research are an integral part of our
9 conceptional framework and foster innovative treatment approaches (Fig. 1).

13 **Argument #2: Clinical care is necessarily complex and needs expert neurooncologists**

15 *#2a: Molecular markers increasingly influence therapy decisions*

16 In addition to aiding in diagnosis and classification, molecular markers are now also affecting
17 therapeutic decisions. The prognostic or therapy-predictive role of these markers has been
18 partly clarified within clinical trials. To date, a number of adequately powered phase III- or
19 randomized phase II-clinical trials have been performed or are enrolling. These trials may
20 change the standards for the treatment of high-grade gliomas on basis of molecular
21 evaluations (Table 2). Therefore, molecular markers, particularly in high-grade tumors, will
22 gain an increasing role for therapy stratification, make therapeutic decisions more individual
23 and thus necessitate a close dialogue between clinicians and neuropathologists. This is not
24 only true for medical neurooncologists, but also for neurosurgeons, radiotherapists and
25 diagnostic disciplines as neuroradiology.

26 In the following we provide examples on how molecular information supports current
27 therapeutic decisions in glioblastomas and anaplastic gliomas:

- 29 • *Clinical impact of molecular markers in glioblastomas*

30 The EORTC 26981/22981 NCI-C3.0 trial demonstrated the relevance of *MGMT*
31 promoter methylation in glioblastomas by comparing treatment with temozolomide
32 radio-chemotherapy with radiotherapy only [52, 131]. In this trial, methylation was
33 predictive for benefit from chemo- and radiotherapy, a result that was later verified in
34 the phase III RTOG 0525 trial [1]. Results from the EORTC 26891 combined treatment

1 arm are often used as standard arm in trial design, and still, there has been no fully
2 published trial that shows better results. RTOG 0525 failed to show that an intense
3 regimen of temozolomide is more effective than the standard regimen either in patients
4 with glioblastoma with a methylated or unmethylated *MGMT* promoter [1]. However, as
5 there is no approved alternative to radio-chemotherapy with temozolomide at this time,
6 the predictive value of the *MGMT* promoter methylation does not lead to a stratified
7 treatment of patients with glioblastoma. This is different in elderly patients with
8 glioblastoma above an age of 65: efficacy of temozolomide as a monotherapy in older
9 patients was verified in the Nordic trial [82] and the German randomised phase 3 NOA-
10 08 trial; as shown by NOA-08, dose-dense temozolomide and radiotherapy in a
11 conventional fractionation scheme are generally equally effective [149]. However,
12 patients with *MGMT* promoter methylation have an increased overall survival under
13 temozolomide monotherapy. Therefore, these patients should be treated with
14 chemotherapy, whereas patients without *MGMT* promoter methylation or with an
15 unknown *MGMT* status should be treated with radiotherapy. Finally, data that
16 bevacizumab increases PFS from around 6 to 10 months [26] and possible approval of
17 bevacizumab may lead to a shift in the first-line treatment of glioblastomas, leading to
18 bevacizumab treatment in patients with an unmethylated *MGMT* promoter (see #2b,
19 below).

20
21 • *Clinical impact of molecular markers in anaplastic gliomas*

22 In the German NOA-04 trial for anaplastic astrocytomas and anaplastic oligodendroglial
23 tumors, hypermethylation of the *MGMT* promoter, mutation of *IDH1* and
24 oligodendroglial histology were verified as favorable prognostic markers [148].
25 However, *MGMT* promoter methylation was not predictive for a benefit from
26 chemotherapy. Other publications substantiate the prognostic value of molecular
27 markers in grade III gliomas [137]. In addition, data from two studies on WHO grade III
28 oligodendroglial tumors [20, 138] recently showed that the overall survival of patients
29 with a combined loss of 1p and 19q doubles from approximately 7 to about 14 years if
30 combined treatment with radiotherapy and chemotherapy with procarbazine, CCNU and
31 vincristine (PCV) is used. Even if these data were evaluated retrospectively, they appear
32 so convincing that a paradigmatic change in the treatment of these patients may result;
33 in contrast, patients without 1p/19q loss will most likely be treated with radiotherapy,
34 temozolomide or PCV as a monotherapy.

1 Most of the classical molecular markers have not been prospectively verified in independent
2 trials. In the EORTC 26981/22981 NCI-C3.0 trial, for example, *MGMT* promoter methylation
3 was evaluated post hoc in a subset of 206 of 573 treated patients. The evaluation of fewer than
4 half of the specimens, with the rest of specimens not being available or investigable, may
5 have introduced a statistical bias. Even in later trials with a prospective evaluation of *MGMT*
6 methylation, the rate of evaluated specimen has been in the range of 50-60% [1, 148]. Also,
7 the situations discussed above do not offer a stratified therapeutic approach for all patients
8 with high-grade gliomas, with the situation being even less clear in WHO grade II glioma
9 patients.

10

11 In such instances, crosstalk between tissue and imaging diagnostic disciplines also comes into
12 play and is essential to guide neurosurgery, radiotherapy and treatment response assessment.
13 Therapeutic disciplines increasingly rely on functional and metabolic imaging to guide
14 diagnostics and treatment [2]. For example, neurosurgeons use functional and biological
15 imaging to increase the extent of resection or to guide biopsy of the anaplastic focus of diffuse
16 tumors [40, 96, 145]. However, diagnosis and evaluation of response phenomena such as
17 pseudoprogression and pseudoresponse cannot be solely based on imaging methods, such as
18 MRI and PET [35, 86, 151], as the rate of false positive or negative imaging results is still
19 considerably high. Radiotherapists increasingly plan treatment along the biological tumor
20 volume that is evaluated by positron emission tomography [100, 144], and a value for
21 magnetic resonance spectroscopy has been suggested [39]. In this situation, correlation of the
22 biological tumor volume to histopathological and molecular features will be helpful to verify
23 this approach.

24

25 No single reliable tumor marker has yet been detected for gliomas and serum markers for
26 glioma diagnosis are not available at the present time. Nonetheless, in regard to a potential
27 serum markers for monitoring tumor load or tumor progression, small molecules such as
28 miRNAs might bear promising diagnostic perspectives [57]. Thus, additional controlled
29 studies involving proper histological and molecular work-up and development of novel
30 imaging and serum markers are needed.

31

32 Regardless of the modalities involved, the number of different technologies and the nuances
33 inherent in understanding the evidence levels offered by such approaches, it is clear that

1 treatment decisions for such patients are best discussed in multidisciplinary tumor boards that
2 include experts well aware of the strengths and weaknesses of all of these technologies.

3
4
5 ***#2b: Targeted agents provide therapeutic options beyond standard alkylating chemotherapy***

6 The current goal of many areas of oncology is the development of innovative targeted
7 therapies. Achieving effective targeted approaches will require close interaction between
8 basic scientists and clinicians, as well as a developmental pipeline from basic to translational
9 to clinical research. Most of these approaches are still at an experimental stage and most are
10 therefore introduced later in this review (see #4a below).

11
12 The currently most advanced example of a therapeutic strategy beyond standard alkylating
13 agents is the selective blockade of pro-angiogenic pathways with the humanized monoclonal
14 antibody bevacizumab [62, 101]. By binding the VEGF-A ligand, bevacizumab inhibits the
15 receptor-ligand interaction [66, 129]. Bevacizumab has been used as a monotherapy or in
16 combination with chemotherapeutic agents as irinotecan or temozolomide. In the first phase II
17 trials in relapse of high-grade gliomas, high response rates of up to 63%, a significant increase
18 of progression free survival (PFS) at 6 months (38%), and a small increase of overall survival
19 have been shown in comparison to historical data [142] - observations that however could not
20 be fully substantiated in subsequent trials. In most trials, response rates of about 30% have
21 been reported. Bevacizumab has been preliminarily approved by the FDA for the treatment of
22 relapsing or progressive high-grade gliomas [43], and several alternative regimens have been
23 tested using bevacizumab as monotherapy or combined with other cytotoxic agents, i.e.,
24 irinotecan, temozolomide or nitrosourea. A trial of the EORTC focusing on the sequential
25 therapy of patients with first relapse of glioblastoma (EORTC 26101) using bevacizumab and
26 CCNU in several combinations is enrolling patients at this time and additional controlled
27 studies involving proper histological, molecular and imaging work-up are urgently needed to
28 identify those patients that are most likely to benefit.

29
30 In addition to the use of bevacizumab in relapse, two first-line phase III trials have been
31 initiated that have shown early promising results of a significant increase of PFS in
32 glioblastoma [26]. The difference in OS was not significant at the time of presentation,
33 suggesting that bevacizumab may only prolong the clinically relevant first phase of the
34 disease until first progression. As such, an improved understanding of the side-effects of

1 targeted agents appears necessary. Antiangiogenic therapy has been shown to increase the
2 invasive properties of glioma cells. Early in vitro data [73] have recently been challenged by
3 observations from human high-grade glioma trials using bevacizumab, where an increased
4 FLAIR-enhancement suggesting increased invasion has been observed using magnetic
5 resonance imaging (MRI) [3, 102]. However, other investigators could not reproduce these
6 results [147]. These multidisciplinary results from both basic research as well as clinical
7 studies raise the question if a combined use of anti-angiogenic and anti-invasive drugs may be
8 advantageous and warrant careful evaluation of clinical and imaging response as well as
9 effects on tumor progression and overall survival.

13 **Argument #3: Basic research is needed to drive translational research**

14 The development of novel anticancer therapies is a major goal in neurooncology, yet progress
15 in this direction is still moderate. Since many substances fail in controlled clinical trials, the
16 costs for the substances that reach market approval are tremendously high. Thus, less
17 fragmented and instead more streamlined and cost-effective research approaches are needed.
18 Translational research is a promising way to bridge basic and clinical research. There is a
19 growing awareness of the fact that basic scientists on the one hand should provide input into
20 the development of clinical trials and that pre-clinical research projects would benefit from
21 the input of clinicians. Molecular aspects have to be better incorporated into clinical studies
22 and clinical demands have to be considered for defining relevant basic research projects. A
23 translational research pipeline that turns basic scientific discoveries into clinical applications
24 is a long and multistep process that requires a committed dialogue between experts from
25 multiple disciplines. It will also be enriched by qualified individuals with medical and
26 laboratory-based knowledge (so-called physician scientists). In our conceptual framework
27 academically-based brain tumor centers that harbor both clinical and basic research
28 disciplines are therefore ideally suited for addressing this task (Fig. 1).

31 ***#3a: Deeper insights into tumor biology are needed to identify novel promising targets of*** 32 ***clinical use***

33 Innovative treatment approaches necessarily require insights into the molecular basis of the
34 disease. Over the past twenty years, tremendous progress has been made in unveiling the

1 different pathophysiological events contributing to gliomagenesis and glioma progression.
2 Indeed, “glioma” is a heterogenous group of diseases, and the subsequent hope is that the
3 different molecular events could be targeted by distinct treatment approaches. Processes may
4 be targeted, for example angiogenesis or tumor cell proliferation. Experimental data from *in*
5 *situ* analyses and microdissected glioma cell populations from different tumor regions
6 suggest, for example, that infiltrating glioma cells contain unique molecular profiles [33, 84]
7 and some of these differentially activated molecules and pathways could serve as targets for
8 therapies aiming at reduction of the infiltrative nature of the disease: among others, the
9 dysregulation of EGFR and integrin signaling pathways could affect the tumor infiltration
10 zone [74, 95, 116].

11
12 Recent studies have raised the possibility that tumor-initiating cells such as cancer stem cells
13 may be the most relevant targets for successful therapies [9, 12, 141]. The hypoxic niche is
14 appreciated as a major relevant local factor for the growth and propagation of glioma stem
15 cells [128]. In this regard, targeting the vascular components of the niche can lead to
16 eradication of brain tumor initiating cells (BTICs) [42, 55]. Interestingly in this context, the
17 expression of C/EBPbeta (one of the master regulators of the mesenchymal expression
18 signature; see below) is closely associated with areas of necrosis, i.e., areas of intratumoral
19 hypoxia [28]. Thus, targeting tumor cells with a hypoxia-tag may be particularly promising in
20 eradicating those cell populations that sustain tumor growth and regrowth. Nevertheless, the
21 definition and identification of tumor-initiating cell populations has to be refined to enable
22 potential novel stem-cell directed therapeutic approaches.

23
24 While the above studies suggest the possibility of reasonable targets, this is only the first part
25 in a long experimental pipeline for the development of successful clinical therapies.
26 Experimental findings derived from cell culture and animal models have to be verified for
27 their relevance to the human *in vivo* situation. Novel potential target molecules should be
28 loaded with clinical prognostic and predictive information. As such, close interfaces between
29 basic scientists and clinicians are essential to drive the development of these basic research
30 insights into practical clinical applications and will require a multidisciplinary and
31 translational research environment.

32
33

1 ***#3b: Molecular alterations have to be put into a meaningful context to extract those***
2 ***molecular changes that really matter***

3 While many individual molecules could be targeted, approaches directed against single
4 molecules have failed to date (see below, #4a). One reason for such failure may be that the
5 tumor does not depend on the specific targeted molecular alteration, given the complexity of
6 intracellular signaling relationships. Multiple alternative upstream alterations may lead to the
7 dysregulation of identical downstream signaling intermediates in pathways [113, 116]. The
8 situation becomes even more complex due to intratumoral heterogeneity and because multiple
9 levels of molecular regulation affect one another (Fig. 2). In addition to genomic alterations
10 (such as mutations or gene amplification), RNA and protein alterations contribute to a
11 molecular make-up of the tumor. Epigenetic regulation superimposes an additional regulatory
12 layer, with not only gene methylation and histone modifications but also miRNAs regulating
13 complex networks of cancer genes. These signaling relationships have to be kept in mind
14 when designing targeted therapeutic approaches and basic research can therefore best drive
15 translational research by *putting genes into context*.

16

17 In 2008, The Cancer Genome Atlas (TCGA) reported an integrative analysis of DNA copy
18 number, gene expression and DNA methylation profiling in a collection of 206 human
19 glioblastomas [22]. This study confirmed the multitude of molecular aberrations that had been
20 previously identified by individual researchers but also highlighted three signaling pathways
21 of major importance in the broad majority of these tumors: CDK/cyclin/CDK inhibitor/pRB,
22 p53, and RTK/RAS/PI3K (Fig. 3). A second approach substantiated these major molecular
23 pathways defining glioblastomas [98]. These common pathways may serve to provide central
24 targets to target the disease efficiently.

25

26 As mentioned above, global molecular approaches may also identify novel prognostic
27 subclasses of high-grade astrocytomas: proneural, proliferative and mesenchymal expression
28 patterns [11, 28, 75, 99, 140]. While tumors from the proneural subclass are highly enriched
29 for neuronal lineage markers and exhibit better survival, proliferative and mesenchymal tumor
30 subclasses are enriched for neuronal stem cell markers and display shorter survival. Upon
31 recurrence, a frequent shift in expression patterns towards the mesenchymal subclass has been
32 observed. Of note, two transcription factors (C/EBPbeta and STAT3) have been suggested as
33 master regulators that could control the transition into a prognostically unfavorable gene
34 expression profile [23]. Experimentally, the ectopic co-expression of C/EBPbeta and STAT3

1 reprogrammed neural stem cells along the aberrant mesenchymal lineage, while elimination
2 of the two factors in glioma cells resulted in a loss of the mesenchymal signature and a
3 reduction of tumour aggressiveness. Given that a hierarchy with a concerted regulation of
4 multiple molecules exists and that a shift of whole expression patterns can be induced by
5 single molecules, C/EBPbeta and STAT3 could be priority targets for therapeutic
6 intervention.

7
8 In addition, meaningful molecular signatures may not only be restricted to the transcriptional
9 level. For example, the TCGA highlighted a distinct subset of samples that had concerted
10 hypermethylation at a large number of loci, indicating the existence of a glioma-CpG island
11 methylator phenotype (G-CIMP) [92]. This hypermethylation signature overlapped with the
12 proneuronal expression signature described above, and was more prevalent among lower-
13 grade gliomas and associated with a significantly improved outcome. More recently,
14 additional subgroups of glioblastoma with distinct global methylation patterns have been
15 suggested defined by H3F3A mutations affecting two critical amino acids (K27 and G34) of
16 histone H3.3 [132], and others have subgrouped glioblastomas according to miRNA
17 expression profiles [67, 105] or histone modification patterns [80].

18
19 These findings indicate that biological knowledge of glioma biology is becoming increasingly
20 complex and that advanced bioinformatic methods are needed to allow cross-platform
21 correlations for extracting those molecular changes that are most meaningful in a clinical
22 context. Basic and translational scientists as well as bioinformaticians need to be included in
23 multidisciplinary research-orientated brain tumor teams. While not directly involved in
24 clinical patient care, they can well contribute innovative impulses by identifying promising
25 and relevant molecular targets for further clinical exploration.

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29 **Argument #4: Translational research is needed to drive clinical research**

30

31 “From bench to bedside” is a goal occasionally envisaged in ambitious research grant
32 proposals. As obvious from the examples provided above, basic tumor-biology orientated
33 research approaches may be distant from clinical applications and benefit from the input of
34 clinically orientated researchers in order to be catalyzed into practical patient care. On the

1 other hand, since basic research is necessarily more speculative and hypothesis-driven it may
2 therefore - in the long run - lead to more fundamental breakthroughs and more radical
3 paradigm shifts in practice than would clinical research alone. As such clinical research
4 depends on basic research input to ask the most innovative questions, leave well-trodden trails
5 and thereby accelerate therapeutic advancements.

6
7
8 ***#4a: Clinical studies are needed that are based on attractive molecular targets derived from***
9 ***basic research approaches***

10 Translational research requires the transfer of basic scientific findings into clinical
11 applications. Earlier in this review we introduced bevacizumab as the currently most
12 advanced targeting agent that supplements therapeutic options in glioma care. In addition,
13 other promising molecular targets have been or are currently being tested in early clinical
14 trials. The most important of these are summarized in the following section.

15
16 • *Antiangiogenic agents other than bevacizumab*

17 In addition to bevacizumab, several small molecule tyrosine kinase inhibitors as
18 sunitinib [91, 97], sorafenib [34, 90], cediranib [7, 8], pazopanib [60], vatalanib [14,
19 107] and vandetanib [36, 70] have anti-angiogenic properties (Fig. 3). The molecular
20 mechanism of these agents is similar in that each binds angiogenesis-relevant receptor
21 tyrosine kinases or intracellular signaling molecules and therefore inhibits angiogenic
22 signaling pathways. Inhibiting multiple targets at once may overcome the redundancy of
23 intracellular signaling pathways. Suprisingly, none of the mentioned antiangiogenic
24 agents except bevacizumab has been effective in clinical studies. Cediranib showed
25 significant efficacy in an animal model and promising results in an early phase I/II
26 clinical trial and therefore entered phase III in newly diagnosed glioblastoma, based on
27 a strong drug development pathway of the respective company [6, 8, 61]. However, it
28 then failed, leading to the assumption that it might be more promising to inhibit the
29 ligand rather than the receptor or signaling cascade of antiangiogenic pathways.

30
31 • *Targeting the integrin cell adhesion receptor family*

32 The alphaV-beta3 and alphaV-beta5 integrin receptors are expressed in glioma and
33 tumor endothelial cells [24], contribute to tumor angiogenesis and migration, and may
34 thus constitute promising targets for specific approaches. Cilengitide is a selective

1 inhibitor of integrins on endothelial cells with a predominant antiangiogenic effect, but
2 has a bimodal biological effect since it also shows anti-invasive properties on tumor
3 cells [124]. The inhibitor was investigated in several clinical protocols [87, 109, 110,
4 111, 130] and a promising median PFS of 8 months and 12- and 24-month overall
5 survival rates of 68% and 35% have been reported in first-line therapy [130], especially
6 for patients whose tumors have a methylated *MGMT* promoter. These clinical data led
7 to the initiation of a large registration trial for patients in the primary therapy of
8 glioblastoma with *MGMT* promoter methylation (CENTRIC; [133]) and a smaller phase
9 II trial for patients whose tumors have non-methylated *MGMT*. Results were recently
10 communicated in a press release by Merck; there was no benefit for the combination of
11 radiochemotherapy with cilengitide in comparison to radiochemotherapy alone.

12
13 • *Tyrosine kinase receptor inhibition*

14 *EGFR* is amplified or overexpressed in its truncated form (EGFRvIII) in many
15 glioblastomas, inducing excess kinase activity [115]. However, EGFR kinase inhibitors
16 such as gefitinib, erlotinib and lapatinib have been unsuccessful in clinical trials [29,
17 103, 152]. Successful treatment has been claimed for some patients with coexpression
18 of EGFRvIII and PTEN [89], but this has not been confirmed in subsequent studies.
19 Recently, a investigational immunotherapeutic vaccine that targets the tumor-specific
20 EGFRvIII has been developed and is currently being investigated in the international
21 phase II and III ACT IV trial [31, 32].

22 PDGFR is another attractive target for the treatment of high-grade gliomas given the
23 presence of *PDGFR* amplification/overexpression in many of these tumors [115]. The
24 small molecule inhibitor imatinib mesylate was developed in the 1990s and is a ground
25 breaking targeted agent that shows an over 90% response rate in chronic myeloid
26 leukemia [37, 38]. Following the publication of first results from these trials, imatinib
27 was investigated in a number of solid tumors. However, after promising early results
28 [108], a phase III registration trial in high-grade gliomas was negative [106], illustrating
29 that tumors that do not depend on a single driver oncogene will likely not respond to
30 such therapeutic approaches.

31
32 • *Other targeted approaches*

33 Inhibitors of protein kinase C β [15, 16, 69, 104, 150], PI3K/Akt/mTor inhibitors [25,
34 44, 123] and inhibitors of other receptor kinase or intracellular targets (e.g. notch, SHH,

1 histone deacetylase) have been investigated in phase II or early phase I trials and have
2 failed, despite promising laboratory and animal data. In terms of immunomodulatory
3 therapies, TGF- β 2 targeted antibodies, antisense oligonucleotides or small molecule
4 inhibitors are the most advanced in clinical application [48, 49, 50, 126, 127], but their
5 efficacy remains unclear at this time.

6
7 Most investigated targeted agents, therefore, have been unsuccessful to date in clinical phase
8 II and III trials. This raises the question of why these biologically compelling molecules did
9 not turn out to be clinically effective. The most ready explanation for such failure is that
10 agents targeting a single molecule may not be sufficient to tackle the highly complex
11 molecular oncogenic backbone of glioblastomas. Instead, multitargeted approaches may
12 constitute an attractive and improved option and, as a result, first attempts in this direction
13 have been made by using multi-target inhibitors as sorafenib [34, 90], cediranib [13] and
14 sunitinib (against VEGFR1-3, PDGFR-a/b, FLT-3, c-KIT and RET) [91, 97] or combinations
15 of cilengitide plus temozolomide [130]), EGFR-targeted vaccination and temozolomide [53],
16 or bevacizumab plus irinotecan (Genentech trial; [43]).

17
18
19 ***#4b: Mechanisms of therapy failure and resistance have to be understood to improve***
20 ***therapeutic regimens***

21
22 Most glioblastoma patients receive intensive neurooncological postsurgical care and are
23 included into controlled clinical trials or are treated with standard radiochemotherapy using
24 concomitant and adjuvant temozolomide [131]. Thus, the issue of chemotherapy resistance or
25 failure becomes more important in terms of improving therapeutic regimens. Major
26 preexisting tumor-intrinsic reasons for low efficacy of chemo- and targeted therapy against
27 glioblastoma are poor blood-brain barrier penetration of cytostatic agents (especially in the
28 therapeutically relevant periphery of the tumor) [46], expression of drug efflux pumps
29 (multidrug resistance genes) [30, 63, 79], and the expression of resistance-associated proteins
30 such as MGMT [51]. However, because of genetic instability and clonal selection, tumor cells
31 may also develop molecular escape mechanisms under therapy that counteract the beneficial
32 effects of alkylating chemotherapeutic agents.

1 In a recent study by the German Glioma Network, pairs of primary and recurrent tumors from
2 64 glioblastoma patients treated with radiotherapy and TMZ were investigated, revealing
3 significantly lower expression levels of the mismatch repair genes *MSH2*, *MSH6* and *PMS2*
4 but no relevant changes in *MGMT* promoter hypermethylation [134]. Indeed, *MSH6* in this
5 context appears to be a relevant player. A large-scale sequencing screen of the functional
6 domains of 518 protein kinases identified inactivating somatic mutations of the mismatch
7 repair gene *MSH6* in two gliomas that had recurred after treatment with alkylating agents [58]
8 and sequencing of *MSH6* in 46 clinically well-characterized glioblastomas revealed that the
9 frequency of *MSH6* mutations was significantly increased in recurrent glioblastomas [17].
10 These data suggest that *MSH6* deficiency (and maybe also the deficiency of other mismatch
11 repair genes) may contribute to recurrences during maintenance treatment and that patients
12 who initially responded to a frontline therapy may evolve treatment resistance by developing
13 a hypermutator phenotype [153]. Further *in vitro* data indicated that through exposure of an
14 *MSH6* wild-type glioblastoma line to temozolomide resistant clones evolved with one of them
15 harboring an *MSH6* mutation [22]. Also, knockdown of *MSH6* in the U251 glioblastoma cell
16 line increased resistance to temozolomide cytotoxicity and its reconstitution restored
17 cytotoxicity in *MSH6*-null glioma cells. It is hoped that better understanding of the biological
18 mechanisms by which tumor cells escape the response to chemotherapy may be utilized to
19 develop novel strategies to overcome or at least minimize chemotherapy resistance; for
20 example, with respect to *MSH6*, a possible approach would be an upfront combination of
21 alkylating agents with selective agents targeting mismatch-repair-deficient cells.

22

23 Further studies are needed to extend these observations, including to the epigenetic level. For
24 example, in temozolomide resistant glioma cells, LINE-1 methylation, an indicator of global
25 DNA-methylation and a positive prognostic factor in gliomas, is reduced [45, 94]. This could
26 suggest that a lower global DNA methylation impairs DNA stability and activates novel
27 chemotherapy resistance. Based on the knowledge about molecular markers for early response
28 and resistance, adaptive clinical trials could be designed that would better overcome the
29 therapeutic resistance of gliomas.

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1 **Conclusions**

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The approaches described above, within or outside clinical trials, can only be coordinated at dedicated brain tumor centers. A number of such centers have been founded within the last decades, starting from the US and now reaching Europe and Asia. Such centers typically include departments of neurosurgery, radiation oncology, neurology and medical oncology, and specialized diagnostic units for neuropathology and neuroradiology. Our experiences working in such environments suggest that the individual patient case must be coordinated prospectively (including discussions on diagnostics and treatment planning) to meet the goals of quick decision making and structured, tailored treatment. We would argue further that a strong agenda combining translational development of new treatment approaches with the performance of clinical trials is best suited to serve patients both within clinical trials and also on an individual basis.

Equally important is a close connection of brain tumor centers with local institutions and community-based physicians. Such connectivity is essential to make local treatment possible, especially with regard to supportive treatments and management of complications. Local medical centers in this regard assume an important role in our conceptual framework of multidisciplinary and multiinstitutional brain tumor management (Fig. 1). Expertise can be brought to local centers by use of electronically connected consults and tumor boards. In such cooperative networks, local medical centers would be able to incorporate expertise from highly specialized disciplines, such as neuropathology and neuroradiology that might not be locally available. Bringing cutting edge diagnostics and treatment "close to home" is an optimal patient-oriented solution that can be reached when central and local strengths synergize.

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1 **Tables and figure legends**

2

3 **Table 1.** Molecular markers with diagnostic relevance.

IDH1/2 mutation	<p><u>glioblastoma</u>: genetically either primary (<i>IDH</i> wildtype) or secondary (<i>IDH</i> mutated) glioblastoma</p> <p><u>high-grade glioma with overtly anaplastic histology lacking necrosis and not fulfilling all histological criteria for the diagnosis of glioblastoma</u>: lack of <i>IDH</i> mutations might point to a tumor that behaves like a glioblastoma</p> <p><u>gliosis versus glioma</u>: detection of invading tumor cells by the presence of a mutation increases the sensitivity of glioma diagnostics</p>
1p/19q deletion	<p><u>tumors with borderline oligodendroglial features</u>: detection of the 1p/19q codeletion may reflect a prognostically favorable oligodendroglioma diagnosis</p> <p><u>oligoastrocytoma</u>: may guide consideration as oligodendroglial (1p/19q deleted) or astrocytic in nature (1p/19q retained, <i>TP53</i> mutated).</p>
BRAF alterations	<p><u>BRAF-KIAA1549 fusion</u>: presence consistent with pilocytic astrocytoma rather than a diffusely infiltrative astrocytoma (particularly when tested in tandem with <i>IDH1</i> and <i>IDH1</i> not mutated).</p> <p><u>BRAF V600E mutation</u>: for differentiation of pleomorphic xanthoastrocytoma, gangliogliomas and extra-cerebellar pilocytic astrocytomas (frequent <i>BRAF V600E</i> mutation) from diffusely infiltrating astrocytic gliomas (rare <i>BRAF V600E</i> mutation).</p>

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6 **Table 2.** Ongoing or recently closed phase III clinical trials in adult patients with first line or
7 relapsed glioblastoma, based on a search in ClinicalTrials.gov. All listed trials are recruiting
8 or have not published results yet, except abstracts at scientific meetings. Only trials from
9 major study groups and industry are listed. AvaGlio in abstract form has reported a PFS of 6.2
10 months for the standard arm and 10.6 months for the experimental arm (p<0,0001).

Treatment	Short name	Disease	No. of Pat.	Endpoint	Mol. selection	Primary study group / company	Trial identifier
Bevacizumab + TMZ/RT vs. TMZ/RT	RTOG 0825	GBM, first-line	942	PFS, OS	no	RTOG	NCT00884741
Bevacizumab + TMZ/RT vs. TMZ/RT	AvaGlio	GBM, first-line	920	PFS, OS	no	Roche	NCT00943826
Cilengitide + TMZ/RT vs. TMZ/RT	CENTRIC	GBM, first-line	504	OS	yes (MGMT)	EORTC / Merck	NCT00689221
NovoTTF-100A + TMZ/RT vs. TMZ/RT	-	GBM, first-line	700	PFS	no	NovoCure	NCT00916409
Rindopepimut + GM-CSF vs. TMZ/RT	ACT IV	GBM, first-line	440	OS	yes (EGFRvIII)	Celldex	NCT01480479
RT (3 weeks) vs. TMZ/RT (3 weeks)	-	GBM, first-line (> 65 a)	560	OS	no	NCIC	NCT00482677

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1 **Figure 1.** Conceptional framework of an optimized multidisciplinary brain tumor
2 management as proposed in this review article. Affiliation of brain tumor patients to
3 academically-based brain tumor centers guarantees state-of-the art treatment and enables
4 access to innovate research-driven clinical approaches. Collaborative clinical networks
5 convey expertise from brain tumor to local medical centers and allow for supportive treatment
6 and management of complications “close to home” in a socially embedded environment.

7

8 **Figure 2.** Illustration of the layers of histological and molecular information that coexist
9 within a patient’s diagnostic tumor sample. Typing and grading based on the histological
10 classification represent the basis for the estimation of the tumors biological behavior.
11 Histological diagnosis may be supplemented by molecular information on a multitude of
12 genes, molecular markers or complex gene signatures. This molecular information is
13 represented on different molecular levels (epigenetic, genomic, transcriptional) or in different
14 areas of the tumor microstructure (e.g. tumor center vs. tumor border), but highly mutually
15 interconnected: *IDH* mutations, e.g., might lead to global epigenetic changes and miRNAs
16 regulate complex transcriptional networks. Nevertheless, the multitude of single molecular
17 alterations converges into a manageable number of common signaling pathways. As such a
18 context-dependent interpretation of individual molecular changes appears helpful for
19 developing efficient targeted therapeutic strategies.

20

21 **Figure 3.** Candidate mechanisms and molecules for targeted therapies. A multitude of single
22 molecular alterations converge into relatively common signaling pathways that are highly
23 interconnected and that may be targeted by available agents. Features of tumor and
24 endothelial cells have been combined in this figure and angiogenic pathways, which are
25 clinically most relevant at this time, are overrepresented.

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