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## **The SLC6 transporters: perspectives on structure, functions, regulation, and models for transporter dysfunction**

Rudnick, Gary ; Krämer, Reinhard ; Blakely, Randy D ; Murphy, Dennis L ; Verrey, Francois

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# Pflügers Archiv - European Journal of Physiology

## The SLC6 Transporters: Perspectives on Structure, Functions, Regulation and Models for Transporter Dysfunction --Manuscript Draft--

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<b>Order of Authors Secondary Information:</b>	
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<b>Response to Reviewers:</b>	see letter to the editor

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6 **The SLC6 Transporters: Perspectives on Structure, Functions, Regulation and**  
7 **Models for Transporter Dysfunction**  
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12 Gary Rudnick<sup>1</sup>, Reinhard Krämer<sup>2</sup>, Randy D. Blakely<sup>3</sup>, Dennis L. Murphy<sup>4</sup>, Francois Verrey<sup>5</sup>  
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29 Running head: SLC6 transporters  
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## Summary

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3 The human SLC6 family is composed of approximately 20 structurally related symporters (co-  
4 transporters) that use the transmembrane electrochemical gradient to actively import their  
5 substrates into cells. Approximately half of the substrates of these transporters are amino acids, with  
6 others transporting biogenic amines and/or closely related compounds, such as nutrients and  
7 compatible osmolytes. In this short review, five leaders in the field discuss a number of currently  
8 important research themes that involve SLC6 transporters, highlighting the integrative role they play  
9 across a wide spectrum of different functions. The first essay, by Gary Rudnick, describes the  
10 molecular mechanism of their coupled transport which is being progressively better understood  
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13 and stress response by the related bacterial betaine transporter BetP. The role of selected members  
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19 neurotransmitter reuptake transporters, many of which are important drug targets for the treatment  
20 of neuropsychiatric disorders. Randy Blakely discusses the role of posttranscriptional modifications of  
21 these proteins in regulating transporter subcellular localization and activity state. Finally, Dennis  
22 Murphy reviews how natural gene variants and mouse genetic models display consistent behavioral  
23 alterations that relate to altered extracellular neurotransmitter levels.  
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## Introduction

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4 The human SLC6 family contains evolutionary, and thus structurally related, molecular machines that  
5 actively translocate amino acids and related solutes into cells against their concentration gradient  
6 using, as a driving force, the energetically favorable coupled movement of ion(s) down their  
7 transmembrane electrochemical gradients. All SLC6 members indeed transport one, two or three  
8 sodium ion(s) together with their organic substrate (symport). Many of these transporters  
9 additionally symport one chloride ion, and one transporter also exchanges a potassium ion per  
10 substrate (antiport). The SLC6 transporters are actually part of the larger NSS (Neurotransmitter  
11 Sodium Symporter) family of structurally related transporters that includes numerous prokaryotic  
12 members, and which itself is included in the very large amino acid-polyamine-organocation (APC)  
13 superfamily (Table 1) [27, 88]. About half of the SLC6 family members transport amino acids, of  
14 which some are neurotransmitters (glycine and GABA) and the others transport related molecules  
15 such as monoamine neurotransmitters (serotonin, norepinephrine and dopamine), creatine or  
16 compatible osmolytes (taurine and betaine).  
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19 In recent years a number of excellent, systematic reviews have presented extensive descriptions of  
20 the phylogenetic organization of the SLC6 transporter family, focusing largely on the literature  
21 published since the molecular identification of its members began more than 20 years ago [8, 10, 43,  
22 64]. The purpose of the present review is to discuss, in a few essays, several aspects of more current  
23 research, and to highlight important questions likely to drive future studies, ranging from the  
24 mechanistic structure-function relationship of coupled transport to different aspects of transporter  
25 regulation, and finally their role in neuropsychiatric disorders.  
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27  
28 In the first essay, Gary Rudnick provides a conceptual framework for part of the reaction cycle of  
29 SLC6 transporters and discusses open questions regarding the transport mechanism. The second  
30 essay addresses adaptation of transport activity to changes in extracellular osmolarity as an  
31 important property of a number of SLC6 family members transporting compatible osmolytes. In this  
32 context, Reinhard Krämer emphasizes the central role played by post-translational transporter  
33 processing for tuning the short term functional response to stressful conditions, using the SLC6-  
34 related betaine transporter BetP of *Corynebacterium glutamicum* as a model system. Next the amino  
35 acid transporters of the SLC6 family are briefly discussed by François Verrey who describes the  
36 surprisingly broad spectrum of roles these active transporters display. Whereas some transporters  
37 expressed at epithelial surfaces mediate the first active uptake step of amino acids into the organism,  
38 others import amino acids within the organism into cells either to control the extracellular  
39 concentration of their substrates, as it is the case for neurotransmitter transporters, or to provide  
40 specific cells actively with amino acids to be used for their specialized metabolism or growth. Clearly  
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1 less information is available on amino acid transporters than on neurotransmitter transporter of the  
2 SLC6 family. This is due to their later molecular identification and to the fact that unlike  
3 neurotransmitter transporters they have not been linked to important diseases, either by genetic  
4 association or as drug targets.  
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7 The regulation of biogenic amine neurotransmitter transporters of the SLC6 family and of their  
8 defects observed in the context of neuropsychiatric disorders are discussed by Randy Blakely, who  
9 emphasizes in his essay the role of post-transcriptional modifications on transporter subcellular  
10 localization and activity. In the last essay, Dennis Murphy discusses the role of SLC6 transporters in  
11 neuropsychiatric disorders, in particular examples of genetic variants, pharmacological targets and  
12 corresponding mouse genetic models that provide insights into the physiological and behavioral  
13 impact of SLC6 neurotransmitter transporter alterations.  
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#### 24 **Mechanisms for Coupled Transport by SLC6 Transporters** (Gary Rudnick)

##### 25 *Coupling of transport to ion gradients and potentials*

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28 The human SLC6 family is part of a larger NSS (Neurotransmitter Sodium Symporter) family of  
29 homologous transporters that includes many prokaryotic transporters. In turn, the NSS family is part  
30 of a superfamily of structurally related transporters [27]. These proteins move their substrates across  
31 the membrane using a variety of energy sources. In many cases, transmembrane ion gradients  
32 provide the driving force. Within the SLC6 family, substrates are generally amino acids, although  
33 some family members transport amines, such as the neurotransmitters serotonin (5-HT),  
34 norepinephrine (NE) and dopamine (DA).  
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37 The ability of transporters in this family to concentrate their substrates inside the cell depends on  
38 coupling the energetically favorable movement of  $\text{Na}^+$  and sometimes  $\text{Cl}^-$  to the energetically  
39 unfavorable flux of substrate into the cell (symport). Because transport by these proteins is  
40 reversible, the overall direction and magnitude of substrate transport is determined by the polarity  
41 and strength of the ion and substrate gradients. If electrical charge accompanies substrate transport,  
42 the membrane potential can contribute to the driving force, and some transporters additionally  
43 couple substrate influx to the favorable efflux of  $\text{K}^+$  (antiport). By utilizing the electrochemical  
44 potential in these ion gradients, SLC6 transporters can accumulate intracellular substrate to  
45 concentrations hundreds of times higher than outside the cell. The coupling mechanisms responsible  
46 for this accumulation are well documented but poorly understood at the molecular level.  
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### *Alternating access models and rules for coupling*

1 The physical model used to explain coupled transport (both symport and antiport) is the alternating  
2 access mechanism [59], which proposed two transporter conformations, each of which exposes a  
3 central binding site to one side or the other of the membrane (Fig. 1A). Interconversion of the two  
4 forms with substrate bound allows substrate transport across the membrane. However, to couple  
5 substrate and  $\text{Na}^+$  transport (for example), this step should occur only when both substrate and  $\text{Na}^+$   
6 are bound. Then, after release of  $\text{Na}^+$  and substrate to the cytoplasm, the transporter would revert to  
7 an outward-open conformation with the binding sites empty. For strictly stoichiometric  $\text{Na}^+$ -  
8 substrate coupling, this mechanism requires that the conversion between outward- and inward-open  
9 conformations should occur only when both  $\text{Na}^+$  and substrate are bound or when the binding site is  
10 empty. For antiport, however, a counter-ion such as  $\text{K}^+$  and substrate must be transported in  
11 different steps, and their binding should be mutually exclusive. Substrate would be bound during the  
12 transition from outward- to inward-open and  $\text{K}^+$  bound in the opposite direction. In its strictest form,  
13 the alternating access mechanism presumes that symported ions are bound and transported  
14 together with substrate but antiported ions are transported in the step when substrate is not bound  
15 (Fig. 1A).  
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### *Structures and models for SLC6 conformations*

30 Any understanding of how transport is coupled to ion gradients requires that we understand the  
31 molecular details of structure and conformational change. In the NSS family, there is presently only  
32 LeuT, a prokaryotic amino acid transporter, for which we have a high-resolution atomic structure.  
33 LeuT has been crystallized in three conformations and with several substrates and inhibitors. The first  
34 structures of LeuT were with substrate bound and with the protein in an outward-occluded  
35 conformation [89]. Subsequent structures showed the protein in outward- and inward-open  
36 conformations [42]. The outward-occluded conformation is interesting and important, because it  
37 provides a way for LeuT to transform from outward- to inward-open forms without being  
38 simultaneously open to both sides of the membrane. If the transporter opened a pathway from the  
39 binding site to the cytoplasm before it closed the pathway to the cell exterior, uncoupled flux of  
40 substrate and ions could occur. The presence of an intermediate state in which the binding site is  
41 effectively sealed off from both sides prevents this uncoupled flux.  
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53 The first LeuT structure revealed an unexpected structural motif that has since become a common  
54 feature in transporter structures. In this 12-transmembrane (TM) helix protein, the structure of TMs  
55 1-5 is repeated in the structure of TMs 6-10 except that the topological orientation of the two similar  
56 structures is inverted. If the two repeats were identical, the overall structure of TMs 1-10 would be  
57 symmetrical, but this was not found. Instead, the extracellular substrate permeation pathway in this  
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1 occluded structure was open up to (but not including) the central binding sites for Na<sup>+</sup> and substrate  
2 but the cytoplasmic pathway was totally closed. This asymmetry resulted from differences in the  
3 conformation of the two repeats that proved to be a key to understanding conformational change  
4 [26].  
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7 The structure of LeuT TMs 1-10 can be divided into two domains, a 4-helix bundle (TMs 1, 2, 6 and 7)  
8 and a scaffold (TMs 3-5 and 8-10), with the two repeats contributing equally to each domain. The 4-  
9 helix bundle sits at an angle to the scaffold, packing, in outward-oriented conformations, closely  
10 against the scaffold on the cytoplasmic side of the binding site and separating from the scaffold on  
11 the extracellular side to create the extracellular pathway (cartoon version in Fig. 1B). In models of the  
12 inward-open structure and structures of other members of the superfamily, the tilt axis of the bundle  
13 is reversed, suggesting a “rocking bundle” mechanism that closes the extracellular pathway and  
14 opens a pathway between the binding sites and the cytoplasm [26, 86]. All structures in the  
15 superfamily are consistent with conformational change involving movement of the bundle relative to  
16 the scaffold, and with relatively little conformational change within the scaffold [27].  
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18 Differences between inward-open structures and models raise the issue of how conformational  
19 changes in SLC6 transporters are propagated. To account for many observations where opening of  
20 the extracellular pathway was observed with reciprocal closing of the cytoplasmic pathway (and *vice*  
21 *versa*), we proposed that the long, unbroken helices of TMs 2 and 7 might serve to transmit  
22 conformational changes between the extracellular and intracellular halves within the bundle [27].  
23 However, the LeuT inward-open structure suggests that the cytoplasmic pathway opens when the  
24 cytoplasmic half of TM1 swings away from the rest of the helical bundle [42], possibly requiring an  
25 alternate mechanism for coupling this event to closing the extracellular pathway.  
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#### 41 *Ligand-induced conformational changes*

42 As more transporter structures are solved, the nature of conformational change in each transporter  
43 family is becoming clearer, and knowledge of how ligand binding controls conformational change is  
44 becoming more important as the next step in understanding the molecular mechanism of transport.  
45 The rules that ensure strict coupling between ion and substrate transport determine when the  
46 transporter changes conformation from inward- to outward-open. These conformational changes  
47 occur only when a specific set of conditions are satisfied. In the case of Na<sup>+</sup>-substrate symport, for  
48 example by LeuT, the coupling between Na<sup>+</sup> and substrate movements requires that the  
49 conformational changes occur either when the transporter has bound both Na<sup>+</sup> and substrate or  
50 when those binding sites are empty (Fig. 1A-B). Another way to view these rules is that they prevent  
51 conformational change when only Na<sup>+</sup> or only substrate is bound. To accomplish this discrimination,  
52 the protein must use binding site occupancy to control conformational transitions.  
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For SLC6 transporters, the best structural model at present is LeuT, and studies with LeuT and other transporters already hint as to how SLC6 proteins accomplish the coupling of binding to conformational change. The rocking bundle hypothesis predicts that conformational changes occur by movement of the 4-helix bundle relative to the scaffold domain. The point at which these two domains have the most consistent contact is a nexus of binding sites for two Na<sup>+</sup> ions and one substrate molecule near the center of the protein (Fig. 1B-C). Thus the structure is optimized to directly couple binding site occupancy to conformational change.

In LeuT, and in the aromatic amino acid transporter Tyt1, evidence suggests that Na<sup>+</sup> influences conformational change. Cysteine residues in the cytoplasmic pathway of Tyt1 were less accessible in the presence of Na<sup>+</sup>, indicating a shift to an inward-closed (outward-open) conformation [65]. Similar results for LeuT were observed using single molecule FRET techniques, which also suggested that the cytoplasmic pathway was closed by Na<sup>+</sup> [90]. EPR measurements of accessibility and distance in the LeuT extracellular pathway also show changes with Na<sup>+</sup>, but because the EPR probes were in the extracellular pathway, Na<sup>+</sup> was found to increase accessibility and distances between positions, consistent with the extracellular pathway opening as the cytoplasmic pathway closed [16]. From the results with Tyt1 and LeuT, substrate causes the extracellular pathway to close and the cytoplasmic pathway to open, but only in the presence of Na<sup>+</sup>.

These basic observations illustrate a mechanism that SLC6 transporters could use to couple Na<sup>+</sup> and substrate transport. The rules for symport prevent conformational change when only Na<sup>+</sup> is bound, and the ability of Na<sup>+</sup> to stabilize one conformation could lock the transporter in that outward-open state until substrate binds. Indeed, both smFRET and EPR show apo-LeuT distributed between inward- and outward-open states in the apo-state but strongly biased by Na<sup>+</sup> toward outward-open conformations with transitions between states largely eliminated [16, 90].

What prevents SLC6 proteins from transporting substrate in the absence of Na<sup>+</sup>? Substrate binding by some transporters in this family depends on Na<sup>+</sup> [72]. This strong dependence means that the substrate is unlikely to bind to apo-LeuT. These observations provide a way for SLC6 transporters to prevent transport of Na<sup>+</sup> or substrate alone, but they do not indicate how these rules ensuring strict symport are encoded in the structure.

In LeuT structures, one of the two bound Na<sup>+</sup> ions (Na1) is directly coordinated by the substrate carboxyl group [89]. The strong ionic interaction between Na1 and substrate forms an essential part of the substrate binding site (Fig. 1C) and is likely responsible for the Na<sup>+</sup>-dependence of substrate binding. The other bound Na<sup>+</sup> ion (Na2) is bound at a site formed by TM1 (in the 4-helix bundle) and TM8 (in the scaffold) [89] (Fig. 1D). The location of Na2 at this interface provides a mechanism by which Na<sup>+</sup> can stabilize the outward-open form of LeuT. Occupation of the Na2 site could foster interaction between the scaffold and the cytoplasmic half of the bundle, closing the cytoplasmic

1 pathway by holding the two domains together [42]. In inward-open structures and models, by  
2 contrast, the Na2 site is not occupied and the two domains separate from each other [26, 42] (Fig.  
3 1E). Thus, specialization of the two Na<sup>+</sup> sites, with Na2 serving to stabilize the outward-open  
4 conformation and Na1 required for substrate binding may hold the key to coupling between ion and  
5 substrate transport.  
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8 The mechanism outlined above provides a conceptual framework for part of the reaction cycle. Na<sup>+</sup>  
9 binding initiates the process, and the strong bias toward an outward-open conformation prevents  
10 transport of the Na<sup>+</sup> ions before substrate binds. However, solid experimental validation is still  
11 lacking. Moreover, substrate binding must trigger conformational change by overcoming the  
12 conformational effect of Na<sup>+</sup> binding. Substrate binding in the extracellular pathway was proposed to  
13 initiate this conformational change [72], but this proposal has encountered resistance, in part  
14 because substrate binding at the proposed site has not been observed in crystal structures.  
15 Alternative mechanisms have not been proposed, however, and the effect of substrate on  
16 conformational change remains an unresolved topic for future studies.  
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18 Although amino acid substrates cannot bind in the absence of Na<sup>+</sup>, amine neurotransmitters such as  
19 5-HT, DA and NE do not have carboxyl groups and do not require Na<sup>+</sup> for binding. It is still not clear  
20 how an SLC6 amine transporter would prevent substrate transport in the absence of Na<sup>+</sup>.  
21 Furthermore, transporters for 5-HT and NE are likely to symport only one Na<sup>+</sup> ion with substrate [37,  
22 79], despite conservation of both Na1 and Na2 sites. An aspartate in TM1 unique to these three  
23 amine transporters is now known to participate in coordinating Na1 in the *Drosophila* DA transporter  
24 [60]. It is likely that this aspartate replaces the missing substrate carboxyl group in SLC6 amine  
25 transporters, allowing the transporter to hold on to Na1 through the transport cycle when it releases  
26 Na2 and substrate to the cytoplasm.  
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## 46 **The Role of SLC6 Transporters in Osmoregulation and Stress Response** (Reinhard Krämer)

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49 Stress response is a vital aspect of cellular life, both in prokaryotes and in eukaryotes. Among various  
50 types of environmental stress, a change in the external osmolality is a frequent type of challenge. The  
51 cell's response to this challenge is called osmoregulation in prokaryotes and volume control in  
52 eukaryotic cells. Although the long term adaptation to these conditions at the level of transcription,  
53 translation, and posttranslational processing are also relevant to the response, the contribution of  
54 acute regulation of transport system activity is the main focus when considering the stress response.  
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1 The activity of several transporters in the SLC6 family has been proven or is assumed to be  
2 modulated by a change in the osmolality of their surroundings. Relevant examples are Bgt1, TauT,  
3 and SNF-12 [10, 20]. The best-studied models for osmoregulation are members of the structurally  
4 related BCCT family of transporters, named after the typical substrates betaine, carnitine, and  
5 choline [95]. One member of this family, the betaine transporter BetP from the Gram positive soil  
6 bacterium *Corynebacterium glutamicum*, is a paradigm for regulated secondary transport [95].  
7 Consequently, the major lines of interest and research perspectives will be outlined using this carrier  
8 as a basis.  
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16 BetP is a secondary transporter composed of 595 amino acids, twelve transmembrane segments, and  
17 prominent N- and C-terminal domains, which are critically involved in sensing osmotic stimuli [58,  
18 62]. It exclusively accepts glycine betaine as a substrate. Active uptake of betaine is coupled to co-  
19 transport of two Na<sup>+</sup> ions and thus driven by the electrochemical Na<sup>+</sup> potential [23]. As a particular  
20 feature, BetP comprises two independent functions: It catalyzes active transport of betaine, and it  
21 senses physical stimuli related to hyperosmotic stress which lead to activation of the transporter.  
22 Detailed biochemical and structural information on BetP is available, covering both the functional  
23 analysis of catalytic activity (transport) as well as regulation (stimulus sensing and signal  
24 transduction), and in depth structural analysis on the level of 2D and 3D crystals [61, 68, 95].  
25 Consequently, BetP is a prominent example where the integration of biochemistry and structural  
26 biology has led to deep insight into the mechanism of both transport catalysis and transport  
27 regulation. A detailed picture for the catalytic cycle of BetP is available based on the observation of  
28 several different crystal forms of this protein representing different conformational states within the  
29 transport cycle [61]. Stimulus analysis has shown that BetP activity is modulated by two different  
30 types of physical stimuli. A rise in internal K<sup>+</sup> is the immediate cellular response to a hyperosmotic  
31 shift and has been proven to be a primary stimulus mainly based on results using purified  
32 reconstituted BetP [69]. Very recently, by detailed analysis under *in vivo* conditions, a second type of  
33 stimulus was discovered to be required, in addition to K<sup>+</sup>, for full activation of BetP. This stimulus is a  
34 change in the physical state of the membrane directly surrounding BetP (unpublished results).  
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51 Despite the fact that a wealth of functional and structural information regarding its response to  
52 osmotic stress is already available for BetP, there are a number of urgent and interesting questions,  
53 based on the rather advanced state of our knowledge of mechanistic aspects available for this  
54 transporter. Since BetP is a molecular machine integrating transport catalysis, stimulus perception,  
55 signal transduction and stress adaptation all in one single polypeptide chain, it is compelling to obtain  
56 a precise description of the sequence of these events at the level of domain function and peptide  
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1 chain movements. On the basis of detailed structural work, we have a comparatively good insight  
2 into the conformational events in the core domain of BetP according to the mechanism of alternative  
3 access [61]. This is, unfortunately, not the case for stimulus sensing and intramolecular signal  
4 transduction. Moreover, BetP is a rare example of a transporter, for which the physical stimuli  
5 modulating its catalytic action are at least partly understood. However, the mechanistic picture is far  
6 from complete, and I would like to illustrate this for a physiologically relevant situation.  
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12 Stress response is not a simple sequence caused by the action of a stimulus and resulting in an  
13 immediate reaction of the target protein. In order to guarantee proper survival, the cell needs to  
14 adapt its response in a graduated manner, a higher extent of stress leading to a stronger reaction and  
15 a lower extent leading to a more moderate response. In fact, BetP was shown to behave exactly like  
16 this [7]. Its action, betaine uptake, ceases at an appropriate level of betaine accumulation when  
17 osmotic compensation is reached (Fig. 2). This physiologically meaningful behavior raises at least two  
18 questions. How does BetP accurately sense when betaine uptake should stop, in spite of continuing  
19 high osmolality of the external medium and, in particular, in spite of the fact that the stimulus for  
20 activation, internal  $K^+$ , continues to be high for prolonged periods under these conditions? Notably a  
21 graduated response to an external stress-related stimulus is more complex than a graduated  
22 response in transport activity due to substrate availability, for example, which is simply mediated by  
23 variable saturation of the substrate binding site. The second question does not directly refer to  
24 molecular details of regulating protein activity by external stimuli, but rather asks more  
25 fundamentally: Is regulation on the level of the individual BetP molecule or the population? A  
26 perfectly adapted BetP, fine-tuned to the actual extent of osmotic stress can be achieved either by a  
27 sophisticated molecular mechanism leading to a graduated response of every single BetP molecule or  
28 by a balanced steady-state of individual BetP molecules oscillating between active and inactive  
29 states. Although we intuitively tend towards the latter explanation, the answer to this question is by  
30 no means clear and has not been achieved for any regulated transport system.  
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48 The aim of the question(s) raised is to explain fine-tuned, physiologically relevant responses of  
49 transporters on a mechanistic level or, in other words, to gain full mechanistic understanding of the  
50 regulatory and catalytic action of a transporter in a physiologically relevant context. What are the  
51 tools required to reach this aim? On a macroscopic level, we need to challenge results obtained by  
52 biochemical and/or structural biology approaches, frequently in a simplified experimental setup, by  
53 checking their validity under *in vivo* conditions in intact cells to the extent possible. In the case of  
54 BetP, as an example, the specific stimulus of high luminal  $K^+$  concentrations was identified. However,  
55 the fact that BetP activity in intact cells down-regulates during osmotic adaptation despite continuing  
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1 high internal  $K^+$  led us to propose and finally identify a second type of stimulus, which seems to be  
2 relevant for this mechanism of adaptive fine-tuning. On a microscopic level, we need a couple of  
3 additional tools in order to be able to solve questions of the quality raised above. High resolution 3D  
4 structures are truly a tremendous help for interpreting transporter function, however, they probably  
5 will not provide appropriate answers to some of the functional questions described above. Various  
6 spectroscopic techniques, particularly fluorescence spectroscopy, as well as EPR and NMR, are  
7 instrumental for responding to the challenges in understanding the dynamic properties of membrane  
8 proteins. Only with successful application of single molecule techniques, combined, for example,  
9 with FRET analysis, we will be able to resolve questions regarding the mechanistic basis of the  
10 perfectly fine-tuned response by this type of proteins to external stress conditions in physiologically  
11 relevant surroundings.  
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#### 24 **Concentrative Amino Acid Uptake Transporters: Cellular and systemic Roles** (Francois Verrey)

##### 25 *SLC6 amino acid transporters*

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28 Approximately half of the SLC6 family genes encode amino acid transporters that are  
29 phylogenetically grouped in two subfamilies (I and II). Their common function is the concentrative  
30 cellular uptake of proteinogenic amino acids which is driven by the symport of at least one  $Na^+$  ion  
31 down its electrochemical gradient. However, these transporters differ in terms of amino acid  
32 substrates, localization, co-transport stoichiometry and functional roles. As discussed below, these  
33 SLC6 amino acid transporters are involved in three different functions each requiring concentrative  
34 cellular uptake: (i) control of the extracellular concentration of neurotransmitter amino acids in the  
35 context of synaptic transmission (transmitter reuptake); (ii) active uptake of amino acids into specific  
36 cells to support their specialized metabolism or growth and/or (iii) active epithelial uptake of amino  
37 acids from the lumen of the gut and kidney tubule to support systemic amino acid requirements.  
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49 The first of the two SLC6 amino acid transporter subfamilies is called Amino Acid Transporters (I) or  
50 Amino Acid Neurotransmitter Transporters and includes GLYT1 and GLYT2 (SLC6A9 and SLC6A5),  
51 PROT (SLC6A7) and  $ATB^{0+}$  (SLC6A14) [10, 64]. The first three members of this subfamily appear  
52 indeed to play a role in the modulation of synaptic transmission, whereas  $ATB^{0+}$  (SLC6A14) has quite  
53 different functions. The GLYTs function as high affinity transporters ( $K_M$  in 10-100  $\mu M$  range) for  
54 glycine. GLYT2 (SLC6A5) was suggested to function mostly as neuronal reuptake transporter for the  
55 inhibitory neurotransmitter glycine with a high driving force (3  $Na^+ : 1Cl^- : glycine$  co-transport). It  
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localizes to glycinergic nerve terminals where it keeps extracellular glycine levels low and displays highest expression in the brain stem, cerebellum and spinal cord [48]. GLYT1 (SLC6A9) is expressed as several isoforms with different localizations and functions and its driving force for glycine uptake is somewhat lower with a co-transport stoichiometry of 2 Na<sup>+</sup>:1Cl<sup>-</sup>:glycine. In the central nervous system, it has been suggested to localize to glial cells and to modulate the effect of glycine on NMDA receptor-mediated glutamatergic transmission. In addition, it appears to play a role in cellular glycine uptake in cells of various organs. The third member of this SLC6 subfamily is PROT (SLC6A7), a L-proline transporter with a K<sub>M</sub> value of about 10 μM that also transports a number of other amino acids and compatible osmolytes at physiologically relevant concentrations. It has been shown to be electrogenic, inhibited by enkephalins and expressed essentially only in brain. There it is localized mainly to synaptic vesicles of some glutamatergic nerve terminals where it is suggested to play a modulatory role. The fourth member of this subfamily has a surprisingly different function, as it appears to be the concentrative amino acid transporter with the broadest selectivity range and to lack expression in the brain. It indeed transports all neutral and cationic proteinogenic amino acids with a relatively high apparent affinity (K<sub>M</sub> for essential amino acids in the 10-100 μM range) and is expressed in oocytes, large intestine and lung epithelia [74]. In view of its ability to transport all essential and most non-essential amino acids, it is not surprising that it is expressed in a large number of different cancers and corresponding cell lines [35].

The second of these two SLC6 subfamilies has been called Amino Acid Transporters (II) or Nutrient Amino Acid Transporters. Looking at its phylogenetic tree one may actually divide this subfamily into two groups, one including B<sup>0</sup>AT2 (SLC6A15), NTT4 (SLC6A17) and the orphan transporter NTT5 (SLC6A16) and a second including SIT1 (SLC6A20), B<sup>0</sup>AT3 (SLC6A18) AND B<sup>0</sup>AT1 (SLC6A19). Two transporters of the first group, B<sup>0</sup>AT2 (SLC6A15) and NTT4 (SLC6A17), appear to be expressed mostly in neurons of the brain and eye and additionally also in some other organs. They display similar amino acid selectivity, B<sup>0</sup>AT2 transporting for instance branched chain amino acids, L-methionine and L-proline with a K<sub>M</sub> in the range of 40-200 μM and some other neutral amino acids with a K<sub>M</sub> in the mM range. Both of these transporters were also proposed to co-transport amino acids and Na<sup>+</sup> with a 1:1 stoichiometry [9]. In contrast, the quite closely related SLC6A16 transporter is still an 'orphan'. Unlike B<sup>0</sup>AT2 and NTT4, it is expressed in some peripheral tissues, particularly in testis, pancreas, and in the prostate. Transient transfection experiments suggested it was localized intracellularly.

The second group within the Nutrient amino acid transporter subfamily is composed of three transporters which are mainly expressed at the luminal membrane of epithelia that specialize in amino acid uptake and thus mainly subserve a crucial role for the systemic intake of amino acids and thus metabolic homeostasis. The uptake of amino acids from the environment is indeed a highly conserved function of the larger NSS (Neurotransmitter Sodium Symporter) family of transporters

1 that includes the metazoan SLC6 transporters and also procaryotic transporters such as for instance  
2 LeuT. Unlike in bacteria, amino acids taken up from the environment by metazoa need to traverse at  
3 least three membranes to reach their intracellular site of use: (i) uptake into an epithelial cell at the  
4 surface of the organism (i.e. luminal side of gut mucosa), (ii) basolateral efflux from the epithelial cell  
5 into the extracellular space (milieu intérieur) and then uptake into another (somatic) cell. SLC6  
6 transporters are indeed potentially mediating the uptake steps (i) and (iii) using the transmembrane  
7 electrochemical gradients of substrates and co-transported ions as a driving force. In contrast, the  
8 efflux step (ii) is mediated by uniporters and antiporters belonging to other SLC families.

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10 From the three mammalian SLC6 transporters expressed at the surface of epithelial cells, only two  
11 have retained an active function in humans: the main low apparent affinity ( $K_M$  in mM range) broad  
12 selectivity neutral amino acid transporter B<sup>0</sup>AT1 and the higher affinity ( $K_M$  about 100  $\mu$ M) L-proline  
13 transporter SIT1. In contrast, the B<sup>0</sup>AT1-related transporter B<sup>0</sup>AT3 (SLC6A18), which, in rodents,  
14 transports neutral amino acids with a higher apparent affinity in the later segments of kidney  
15 proximal tubule, appears to have no relevant functional role anymore in human, as a frequent single-  
16 nucleotide polymorphism encodes a stop codon [73]. The broad selectivity transporter B<sup>0</sup>AT1  
17 (SLC6A19) is the main luminal neutral amino acid transporter in the small intestine and in the kidney  
18 proximal tubule. Interestingly, this transporter needs association with the membrane anchored  
19 peptidase ACE2 for its expression at the brush border surface membrane of intestinal enterocytes,  
20 where it was shown to be included in a digestive complex [12, 21]. In the absence of ACE2, B<sup>0</sup>AT1  
21 protein appears to be absent from the intestinal mucosa, presumably due to its rapid ER-associated  
22 degradation [12]. Why B<sup>0</sup>AT1 in kidney proximal tubule does not associate with ACE2 expressed in  
23 the same cells is not yet known. Instead, in these cells, B<sup>0</sup>AT1 requires association with collectrin  
24 (TMEM27) to reach the plasma membrane. In the absence of this transmembrane protein, which is  
25 structurally related to ACE2 but lacks the peptidase domain, kidney proximal tubule B<sup>0</sup>AT1 is rapidly  
26 degraded [19]. Interestingly, it appears that the related transporter SIT1 requires the same partners  
27 for its expression at the surface of small intestine and kidney proximal tubule.

#### 28 *Uptake of essential amino acids*

29 The cellular uptake of essential amino acids, in particular of branched chain and aromatic amino  
30 acids, is important for supporting cell growth and specific metabolic tasks. This requires the  
31 expression at the cell surface of transporters that import these amino acids. Interestingly, the small  
32 number of transporters known to fulfill this task belongs to three different mechanistic categories,  
33 uniporters, antiporters and symporters. It appears, however, that the uniporters, TAT1 (SLC16A10) or  
34 LAT3 (SLC43A1) and LAT4 (SLC43A2) (Fig. 3A), are not able to support rapid growth and/or high  
35 metabolic demand, because they can only equilibrate the concentration of essential amino acids  
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1 across the membrane and do not actively concentrate them inside the cell. In contrast, the  
2 heterodimeric amino acid antiporter (exchanger) LAT1-4F2hc (SLC7A5-SLC3A2) is well known for its  
3 important role in the context of growth. This transporter and also LAT2-4F2hc (SLC7A8-SLC3A2) can  
4 indeed transport all essential neutral amino acids in exchange for other amino acids. Thus they can  
5 accumulate essential amino acids in the cells by taking advantage of the high intracellular  
6 concentration of free amino acids (tertiary-active transport, see Fig. 3B), providing the cell contains  
7 high amounts of non-essential efflux substrates for driving their uptake. It is probably because of this  
8 capability of LAT1-4F2hc to accumulate essential amino acids that a very large number of cancers  
9 express it [57]. From the third mechanistic category, symporters that cotransport amino acids with  
10 ions, as yet only two are known to actively transport branched and aromatic amino acids into cells  
11 and interestingly both are members of the SLC6 family. Other secondary-active amino acid  
12 transporters that also play a major role for cell growth and metabolism, in particular members of the  
13 families SLC36 (PATs) and SLC38 (system A and N), do not transport branched and aromatic amino  
14 acids but only small neutral ones. Thus cells expressing these secondary-active transporters, for  
15 instance system A, depend on the co-expression of one of the exchangers mentioned above for the  
16 active uptake of essential large neutral amino acids [82] (Fig. 3B). The two SLC6 amino acid  
17 transporters actively transporting large neutral amino acids are  $ATB^{0+}$  (SLC6A14) and  $B^0AT1$   
18 (SLC6A19).  $B^0AT1$  displays a low apparent affinity for its substrates ( $K_M$  in mM range) and is expressed  
19 at the luminal surface of epithelial cells (Fig. 3D). Interestingly, this transporter has not yet been  
20 found to be associated with cancer, in contrast to the high apparent affinity ( $K_M$  in  $\mu M$  range)  
21 essential amino acid transporters LAT1-4F2hc and  $ATB^{0+}$  possibly due to its low apparent affinity for  
22 amino acids. The broad selectivity amino acid transporter  $ATB^{0+}$  (SLC6A14) (Fig. 3C), which  
23 accommodates both neutral and cationic amino acids, has a much higher apparent affinity for amino  
24 acids, particularly for essential ones ( $K_M$  in  $\mu M$  range), than  $B^0AT1$  ( $K_M$  in mM range) [74].  $ATB^{0+}$  had  
25 originally been characterized as transport activity in blastocytes and the transporter has been shown  
26 to be expressed also in oocytes, colon, lungs and other organs. As mentioned above, like LAT1-4F2hc,  
27 it is also highly expressed in a number of cancers, in particular, cancers of colorectal and breast origin  
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#### 51 *Open questions:*

52 This short section highlights the fact that the SLC6 amino acid transporters are secondary active and  
53 thus concentrative uptake transporters and briefly describes their differential functions in the  
54 context of neurotransmission, cell growth / metabolism or epithelial transport. Many different  
55 questions regarding these transporters need to be addressed, in particular because of the strong link  
56 between nutrient transport and cellular metabolism and their important physiological and  
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1 pathophysiological roles. Very little is known about the cell-specific expression and regulation of SLC6  
2 amino acid transporters at the level of expression and function. Because of their overlapping amino  
3 acid selectivity, their differential kinetic properties and their interdependence for maintaining  
4 cellular and systemic amino acid homeostasis, more systematic work also involving modeling will be  
5 required to bring our understanding to a higher level.  
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### 11 **Modulation on the Move: A Perspective on the Regulation of Monoamine Neurotransmitter** 12 **Transporters** (Randy D. Blakely) 13

14 Even before their cloning, neuroscientists speculated that the regulation of neurotransmitter  
15 transporter expression and function could contribute to the plasticity characteristic of neuronal  
16 synapses, contributing ultimately to changes in behavior [52, 84, 85]. The availability of molecular  
17 tools in the early 1990s provided opportunities to put these speculations to the test [2]. Over the  
18 past twenty years, members of our field have shown that transporters undergo reversible  
19 phosphorylation, that activation of intracellular signaling pathways can influence transporter  
20 trafficking, that transporters reside in membrane microdomains where mobility, function and drug  
21 responses can be modulated, and that transporters associate with many kinds of proteins, from  
22 other membrane proteins, to cytoskeletal adaptors, to enzymes, that regulate their availability and  
23 actions [6]. As emphasized below, even a brief commentary on the field reveals both remarkable  
24 progress, yet so much to be done. This is particularly the case in the effort to transfer the findings of  
25 the past decades from *in vitro* model systems and, for the most part, non-physiological stimuli, to  
26 studies of transporter regulation by behaviorally relevant triggers *in vivo*. As space does not permit  
27 the depth of citation characteristic of a comprehensive treatment, the reader seeking to pursue  
28 these topics in depth should consider examination of a number of more comprehensive reviews [6,  
29 43, 67, 75]. This review will focus on the regulation of biogenic amine neurotransmitter transporters,  
30 proteins that are responsible for dopamine (DA), norepinephrine (NE), serotonin (5-HT) and choline  
31 (Ch) transport (DAT, NET, SERT and CHT, respectively), with which this author is most familiar. It is  
32 likely that the same general conclusions would apply, for example, to glutamate,  $\gamma$ -aminobutyric acid  
33 (GABA), or glycine transporters.  
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#### 57 *Transporter phosphorylation*

58 A major advance with respect to biogenic amine transporter regulation came with the demonstration  
59 that all neurotransmitter transporters examined to date can be phosphorylated in response to  
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1 activation of intracellular signaling pathways, with the most detailed findings arising from studies of  
2 pathways subserved by Ser/Thr protein kinases, including protein kinase A (PKA), protein kinase C  
3 (PKC), protein kinase G (PKG), mitogen activated protein kinases such as ERK and p38 MAPK,  
4  $\text{Ca}^{2+}$ /calmodulin linked protein kinase II (CamKII) [28, 67]. These studies have now been advanced to  
5 the state where all of the biogenic amine transporters have been documented to be, through one  
6 manipulation or another, phosphorylated in natively expressing tissue preparations or cultured cells.  
7 It was clear at the outset of the cloning era that biogenic amine transporters possesses multiple  
8 potential phosphorylation sites, but, up to this point, only a few of these sites have been convincingly  
9 identified and linked to a specific function. Indeed, studies from the Gether lab [36] revealed that a  
10 DAT truncation that drastically reduced PKC-dependent phosphorylation of DAT failed to alter  
11 transporter endocytosis following PKC activation, a behavior considered by many up to that point  
12 (including this author) to likely derive from direct transporter phosphorylation. That's not to say that  
13 progress hasn't been achieved. Vaughan's group has fingered a number of N-terminal residues in the  
14 phosphorylation of DAT that can influence transport function and drug responses (e.g. [30]) with  
15 evidence provided, interestingly enough, for phosphorylation associated with *trafficking-independent*  
16 actions of PKC activation [29]. Ramamoorthy's team convincingly demonstrated that activation of  
17 PKG-linked SERT trafficking to the cell surface derives, at least in transfected cells, from  
18 phosphorylation of Thr276 [66], whereas Annamalai and colleagues implicated Thr258 and Ser 259 in  
19 NET in PKC-dependent endocytosis of NET [3]. Also, Khoshbouei et al. have shown that N-terminal  
20 Ser residues are critical for amphetamine (AMPH)-induced DA efflux, sites now believed to be  
21 targeted by CamKII [25]. A major caveat to all of these studies, and a key step for the field in the  
22 future, is the demonstration that these sites are modified *in vivo* by physiologically relevant stimuli  
23 and are responsible for behaviorally meaningful regulation of transporters at synapses. It would be  
24 exciting to see the same phosphopeptide antibody-mass spectrometry approaches that have been  
25 used successfully to implicate specific sites of phosphorylation of DAT in synaptosomes [30, 53]  
26 applied to tissue from animals receiving *in vivo* drug or behavioral challenges. Recently, Pizzo and  
27 colleagues [63] used the expression of human DAT mutants in *Drosophila*, followed by activity  
28 monitoring, to gather evidence that the N-terminal Ser residues of DAT proposed to be CamKII  
29 targets are required for the hyperlocomotory actions of AMPH *in vivo*. Important next steps in this  
30 story are parallel demonstrations of changes in DA efflux to validate the proposed impact of DAT  
31 mutations *in vivo* and a demonstration that targeting of these sites and DA efflux (versus competition  
32 for DA uptake) is critical for the behavioral actions of AMPH on DAT [18]. Additionally, our current  
33 specification of kinases and phosphatases that support transporter phosphorylation do not  
34 distinguish between direct and indirect actions (i.e. one kinase modifying another, which ultimately  
35 phosphorylates the transporter). Methods such as that recently introduced by Rudnick's group in  
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1 demonstrating that, at least *in vitro*, PKG does not appear to phosphorylate SERT directly, need to be  
2 more extensively incorporated into our efforts [87]. We also need to move to the use of models  
3 featuring reversible modifications of specific phosphorylation sites that could allow for timed  
4 generation or elimination of phosphorylation, since constitutively engineered modification of coding  
5 sites in transgenic animals can introduce significant compensatory issues. We and others are  
6 presently exploring the use of conditional knock-in strategies [71], but approaches where the use of  
7 drugs or peptides that could reversibly mask a phosphorylation site after systemic or local injection *in*  
8 *vivo* also seem worth considering.

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14 More broadly, we lack an understanding of how intracellular signaling pathways, particularly those  
15 activated by endogenous receptors, converge to achieve proper temporal and spatial control of  
16 neurotransmitter action. We recently demonstrated that p38 MAPK-dependent  
17 hyperphosphorylation arises in an autism-associated mutation of SERT (Ala56) assessed in a mouse  
18 knock-in model, leading to constitutively elevated 5-HT clearance, and behavioral perturbations  
19 characteristic of the disorder [81]. But how this pathway is naturally triggered to regulate SERT,  
20 possibly through activation of inflammatory cytokine signaling, is only now coming into focus [5, 93].  
21 Since biogenic amine transporter coding variation is rare, the definition of signaling pathways that  
22 lead to disease-associated transporter phosphorylation, as well as other regulatory, post-  
23 translational modifications (e.g. ubiquitylation, palmitoylation), will likely be key to establishing the  
24 impact of these changes on disease processes. Growing evidence supports the existence of physical  
25 and/or functional interactions of presynaptic receptors with neurotransmitter transporters [45, 94],  
26 providing opportunities for both autoreceptor and heteroreceptor regulation of neurotransmitter  
27 uptake. The possibility that disrupted receptor-transporter interactions underlie risk for  
28 neuropsychiatric disorders seems plausible and should be given further consideration [13, 46].  
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#### 42 *Transporter trafficking to sites of functional expression*

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44 Biogenic amine transporters, like all membrane proteins, must reach plasma membrane after  
45 passage through the endoplasmic reticulum (ER) and Golgi. Neurons feature highly complex  
46 processes within which membrane microdomains are well known to support specialized functions  
47 (e.g. node of Ranvier, synaptic junctions, dendritic spines). Very little is known as to how  
48 neurotransmitter transporters reach these specialized sites of expression. Three general pathways  
49 are likely to support transporter export – the budding from the ER and intracellular movement of  
50 transporters to distal sites, the targeting or lateral entry of transporters into the microdomains of the  
51 plasma membrane, or the latter process coupled to endocytosis and relocation of internalized  
52 transporters to other sites. With respect to export from the cell soma, several studies have  
53 implicated distinct isoforms of COPII Sec24 proteins in the export of multiple neurotransmitter  
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1 transporters from sites of synthesis [22, 76]. These proteins appear to help bud and/or traffic  
2 transporter containing vesicles to sites where they can engage cytoskeletal transport machinery (e.g.  
3 microtubules) and thereby reach the cell surface. Studies to date, however, derive from  
4 heterologously expressed transporters and we may expect many nuances in this export mechanism  
5 as studies move to a native context.  
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8 One model where such mechanisms may be readily evaluated is *C. elegans*, which express orthologs  
9 of DAT, SERT and CHT. Matthies and colleagues [50] demonstrated that the CHT ortholog CHO-1 is  
10 exported from the cell soma on vesicles that rely on the kinesin motor protein UNC-104.  
11 Interestingly, CHO-1 and the vesicular acetylcholine (ACh) transporter (VACHT, UNC-17) are retained  
12 in the cell soma of cholinergic neurons in *unc-104* mutants. Although it is not known if these two  
13 proteins move on the same vesicles, evidence from mammalian studies indicates that they ultimately  
14 can reside on the same vesicle where VACHT imports ACh for release and where CHT resides to move  
15 to the plasma membrane upon vesicle fusion [24]. Interestingly, studies by McDonald and coworkers  
16 [51] indicate that the *C. elegans* DAT ortholog (DAT-1) and the vesicular monoamine transporter 2  
17 (VMAT2) ortholog (CAT-1), do not traffic through the same pathway to DA terminals as CAT-1  
18 trafficking out of the cell soma is blocked by the *unc-104* mutation, whereas DAT-1 is exported to DA  
19 synapses. The *C. elegans* model is particularly attractive for future studies of native neurotransmitter  
20 transporter export owing to the transparency of the animal and the ease of transgenic expression of  
21 wildtype and mutant, fluorescently-tagged transporters. In another facet of the McDonald et al study  
22 noted above, these authors demonstrated a role for C-terminal sequences in the export of DAT-1 to  
23 DA terminals. Possibly these sequences relate to the aforementioned SEC-24 protein interactions.  
24 Interestingly, DAT export to the synapse in the worm model is not dependent on the type II PDZ  
25 domain interaction sequences on the distal DAT C-terminus previously shown to be targeted by PICK-  
26 1 [80]. Finally, SERT, NET and DAT proteins also exist on dendritic membranes of 5-HT, NE and DA  
27 neurons, respectively, where they appear to serve to regulate neurotransmitter actions on firing-  
28 regulating autoreceptors (e.g. see [56]). The long dendrites associated with CEP DA neurons in the  
29 nematode model suggests that the worm model may also be very useful in understanding  
30 mechanisms that support the dendritic localization of neurotransmitter transporter proteins.  
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### 51 *Transporter membrane trafficking*

52 With the development of biogenic amine transporter-directed antibodies and fluorescent transporter  
53 fusions, investigators began to pursue the regulated movement of these proteins to and from the cell  
54 surface, as well as their localization to membrane microdomains. As noted above, the first evidence  
55 that biogenic amine transporter trafficking in or out of the plasma membrane could be regulated  
56 came from studies monitoring the rapid relocation of surface transporters to intracellular  
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1 compartments following PKC activation. Over the years, studies have shown that such regulation  
2 rests on constitutive patterns of internalization and externalization that can be monitored separately  
3 by biotinylation or by the use of surface-epitope targeted antibodies. Melikian's group [49] has  
4 presented evidence that distinct C-terminal sequences of DAT subserve constitutive vs PKC-  
5 modulated DAT trafficking. Galli's group [14] has shown that plasma membrane levels of DAT  
6 proteins are also controlled by PI-3 kinase (PI3K) linked pathways, though whether endocytic or  
7 exocytic (or both) facets of transporter recycling are modulated is unknown. PKG-linked pathways  
8 support SERT insertion into the plasma membrane [5], with evidence now available in both native  
9 and transfected cell populations. CHT proteins contain powerful endocytic sequences that are likely  
10 at work to drive the bulk of CHT proteins being resident on cholinergic synaptic vesicles [24]. As with  
11 the challenges for the future, and as noted for phosphorylation mechanisms, scant information is yet  
12 available that demonstrates the engagement of these trafficking pathways or its physiological  
13 relevance *in vivo*. In this regard, the Chavkin lab established that that raphe neuron p38 MAPK can  
14 modulate SERT availability and, in so doing, support the aversive actions of delta opiates [11].  
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#### 27 *Transporters in membrane microdomains*

28 Over the past decade, multiple studies have established that biogenic amine transporters reside at  
29 the cell surface within membrane microdomains that are rich in cholesterol and the ganglioside GM1  
30 and that, for many, are designated somewhat inappropriately as "lipid rafts". Localization to these  
31 domains appears to restrict transporter mobility [1, 15], likely due to anchoring to cortical actin  
32 networks via a multitude of associated proteins. Using a quantum-dot conjugated antidepressant, we  
33 recently visualized natively expressed SERT in RN46A cells *in vitro*, associated with such membrane  
34 microdomains, demonstrating at a single molecule level that lateral mobility of the transporter is  
35 significantly lower than that of transporters outside of these domains (Fig. 4) [15]. Although we were  
36 able to increase SERT protein mobility by cholesterol extraction, as was previously demonstrated for  
37 DAT proteins, it remains unclear whether biogenic amine transporters can move in and out of  
38 membrane microdomains as a feature of their regulation. One could envision departure from rafts  
39 occurring via the departure and free diffusion of transporters or via endocytosis and re-insertion into  
40 different compartments of the plasma membrane. Presumably, either of these models depends on  
41 reversible associations with transporter-associated proteins. To date, a host of such proteins have  
42 been identified [6], and many of them likely play a role in the organization of transporter containing  
43 microdomains. We can anticipate these studies to provide an ongoing dialogue between *in vitro* and  
44 *in vivo* approaches, as the assessment of protein interaction interfaces in reduced preparations will  
45 likely provide the tools to manipulate these interactions in the brain. This exercise is far from an ivory  
46 tower academic pursuit. Knowing how transporters achieve an appropriate membrane microdomain  
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1 localization will likely identify new opportunities to influence synaptic transmission to improve  
2 human health, and also to understand how alterations in other proteins can result in changes in  
3 neurotransmitter dynamics.  
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5 Two examples illustrate the point. Cremona and colleagues recently demonstrated that the  
6 interactions of DAT proteins with the membrane microdomain-associated protein flotillin 1 is  
7 required for AMPH-induced DA efflux [17], suggesting that we are likely to learn much about the  
8 actions of psychostimulants through a better understanding of these domains and the proteins they  
9 contain. Finally, we recently demonstrated that DAT proteins expressing an ADHD-associated DAT  
10 mutation (615C) fail to target properly to GM1 rich membrane microdomains and as a result display  
11 abnormal trafficking kinetics and modulation by PKC and AMPH. These examples, interestingly  
12 enough, connected by the actions of AMPH, underscore the importance of biogenic amine  
13 transporters being at the right place, at the right time.  
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### 22 *Transporter activity states*

23 Neurotransmitter enzymes and receptors display regulated shifts between conformational states  
24 that in turn functional capabilities, be it an alteration in neurotransmitter synthesis or probability of  
25 neurotransmitter binding triggering a downstream signal. For neurotransmitter transporters,  
26 conformational changes are often only considered as the rearrangements of the “open-out, open-in”  
27 states that describe, at a gross level, the transport cycle. However, the occurrence of stable changes  
28 in transporter conformation that lead to altered substrate affinity or the probability of transport are  
29 also beginning to be appreciated. We became interested in the possibility of regulatory transporter  
30 “activation” when we discovered that insulin, via a p38 MAPK-linked pathway, could rapidly enhance  
31 NET activity in SK-N-SH cells without elevating total transporter protein levels or transporter surface  
32 expression [4]. Interestingly, p38 MAPK-linked pathways can also rapidly enhance transport activity  
33 of SERT proteins in a trafficking-independent manner [92], a pathway that we now know can be  
34 induced *in vitro* by inflammatory cytokines (e.g. IL-1 $\beta$ , TNF $\alpha$ ) *in vitro* and by peripheral immune  
35 system activation *in vivo* [93]. Kinetic studies indicate that these changes in activity derive from a  
36 stable shift of transporters between low and high-affinity conformations. What parts of transporter  
37 structure reorient under these conditions and how such conformations are normally constrained and  
38 mobilized are of critical importance as we move forward. In the SERT quantum dot studies noted  
39 above [15], we demonstrated that elevated SERT activity triggered by IL-1 $\beta$  is associated with an  
40 increased lateral mobility of transporters, though these molecules still remain lodged within GM1-  
41 enriched membrane microdomains (Fig. 4). As we found that changes in both SERT activity and  
42 mobility could be induced by cytochalasin-induced disruption of the actin cytoskeleton or by  
43 membrane permeant peptides that mimic the SERT C-terminus, we proposed that constraints on  
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1 transporter lateral mobility and constraints on transporter structural conformations are different  
2 features of the same process, the tethering of SERT to the cytoskeleton by C-terminal associated  
3 proteins.  
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5 The studies note above suggest that biogenic amine transporter-associated proteins not only  
6 chaperone transporters from biosynthetic compartments to the cell surface and dictate their  
7 membrane trafficking, but also establish the permissible range of conformations that dictate  
8 substrate affinity and transport rates. Since some protein associations likely play roles in trafficking,  
9 localization and activity, methods must be implemented that can separate these processes,  
10 physically and temporally, if we are to capture the full extent of transporter regulation. Detached  
11 patch recording methods have provided single molecule regulation of conformational states of ion  
12 channels and the demonstration of electrical signatures of biogenic amine transporter-associated  
13 channel states offers a similar opportunity [33, 34]. One example of the power of this approach  
14 comes from the demonstration that the SNARE protein syntaxin 1A can alter NET function in a  
15 trafficking-independent manner via the elimination of NE-gated NET channel activity [77]. As with  
16 other aspects of transporter regulation, observations of biased alteration of transporter  
17 conformation from *in vitro* observations in reduced preparations need to be demonstrated to  
18 support the capacity for neurotransmitter uptake *in vivo*. This author may have his biases, but  
19 unabashedly admits that they derive from the many fruitful associations that sometimes constrain  
20 but more often enhance his activity.  
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### 39 **The SLC6 Transporter Family: Gene Variants and Mouse Genetic Models Relevant to** 40 **Neuropsychiatric Disorders and Their Treatment** (Dennis L. Murphy) 41

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44 By far, the greatest number of studies examining transporters in the SLC6 family related to  
45 neuropsychiatric disorders have focused on polymorphisms or rare variants in the serotonin  
46 transporter gene (SLC6A4) and its protein, SERT, the dopamine transporter gene (SLC6A3) and its  
47 protein, DAT, plus a few studies of the norepinephrine transporter gene (SLC6A2) and the GABA and  
48 glycine transporter genes. Thus, this brief review covers only major examples of genetic variants,  
49 pharmacological targets and a few associated mouse genetic models that provide useful insights into  
50 behavioral and physiological consequences of alterations in SLC6 transporters. New reviews and  
51 major papers are cited; a more complete list of references in an annotated version of this review is  
52 available upon request. Some overall perspectives and ideas for future studies are also noted .in the  
53 Conclusion.  
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1 **SLC6A4** (Chromosome 17.11-12) encodes the single serotonin transporter (SERT) with characteristic  
2 12 transmembrane-spanning segments. A promoter region length variant, 5HTTLPR and two closely  
3 associated SNPs (rs25531, rs25532), alter the expression, trafficking and function of SERT. These  
4 variants have been most clearly associated with anxiety-related traits, anxiety disorders (especially  
5 obsessive-compulsive disorder [OCD]) and perhaps depression, bipolar disorder, ADHD and  
6 Tourette's disorder (TD). An intron 2 VNTR shows evidence of functional consequences in model  
7 systems; only scattered clinical studies suggest an association with depressive disorders. Several rare  
8 SERT variants (e.g. I425V, which is most closely associated with OCD and TD) and a cluster of rare  
9 SNPs associated with autism are all gain-of-function variants affecting serotonin uptake and SERT  
10 regulation in cell culture systems (reviews: [39, 54]).

11 Selective serotonin reuptake inhibitors (SSRIs, which are inhibitors at the SERT transport site) are  
12 FDA-approved as antidepressants and anti-anxiety disorder agents. Studies of the crystal structure of  
13 LeuT a bacterial homolog of SERT, DAT and NET [60, 83, 91] indicate that antidepressants occupy the  
14 substrate site of the transporters, preventing conformational changes and thus preventing substrate  
15 transport. SRIs (including clomipramine) are the only approved class of drugs for OCD, a disorder  
16 non-responsive to other conventional anxiolytic agents that act via gabaergic and related systems  
17 (e.g. diazepam and its congeners). Some mixed evidence suggests that therapeutic responses--but  
18 more prominently side effects from SSRIs such as diarrhea--are related to the SERT promoter region  
19 polymorphisms [40].

20 SERT knockout mice (SERT  $-/-$  mice) and SERT deficient ( $+/-$  mice) have 5 to 9-fold increases in  
21 microdialysis-measured brain ECF serotonin, and a variable reduction according to brain region in  
22 serotonin content, associated with increased anxiety-related behaviors, exaggerated stress  
23 responsiveness, reduced locomotor activity and approximately fifty-plus related brain and peripheral  
24 phenotypic abnormalities. SERT knockout rats exhibit highly parallel findings to those found in SERT  
25 knockout mice. Transgenic SERT over-expressing mice show reduced anxiety and related behaviors  
26 and other reversed phenomena including serotonin receptor changes compared to SERT-deficient  
27 mice [reviews: [41, 55]].

28 **SLC6A3** (chromosome 5p15.33) encodes the single dopamine transporter (DAT). Genetic studies have  
29 indicated that common variants in DAT may be associated with ADHD, bipolar disorder as well as  
30 substance abuse, including cigarette smoking [OMIN 126455]. A rare functional DAT variant, A559V,  
31 was found in two ADHD-affected siblings and also in a patient with bipolar disorder; efflux rates of  
32 dopamine induced by amphetamine comprise the most prominent functional alteration associated  
33 with the DAT A559V mutation. Two other loss-of-function mutations, DAT P395L and L368Q, were  
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1 found associated with a Mendelian recessive disorder, infantile parkinsonian dystonia, characterized  
2 by rigidity, in two independent families [44]. The partially selective DAT inhibitor, methylphenidate, is  
3 the most widely-prescribed anti-ADHD agent, although d-amphetamine (which is an inhibitor of DAT,  
4 NET and SERT) is also used in ADHD treatment. DAT knock-out mice exhibit very prominent  
5 hyperactivity, reduced body size and weight, with 5-fold increases in striatal ECF dopamine,  
6 accompanied by markedly reduced brain tissue dopamine content. Other behavioral features of  
7 these mice that resemble both some ADHD-like as well as OCD-and autism-like phenomena include  
8 stereotypic behavior, reduced habituation to novelty and some cognitive deficits [32]. The  
9 hyperactivity of the DAT knockout mice can be suppressed by d-amphetamine (which is a locomotor  
10 stimulant in wild-type mice). Other genetic mouse models of partial DAT deficiency and of DAT over-  
11 expression have been less well-characterized but suggest behavioral and biochemical alterations in  
12 the same domains as those found in DAT knockout mice.  
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23 **SLC6A2** (chromosome 16q12.2) encodes the norepinephrine transporter, NET. No common variants  
24 in SLC6A2 associated with neuropsychiatric disorders have been identified. The most prominent rare  
25 variant occurs in exon 9 yielding NET A457P, which was reported in a family constellation consisting  
26 of five siblings and their mother, all of whom suffered from orthostatic intolerance (an abnormal  
27 increase in heart rate and plasma norepinephrine upon standing, along with clinical symptoms of  
28 faintness and dizziness). The mutation leads to an almost complete loss of NET function, with greatly  
29 reduced NET surface expression. NET knockout mice have reduced locomotor activity in a novel  
30 environment together with elevated heart rates and increased blood pressure in response to  
31 activating stimuli. Like SERT and DAT knockout mice, the NET knockouts have increased ECF  
32 transmitter concentrations (here, NE) as measured by microdialysis, accompanied by markedly  
33 reduced tissue NE [31].  
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44 **SLC6A5** encodes the glycine transporter, GLYT2. Rare variants in this gene (frame shift or other  
45 mutations) have been identified in several different pedigrees with excessive startle responses (most  
46 commonly an autosomal recessive disorder, termed hyperekplexia). GLYT2 is the presynaptic  
47 neuronal glycine transporter and GLYT1 is the glial glycine transporter. Glycine is a major inhibitory  
48 neurotransmitter in the brainstem and spinal cord, regulating strychnine-sensitive glycine receptors.  
49 Glycine also acts as a co-agonist with glutamate at NMDA receptors. GLYT1 and GLYT2 knockout mice  
50 both die shortly after birth.  
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58 **SLC6A1** encodes the GABA transporter, GAT1. Only indirect human gene and post-mortem studies  
59 have raised the question of SLC6A1's involvement in anxiety disorders, schizophrenia and seizure  
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1 disorders. GAT1 knockout mice exhibited a seizure disorder, tremor and abnormal locomotor  
2 functions, although another study reported reduced anxiety-like, depression-like and aggression-  
3 related behaviors, associated with elevated brain GABA concentrations.  
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### 6 *Conclusion*

7 Perspectives and ideas for future studies. Perhaps most striking in this review is the consistency  
8 between genetic knockout mice models (and a few transgenic, over-expressing mouse lines) with  
9 expected consequences from transporter alterations found in biochemical and behavioral  
10 evaluations. Biochemically, transporter deficiencies lead to excess ECF neurotransmitter  
11 concentrations associated with reduced brain and other tissue concentrations of the relevant  
12 transmitter. Behavioral consequences are consistent with what had previously been observed with  
13 pharmacological manipulations of tissue homeostasis and receptors for each of these transmitters.  
14 Human genetics findings to date, particularly those associated with rare coding change variants, also  
15 seem to follow anticipated phenotypes. However, the field has to be cautious of “looking under the  
16 lamppost for lost keys” phenomena, as in many cases clinical evaluations are limited in scope.  
17 Nonetheless, the general findings, assuming support continues from studies of genetic mouse, other  
18 rodent and non-human primate models, bodes well for understanding human disorders. Current  
19 studies reviewed here certainly emphasize the importance of SLC6 transporters in multiple  
20 neuropsychiatric disorders as well as their treatment. Many study opportunities remain. The field is  
21 still searching for genetic and other biomarkers that might prove more useful than clinical features  
22 alone for the future goal of personalized medical diagnoses and treatment of neuropsychiatric  
23 disorders.  
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26 Clinical heterogeneity, evident in major neuropsychiatric disorders such as OCD, bipolar disorder and  
27 others, represents in clinical features multiple kinds of problems. Likewise, genetic heterogeneity, for  
28 example evident in variants within genes (e.g. SLC6A4), gene x gene, gene x environment and  
29 epigenetic variability, compounds assessment of 'genetically complex' disorders. Taken together, this  
30 leaves us with much to unravel in the SLC6 scientific world.  
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33 While some current state-of-the-art GWAS (genome-wide association studies) plus GWAS  
34 meta-analyses have led to “hits” within likely genes relevant to neuropsychiatric disorders, other major  
35 genome-wide single “hits” have been in unlikely genes not resolvable by pathway or network  
36 analyses (e.g., [70]). While the latter two approaches are predicted to prove highly valuable, they do  
37 not help with the not uncommon result of a “hit” in a large intergenic area. While this author is an  
38 avid participant in biomarker, GWAS and the network pathway study of genes, this complexity also  
39 suggests seizing upon the opportunities presented by rare genes found in maybe only a few  
40 pedigrees or 1-2% of individuals in case-control studies of neuropsychiatric disorders. Intensive  
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1 investigations of new functional rare variants using all molecular, neurochemical, electrophysiological  
2 techniques and transgenic mouse models that can mimic the variant's consequences *in vivo* may  
3 point towards otherwise unforeseen areas for investigating related patient groups or new pathways.  
4 Indeed, studies this year have suggested new ideas for SLC6 investigators, for example, genes  
5 previously identified as "oncogenes" have now been preferentially found in autism patient samples  
6 vs. controls [78]. Likewise, a network of fifty embryonic developmental genes has been found  
7 expressed preferentially in the prefrontal cortex of adult schizophrenic patients versus control brains  
8 [38]. Additionally, a recent paper described a surprising overlap of associated genes across five  
9 neuropsychiatric disorders, way beyond traditional diagnostic boundaries [47]. Such new  
10 conundrums around every corner will continue to challenge transporter genetics and biology.  
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## Figure Legends

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4 **Fig. 1 Alternating Access Mechanisms and LeuT.** **A.** Similar conformational changes could account for  
5 symport (left) and antiport (right) using different rules. Prohibited conformational changes are  
6 indicated by a red bar. **B.** The reaction cycle of LeuT is initiated by binding of two Na<sup>+</sup> ions (upper left)  
7 followed by substrate binding (upper right). Conformational changes to the occluded state and the  
8 inward-open state follow (right). Dissociation of Na<sup>+</sup> and substrate leads to the apo-state (lower left)  
9 which can re-orient to the outward open form (left). **C-E.** Binding sites for Na1 and substrate (**C**) and  
10 Na2 in outward-open (**D**) and inward-open (**E**) structures. Na1 binding site residues and  
11 transmembrane helices are numbered.

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19 **Fig. 2. Fine-tuning of BetP-mediated betaine uptake.** Upon a hyperosmotic upshift ( $A > B$ ) which  
20 leads to an increase in the cytoplasmic K<sup>+</sup> concentration, BetP switches into the active state and  
21 actively accumulates betaine in the cell. When osmotic adaptation is reached, BetP activity ceases ( $B$   
22  $> C$ ). Upon application of a second upshift to higher external osmolality ( $C > D$ ), the cycle of activation  
23 and adaptation ( $C > D$ ,  $D > E$ ) is initiated again.

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30 **Fig. 3. Cellular uptake of essential amino acids (EAAs).** Only a small number of transporters mediate  
31 the uptake of essential amino acids. Uniporters, as shown in panel A, equilibrate the concentration of  
32 aromatic or branched chain EAAs across the plasma membrane. Panel B shows a heterodimeric  
33 antiporter (obligatory exchanger) that can exchange intracellular amino acids (e.g. non-essential  
34 amino acids (NEAAs) taken up by other transporters) against extracellular EAAs (tertiary-active  
35 transport). Panel C and D (epithelial cell) show the only two symporters known to actively import  
36 EAAs into cells using the driving force of ion gradients (secondary-active transport).

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44 **Fig. 4. Model for SERT-cytoskeletal interactions dictating cell surface transporter regulation.** In the  
45 resting state, SERT is present in two compartments, one that permits free diffusion in the membrane  
46 (left), and a second compartment that represents confinement to membrane microdomains (center)  
47 where transporters are immobilized by cytoskeleton-associated proteins (middle). When  
48 cytoskeleton-associated constraints are relaxed in response to PKG/IL-1 $\beta$ /p38 MAPK activation (or  
49 through actin destabilizers or C-SERT peptide treatments), SERT remains confined to membrane  
50 microdomains (right), though now transporters can adopt conformations that favor increased  
51 transport activity. Question mark overlying transitions into and out of membrane microdomains  
52 denotes the possibility that such movements could also play a role in SERT regulation, though they  
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are not features of the PKG and p38 MAPK-dependent SERT regulation detected in the current study.

Adapted from Chang et al, 2012 [15].

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**Table 1. Classification of SLC6 family transporters**

<b>Transporter Classification Database (TCDB)</b> ( <a href="http://www.tcdb.org">www.tcdb.org</a> ) (based on sequence homology, includes prokaryotic and eukaryotic proteins)		
<b>Superfamily</b>	Acid-Polyamine-Organocation (APC)	second largest superfamily of secondary carriers
<b>Family</b> (within APC superfamily)	Neurotransmitter Sodium Symporter family (NSS)	one out of currently 10 Families belonging to APC superfamily

<b>HGNC Gene Families/Groupings Nomenclature</b> ( <a href="http://www.genenames.org">www.genenames.org</a> ) (nomenclature of human genome project, now used also for transporters of other eukaryotic genomes)		
<b>SLC gene nomenclature system*</b>	Solute Carriers (SLC)	group of human gene families originally proposed by the Human Genome Organization (HUGO), comprising currently 52 SLC families
<b>SLC family</b> (within SLC group of gene families)	Solute Carrier family 6 (SLC6)	family including transporters with >20% sequence homology. the members of SLC6 belong to the NSS family of the TCDB classification
<b>SLC6 subfamilies</b> [8, 10, 43, 64]	GABA transporters (= GABA, neurotransmitter, osmolyte, creatine transporters)	subfamily includes: SLC6A1, GAT1 SLC6A6, TauT SLC6A8, CT1 SLC6A11, GAT3 SLC6A12, BGT1 SLC6A13, GAT2
	Monoamine transporters (= Monoamine neurotransmitter transporters)	subfamily includes: SLC6A2, NET SLC6A3, DAT SLC6A4, SERT
	Amino acid transporters (I) (= Neurotransmitter amino acid transporters)	subfamily includes: SLC6A5, GlyT2 SLC6A7, PROT SLC6A9, GlytT1 SLC6A14, ATB <sup>0,+</sup>
	Amino acid transporters (II) (= Nutrient amino acid transporters)	subfamily includes: SLC6A15, B <sup>0</sup> AT2 SLC6A16, NTT5 SLC6A17, NTT4 SLC6A18, B <sup>0</sup> AT3 SLC6A19, B <sup>0</sup> AT1 SLC6A20, SIT1

\* The SLC gene nomenclature names are often used also for the corresponding transporters

Figure 1

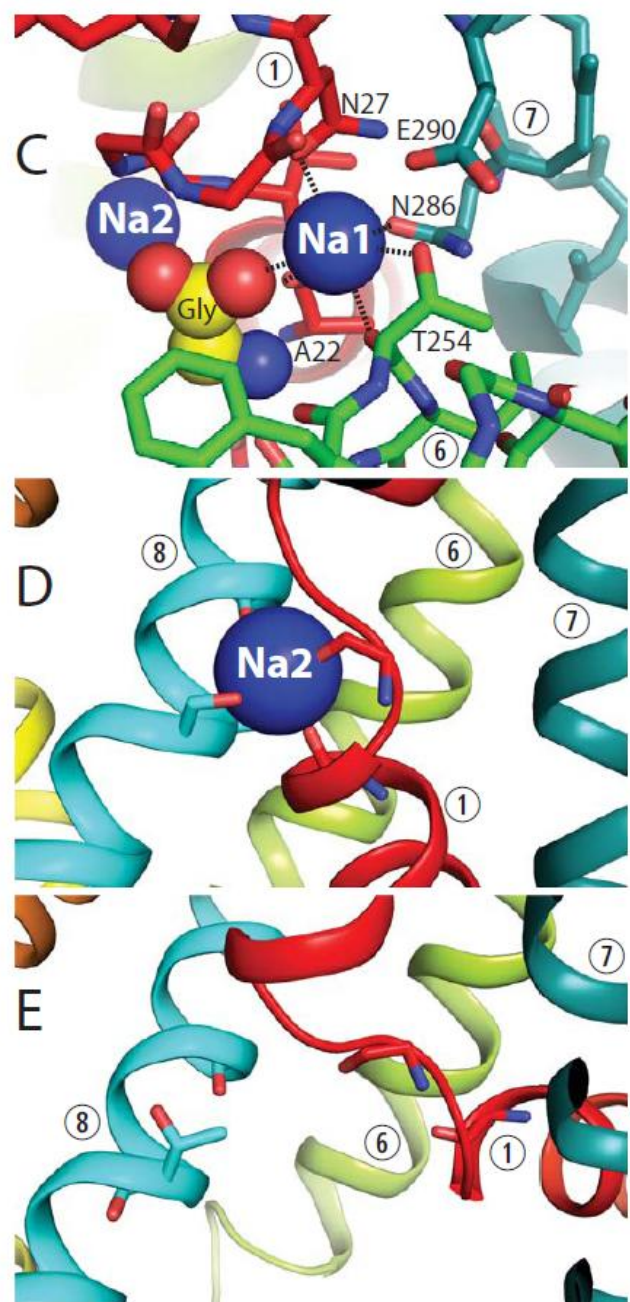
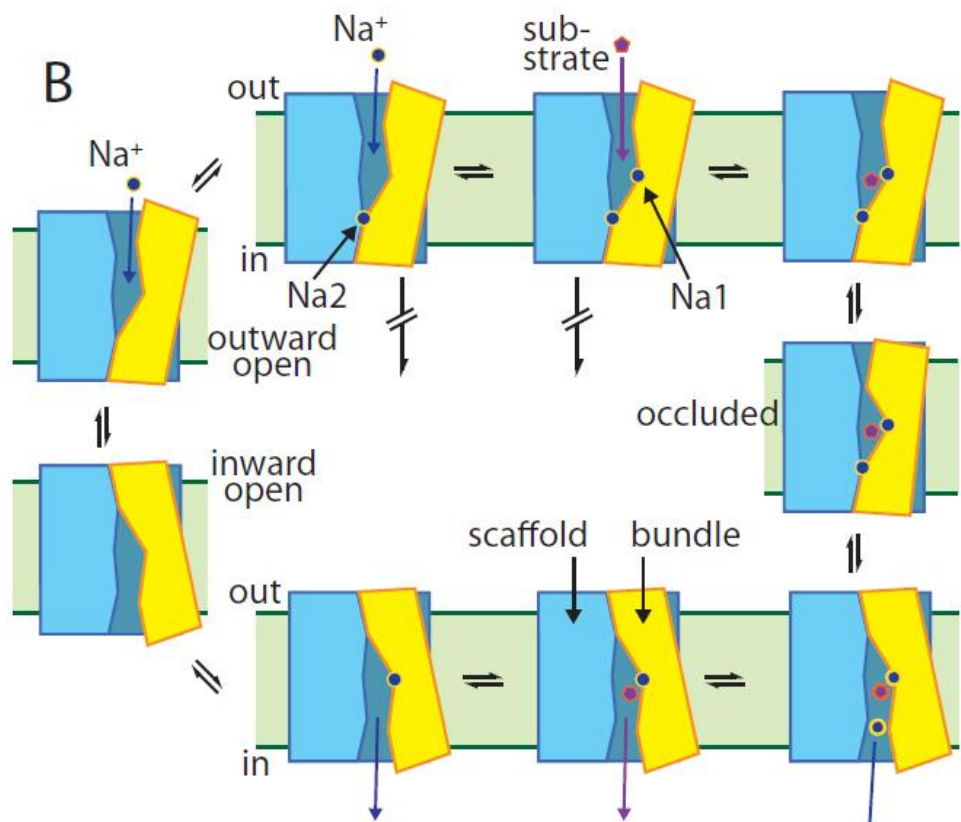
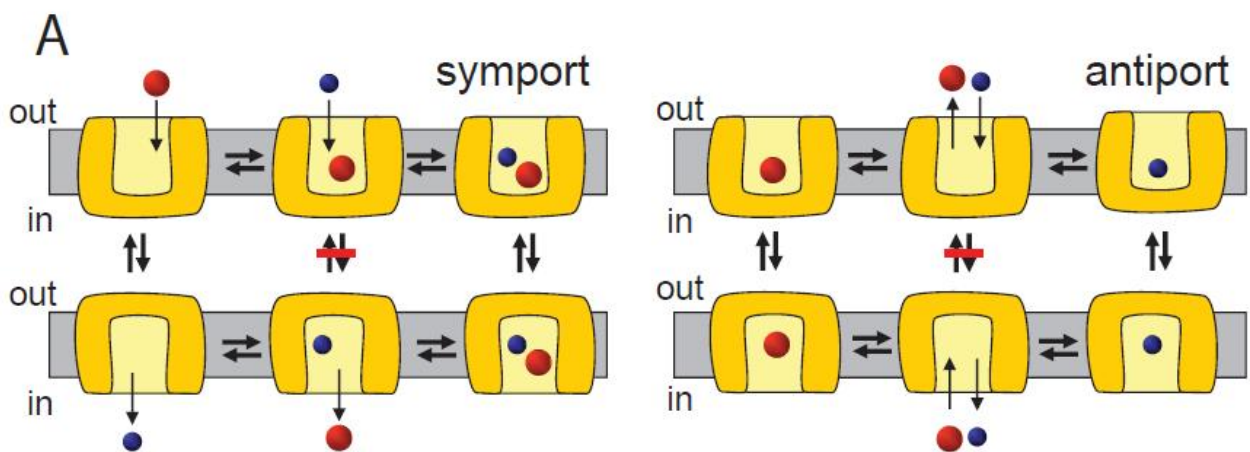


Fig. 2

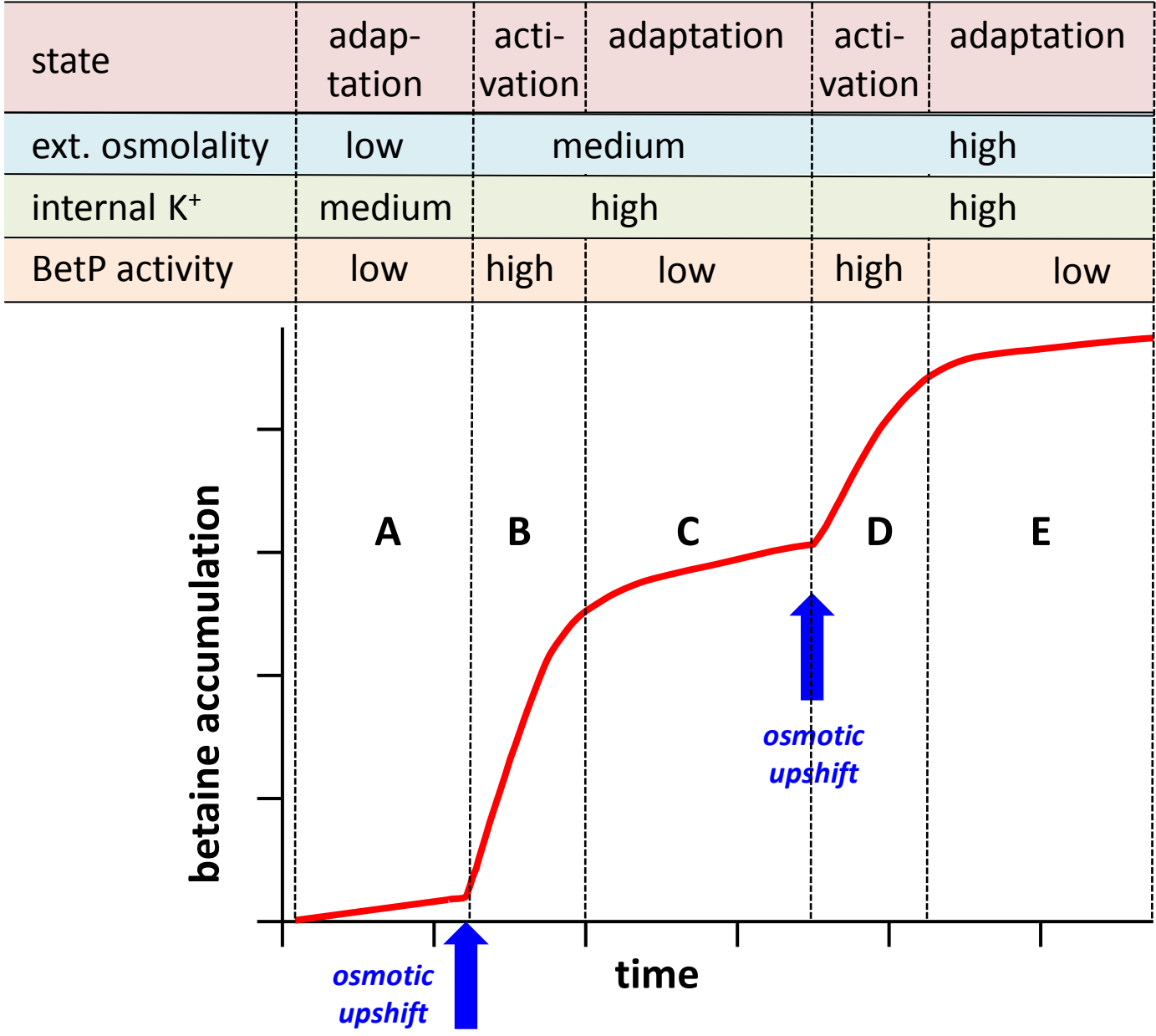
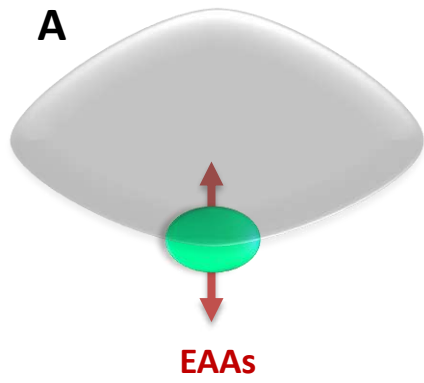
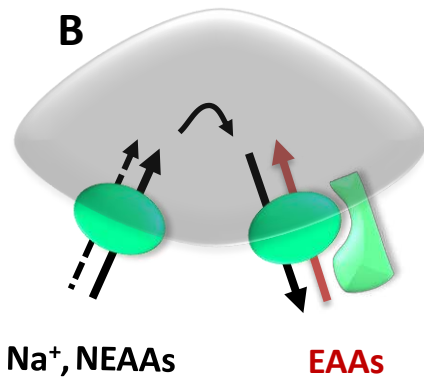


Fig. 3

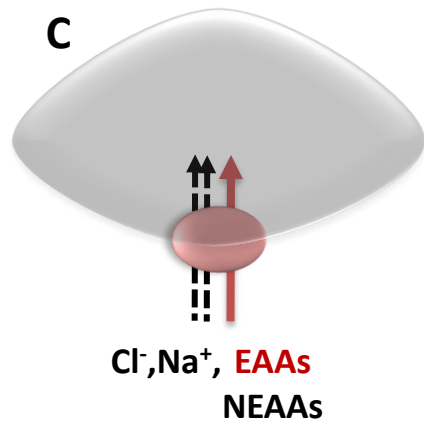


**TAT1**  
(**SLC16A10**)  
or **LAT3/4**  
(**SLC43A1/2**)

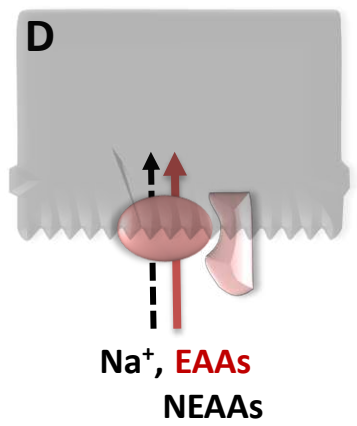


**SNAT1/2/4**  
(**SLC38A1/2/4**)

**LAT1/2**  
(**SLC7A5/8**)  
+  
**4F2hc** (**SLC3A2**)



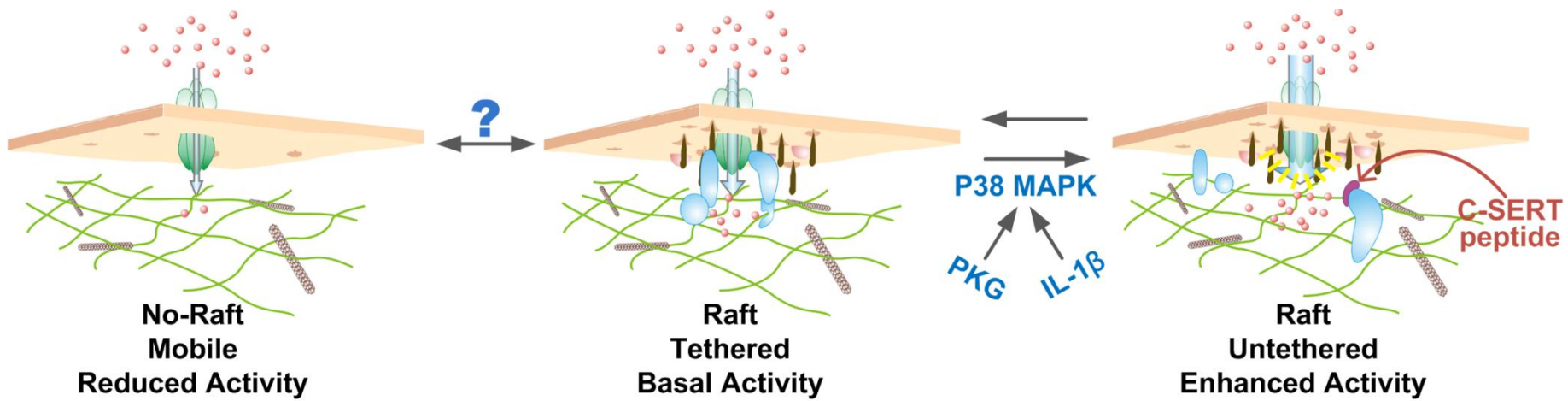
**ATB<sup>0+</sup>**  
(**SLC6A14**)









**B<sup>0</sup>AT1**  
(**SLC6A19**)  
+  
+ACE2 or TMEM27



Fig. 4



					
SERT	cytoskeleton-associated constraints	microdomain-associated protein compartmentalization	microtubule	microfilament	5-HT