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Comparative validation of UAV based sensors for the use in vegetation monitoring

von Bueren, Stefanie; Burkart, Andreas; Hueni, Andreas; Rascher, Uwe; Tuohy, Mike; Yule, Ian

Abstract: Unmanned Aerial Vehicles (UAVs) equipped with lightweight spectral sensors facilitate non-destructive, near real time vegetation analysis. In order to guarantee quality scientific analysis, data acquisition protocols and processing methodologies need to be developed and new sensors must be trialed against state of the art instruments. In the following study, four different types of optical UAV based sensors (RGB camera, near infrared camera, six band multispectral camera, and a high resolution spectrometer) were compared and validated in order to evaluate their applicability for vegetation monitoring with a focus on precision agricultural applications. Data was collected in New Zealand over ryegrass pastures of various conditions. The UAV sensor data was validated with ground spectral measurements. It was found that large scale imaging of pasture variability can be achieved by either using a true color or a modified near infrared camera. A six band multispectral camera was used as an imaging spectrometer capable of identifying in field variations of vegetation status that correlate with ground spectral measurements. The high resolution spectrometer was validated and found to deliver spectral data that can match the quality of ground spectral measurements.

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Comparative validation of UAV based sensors for the use in vegetation monitoring

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Abstract

Unmanned Aerial Vehicles (UAVs) equipped with lightweight spectral sensors facilitate non-destructive, near real time vegetation analysis. In order to guarantee quality scientific analysis, data acquisition protocols and processing methodologies need to be developed and new sensors must be trialed against state of the art instruments. In the following study, four different types of optical UAV based sensors (RGB camera, near infrared camera, six band multispectral camera, and a high resolution spectrometer) were compared and validated in order to evaluate their applicability for vegetation monitoring with a focus on precision agricultural applications. Data was collected in New Zealand over ryegrass pastures of various conditions. The UAV sensor data was validated with ground spectral measurements. It was found that large scale imaging of pasture variability can be achieved by either using a true color or a modified near infrared camera. A six band multispectral camera was used as an imaging spectrometer capable of identifying in field variations of vegetation status that correlate with ground spectral measurements. The high resolution spectrometer was validated and found to deliver spectral data that can match the quality of ground spectral measurements.

1 Introduction

In the last decade the use of unmanned aerial vehicles (UAVs) as remote sensing platforms has become increasingly popular for a wide range of scientific disciplines and applications. With the development of robust, autonomous and lightweight sensors, UAVs are rapidly becoming stand-alone remote sensing systems that deliver information of high spatial and temporal resolution in a non-invasive manner. This application of UAV systems is particularly promising for precision agriculture where spatial information needs to be available at high temporal frequency and spatial resolution in order to identify in-field variability (Zhang et al., 2002; Lelong et al., 2008; Stafford, 2000; Seelan et al., 2003). Precision agriculture aims at identifying crop and soil properties

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in near real-time (Lebourgeois et al., 2012; Primicerio et al., 2012) and at delivering results to farmers and decision makers with minimum delay to enable decision making based on current crop and soil status. The use of input resources such as fertilizer, herbicides or water (Van Alphen and Stoorvogel, 2000; Carrara et al., 2004; Chávez et al., 2010) are matched to the current demand by the crop leading to an economical use of resources. Recent studies demonstrate the feasibility of using UAV based sensors to detect water stress or quantify biomass content (Berni et al., 2009; Berni et al., 2008; Zarco-Tejada et al., 2011). Zhang and Kovacs (2012) provide a comprehensive review of unmanned aerial systems applied in precision agriculture.

In recent years, a number of sensors light and small enough to be carried by UAVs have been developed. New sensors need to be trialed and validated against state of the art reference instruments. The extraction of quantitative information relies on thorough calibration procedures and instrument characterization. The present study uses cameras and spectrometers mounted on UAVs to acquire spectral information over dairy pastures to evaluate each instrument in terms of radiometric quality and accuracy of spectral information obtainable, as compared to a ground reference instrument. Handling and limitation of the UAVs, flight planning, field procedures as well as the capabilities of the different sensors are discussed. Results are evaluated with a focus on inter sensor comparability.

2 Instruments and methods

2.1 Experimental site

The experimental campaign was conducted in February 2013 on a dairy farm in Palmerston North, New Zealand, (No 1 Dairy, -40.376, 175.606). No 1 dairy is a fully operational dairy farm with an effective area of 119.7 ha. UAV flights were performed over four different paddocks with distinct characteristics from bare soil, to dry and irri-

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Flash memory cards can store up to 800 images (10 bit RAW format, full resolution). With an open angle of $38.3^\circ \times 31.0^\circ$ the camera has a relatively narrow field of view as opposed to the Canon and Sony cameras. The camera was run on a 2 s shutter release interval with images saved in a 10bit RAW format. Positioning of the camera was achieved by hovering the UAV over the vegetation target for at least 30 s per waypoint.

STS spectrometer: the spectrometer was custom designed for UAV based remote sensing at the Research Centre Jülich (Burkart et al., 2013). Its design is based on the STS VIS spectrometer (Ocean Optics, Dunedin, FL, USA). The sensor's light weight and high spectral accuracy make it ideal for the use on an UAV. The spectrometer runs on an independent battery power source to the UAV. Spectral data acquisition is triggered by software on a ground based laptop. An identical spectrometer on the ground simultaneously acquires spectra of incoming radiance every time the airborne sensor is triggered. Acquired spectra are saved on a micro SD card. The UAV spectrometer was positioned over the target using a live video link from the on board RGB camera that is positioned next to the spectrometer and points downwards in a nadir direction to simulate the spectrometer's field of view.

Sony RGB Camera: a SONY Nex5n (Sony Corporation, Minato, Japan) modified by Asctec was attached to the Falcon-8 using a specially designed camera mount. The camera has a live video feed to the UAV operator and remote triggering for image acquisition over the paddock is available. Spectral sensitivity is given by the common Bayer-Matrix (Pullanagari et al., 2012) and hot-mirror used in consumer digital cameras.

Canon Power Shot infrared: the Canon PowerShot SD780 IS is a consumer digital camera that has been professionally (LDP LLC, Carlstadt, US) converted to acquire near-infrared imagery. The near infrared filter has been replaced with a red light blocking filter. Customized CHDK firmware allows running the camera in a continuous capture mode at specific time intervals (2 s, user defined). The main difference to the MCA6 is the inability to adjust filter settings and the camera's band widths. According to manufacturer information each band has an approximate width of a hundred nanometers.

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tra collected per waypoint. Camera settings, such as exposure time and white balance settings were optimized and fixed to the illumination conditions. Flights were conducted consecutively to minimize variability due to changing illumination and vegetation status. Figure 3 depicts raw data from the imaging sensors before any processing has been applied. Before the flight of the hyperspectral UAV sensor, ASD ground reference measurements were taken at each waypoint.

2.5 Data processing

Data from each sensor underwent calibration and correction procedures. Footprints were matched between sensors by defining a 0.3 by 0.3 m area below the waypoint marker as the region of interest.

Sony RGB Camera: the red, green and blue bands were calibrated to a reflectance factor with the empirical line method relating the ASD reflectance over four colored reference tarpaulins (Fig. 3) to real reflectance (Aber et al., 2006).

Power Shot 780is Infrared: the camera was corrected using the same method as the RGB camera, but with the center wavelengths adapted to the IR sensitive pixels.

UAV based spectrometer: as described in (Burkart et al. 2013) a temperature based dark current correction (Kuusk, 2011) and an inter-calibration of the air and ground based spectrometer were applied before derivation of the reflectance factor.

Mini MCA6: a proprietary software package (PixelWrench2 by Tetracam) that was delivered with the Tetracam (MCA6) was used to transfer images from the Compact Flash memory cards to the computer. Each RAW band was processed to a TIF (Tagged Image File Format) image in order to identify all images that show the target area. As a result, between 6 and 15 images per target were found to be suitable for further image processing (total of 109 images) and two images showing the tarpaulin areas and bare soil were selected for facilitating reflectance factor calibration. From there, RAW image processing was done in Matlab (The MathWorks Inc., 2011). Both the calibration images as well as the vegetation target images were noise corrected and vignetting effects were removed for each of the six cameras (Kelcey et al., 2012; Olsen

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et al., 2010; Yu, 2004). A sensor correction factor was applied to each filter based on filter sensitivity factory information (Kelcey and Lucieer, 2012).

The two images that show the tarpaulins and the bare soil were selected as calibration images and processed separately. The white and the red tarpaulins have been excluded from analysis due to pixel saturation and high specular reflection. For each of the remaining four calibration surfaces (black, grey, black foam and bare soil) a subset image area was defined from which the pixel values for the empirical line method were derived.

For each calibration surface, ten ASD reference spectra were convolved to the spectral response of the mini MCA6 (see *Spectral Convolution*). The empirical line method (Smith and Milton, 1999; Baugh and Groeneveld, 2008) was applied to establish band specific calibration coefficients. Using those coefficients the empirical line method was applied to each vegetation target image on a pixel by pixel basis thus converting digital numbers of the image pixels to a surface reflectance factor.

In order to extract the footprint area over which ground ASD and UAV spectrometer data had been acquired, each image had the relevant image area identified and extracted by identifying the markers in the image. An average reflection factor was calculated for each footprint resulting in between 6 to 15 values per sample location for the MCA6 images. Standard deviations, mean and median were calculated for each waypoint.

ASD handheld 2 ground reference sensor: ASD handheld spectral binary files were downloaded and converted to reflectance using the HH2Sync software package (Version 1.30, ASD Inc.). Spectral data were then imported into the spectral database SPECCHIO (Agati et al., 2013).

Spectral Convolutions: in order to synthesize STS spectrometer data from ground based ASD data, a discrete spectral convolution was applied (Zanoni et al., 2002). Each STS band was convolved by applying Eq. (1), using a Gaussian function to represent the spectral response function of each STS band. These spectral response functions (SRFs) were parameterized by the calibrated center wavelengths of the STS

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instrument and by a nominal FWHM (Full Width at Half Maximum) of 3 nm for all spectral bands. The discrete convolution range (nm) of each band was based on ± 3 sigma of the Gaussian function and applied at the wavelength positions where an ASD band occurred, i.e. at every nanometer. It must be noted that the results of this convolution cannot truly emulate the actual system response of the STS as the ASD sampled input spectra are already a discrete representation of the continuous electromagnetic spectrum and are hence already inherently smoothed by the measurement process of the ASD.

In a similar manner, MCA6 bands were simulated, but replacing the Gaussian assumption of the SRFs with the spectral transmission values (Table 3) digitized from analogue figures supplied by the filter manufacturer (Andover Corporation, Salem, US).

$$R_k = \frac{\sum_{j=n}^m c_j R_j}{\sum_{j=n}^m c_j} \quad (1)$$

where

R_k = Reflectance factor of Ocean Optics band k

R_j = Reflectance factor of ASD band j

c_j = weighting coefficient based on the Ocean Optics spectral responsivity at wavelength of ASD band j

$n : m$ = convolution range of Ocean Optics band k

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3 Results

Spectral sensors: calibrated reflectance factors of the UAV spectrometer and the MCA6 were compared to calculated ASD reflectance values using linear regression analysis. The UAV spectrometer and the ASD Handheld 2 were compared over the whole STS spectrum while the MCA6 was compared to the ASD in its six discrete bands.

Figure 4 shows spectral information derived from the two UAV sensors (STS spectrometer and MCA6) in direct comparison with the convolved ASD derived reflectance spectra for two distinctively different waypoints in terms of ground biomass cover and greenness of vegetation. Waypoint 2 is a recently grazed pasture with a high percentage of dead matter and senescent leaves. Soil background reflectance was high and the paddock was dry with no irrigation scheme operating. Pasture on waypoint 8 had not been grazed recently and therefore vegetation cover was dense with a mix of pastures and clover. The paddock undergoes daily irrigation and no soil background signal was detectable. The data indicates that the MCA6 estimates higher reflectance factors than the UAV spectrometer and the ASD for the blue, green as well as the lowest red band. In the far red and the NIR band, values are continuously lower than those derived from the ASD but still higher than reflectance measured by the UAV spectrometer. While the ASD detects a steep increase in reflectance in the red edge, both UAV sensors detect a lower signal in the same region of the spectrum.

The mean MCA6 derived spectra shows an increase in reflectance in the green peak region of the vegetation spectrum that is approximately 0.05 % higher than in the same region of the UAV spectrometer. The slope between the green and the red bands is positive for both sensors demonstrating the dried, stressed state of the vegetation at waypoint 2. While MCA6 bands show low correlations with the UAV spectrometer and the ASD for the 551 nm and the 661 nm bands, its values are in line with the other sensors in the red edge region of the spectra.

The MCA6 correlates significantly with ASD derived reflectance ($R^2 = 0.92$, Fig. 5) when compared over all eight waypoints and over all six bands ($n = 48$). Shortcomings

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the MCA6 is suitable for vegetation analysis while conservative application of bands with low spectral sensitivity must be recommended. Further investigation into sensor performance over targets with complex spectral behaviour must be conducted in order to evaluate spectral performance of those bands. The number of waypoints visited was not enough to fully validate the performance of the four lower MCA6 bands as can be seen in Fig. 6. Due to the statistical distribution of the data points, a definite statement on the performance of those bands is not possible. The empirical line method used for reflectance calibration introduces further errors because only one calibration image was acquired over the entire measurement procedure. Reflectance factor reliability can be improved by more frequent acquisition of calibration images.

The UAV spectrometer delivered spectra with strong correlations to the ASD measurements. The calculation of narrow band indices or spectral fitting algorithms is thus possible. However, depending on the status of the vegetation target the ASD derived reflectance factors can be up to 1.5 times (Fig. 4) higher than the UAV spectrometer measurements. This result, particularly striking in the NIR, is somewhat unexpected, as Anderson et al. (2013) compared ground-based ASD and Ocean Optics instruments in their study and reported fairly good agreements. The main source of discrepancies between the ASD and STS measurements can be attributed to inconsistencies in footprint matching due to using a live feed from a camera that can only approximate the spectrometer's field of view. Further intercomparison of the ASD and the particular Ocean Optics device employed on the UAV will be required in the future.

RGB and NIR cameras: an empirical-line calibration was used for the reflectance factor estimation of both consumer RGB and infrared-modified cameras. Although correlations between the digital cameras and the high resolution spectrometers exist they must be treated with caution. This is due to the unknown radiometric response of the cameras and the inherent differences between simple digital cameras and numerical sensors. Nevertheless, the results demonstrate the opportunities these low budget sensors offer for simple assessment of vegetation status over large areas using UAVs. If illumination conditions enable an empirical line calibration, reasonable three band re-

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This makes thorough flight planning, marking of waypoints and efficient collection of ground reference data essential. Due to the non-availability of power outlets and the time it takes to fully recharge a LiPo battery, the battery life limits the time frame in which airborne data can be collected. At the time of the study, higher powered LiPo batteries were still too heavy thus neutralising a gain in flight time due to the high weight of the more powerful battery. Those restrictions can slow down data acquisition considerably and the number of ground sampling locations is limited. In the future, improvements in platform stability and electronics as well as higher powered batteries will enable larger ground coverage by UAVs.

5 Conclusions

Within this study it was shown that UAVs are rapidly evolving into an easy to use sensor platform that can be deployed to acquire vegetation data over large areas with minimal effort. Four optical sensors were flown over ryegrass pastures and validated; including the first available UAV based micro spectrometer. The quality of the reflectance measurements was dependent on precise calibration of all sensors. Provided robust calibration and data acquisition processes are applied, ground reflectance data calculated from the four UAV based sensors correlated significantly. The novel high resolution STS spectrometer can now be regarded as a fully characterised stand-alone UAV spectrometer system, capable of reaching data quality in the range of an ASD. It was also demonstrated that the six band MCA6 camera can be used as an imaging spectrometer that can deliver multispectral imagery of high radiometric quality in the red edge region of the electromagnetic spectrum. Its performance and reliability over sample locations with low vegetation cover and strong background interference needs to be further evaluated. Individual filters must also be assessed, especially those covering the low regions of the electromagnetic spectrum. Any negative effects that depreciate data quality, such as potentially unsuitable calibration targets (bare soil) need to be identified and further examined in order to guarantee highest quality data. The modi-

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fied Canon infrared and the RGB Sony camera have proven to be easy to use sensors that deliver instant high resolution imagery covering a large spatial area. No spectral calibration has been performed on those sensors, but factory spectral information was accurate enough to allow converting digital numbers to a ground reflectance factor.
5 Higher powered, lightweight batteries can allow for more frequent calibration image acquisition and the coverage of natural calibration targets, thus improving the radiometric calibration.

The field protocols developed allow for straightforward field procedures and timely coordination of multiple UAV based sensors as well as ground reference instruments.
10 The more autonomously the UAV can fly, the more focus can be laid on data acquisition. Currently, piloting the UAV in the field where obstacles are present, requires the full concentration of the pilot and at least one support person to observe the flying area.

Due to technical restrictions, the total area that can be covered by rotary wing UAVs is still relatively small resulting in a point sampling strategy. Differences in UAV specifications and capabilities lead to the UAVs having a specific range of applications that they can reliably fulfil.
15

As such, the different UAV platforms and sensors each have their strengths and limitations that have to be managed by matching platform specifications to data acquisition requirements. In the future, the collection of large scale vegetation data will be feasible
20 using UAV based imaging and numerical spectrometers.

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Table 1. UAV platforms.

Name	QuadKopter	Falcon-8
Manufacturer	MikroKopter	Ascending Technologies
Weight [g]	1900	1800
Max. Payload [g]	1000	500
Power source	LiPo, 4200 mAh, 14.8 V	Lipo, 6400 mAh, 11.1 V
Endurance [min]	12	15 min
GPS navigation	Ubloxx LEA 6s GPS chip	Yes
Features	Open Source Gyro-stabilized camera mount	Stabilized camera mount, live video link, motor redundancy
Sensors	MCA6, Canon IR	Spectrometer, RGB, Canon IR

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Table 2. Sensor properties.

Name	Sony Nex5n RGB	Canon Powershot IR	MCA6	STS
Company Type	Sony – modified RGB camera integrated in the Falcon-8 UAV	Canon – modified VIS + Infrared camera	Tetracam Multispectral Imager with 6 bands of 10 nm width	Ocean Optics – modified Hyperspectrometer, with additional electronics for remote control
Field of View	73.7° × 53.1°	Zoom lens	38.3° × 31.0°	12°
Spectral bands	3	3	6	256
Spectral range	Blue, Green, Red	Blue, Green, IR	450–1000 nm	338–824 nm
Spatial Resolution	4912 × 3264	4000 × 3000	1280 × 1024	single point
Dynamic Range	8 bit	8 bit	10 bit	14 bit
Weight [g]	500	100	790	216
Handling	Wireless trigger, live view	Interval mode	Interval mode	Wireless trigger

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Table 3. MCA6 filter specifications.

	Slave 1	Master	Slave 2	Slave 3	Slave 4	Slave 5
Centre wavelength FWHM (nm)	473	551	661	693	722	831
Peak transmission (%)	64.37	72.54	61.4	66.89	63.63	65.72

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Table 4. Correlation matrix for the optical sensors.

R^2	RGB	IR	MCA6	OO UAV
RGB	1			
IR	0.9136	1		
MCA6	0.3773	0.9452	1	
OO UAV	0.6807	0.8906	0.8259	1
ASD	0.6736	0.6474	0.9242	0.9777

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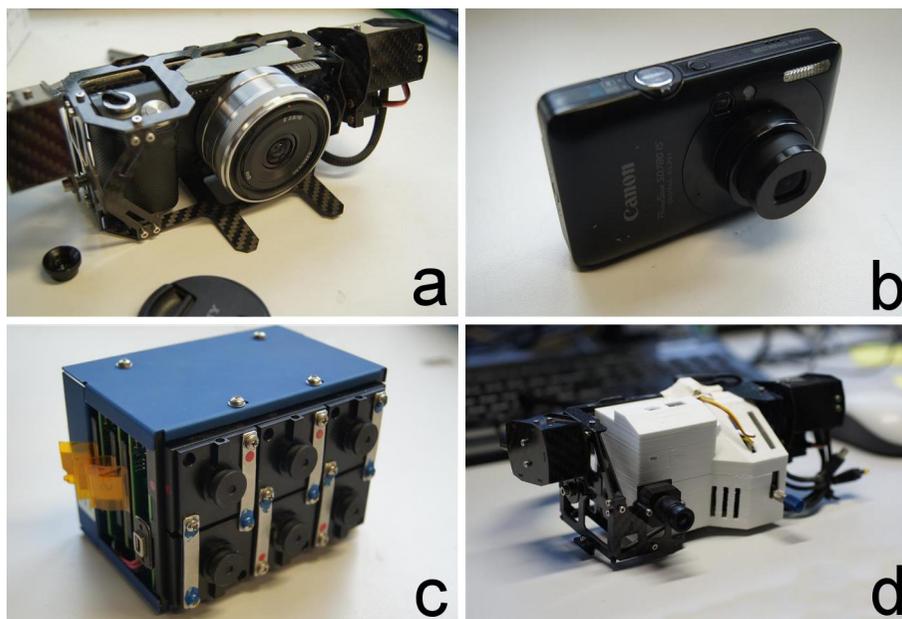


Fig. 1. UAV based sensors: **(a)** Sony Nex5n RGB camera **(b)** Canon PowerShot IR camera **(c)** MCA6 multispectral camera **(d)** Spectrometer.

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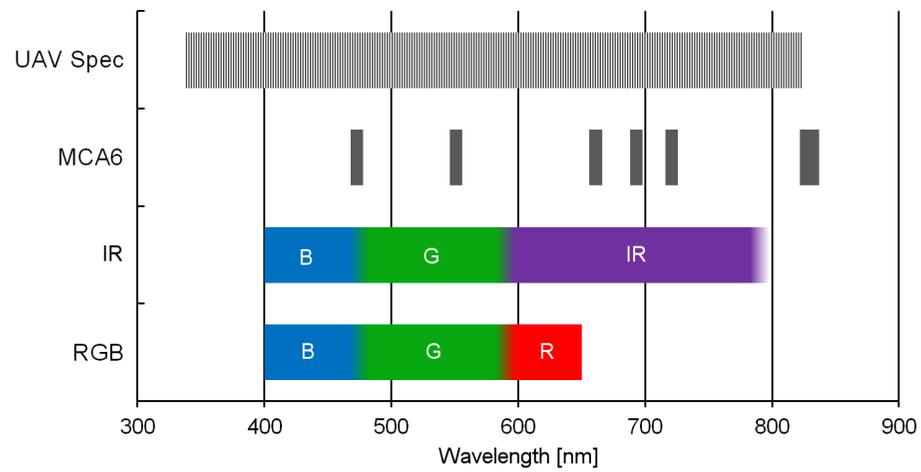


Fig. 2. Spectral sensitivity of the four sensors. Spectral bands are indicated by different colors.

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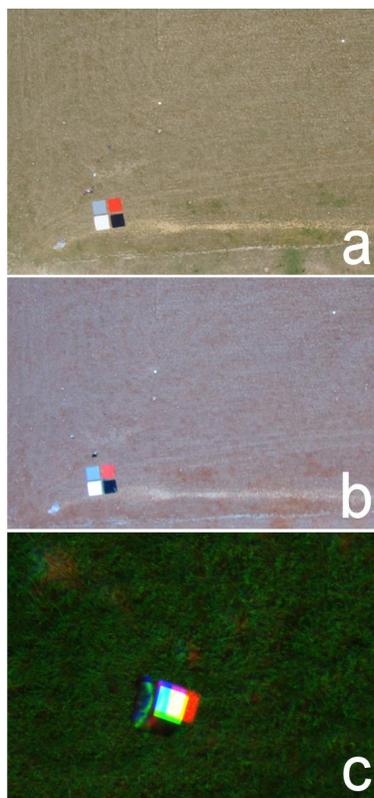


Fig. 3. Raw data from the imaging sensors **(a)** RGB camera at 100 m altitude, **(b)** IR camera at 100 m altitude, **(c)** MCA6 at 25 m altitude. The pictures show the region of interest cropped from a larger image. White points represent the tarpaulin waypoint markers. As is evident in the MCA6 raw image, alignment between the six cameras is necessary and must be matched to the flying height.

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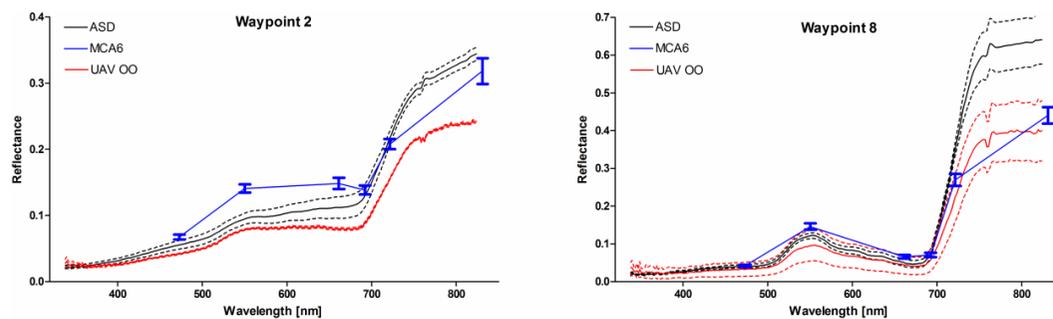


Fig. 4. Reflectance of the spectral sensors ASD (black), MCA6 (blue) and UAV OO (red) as measured over the exemplary waypoints 2 and 8. SD is shown for the hyperspectral sensors in dotted lines and for the 6 bands of the MCA6 in error bars.

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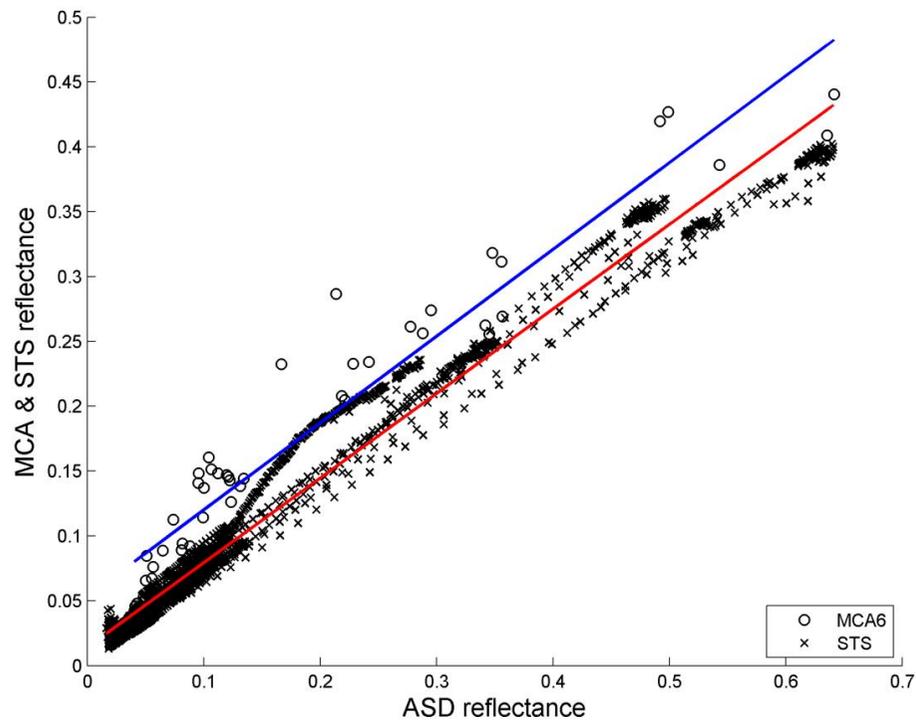


Fig. 5. Reflectance comparison of UAV based sensors to convolved ASD derived reflectance showing data over all eight sample locations and spectra (MCA6 $n = 48$, STS $n = 120$). MCA6 vs. ASD (blue): $R^2 = 0.92$, slope of linear regression: 0.6691, offset: 0.0533. STS vs. ASD (red): $R^2 = 0.98$, slope of linear regression: 0.6522, offset: 0.0142.

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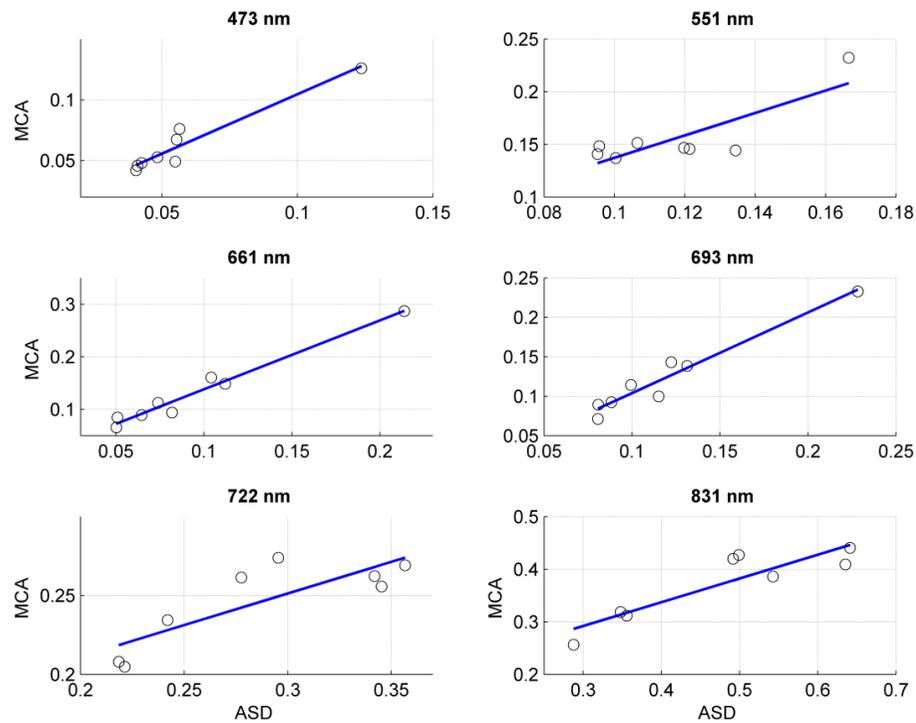


Fig. 6. Comparison of reflectance values between MCA6 and convolved ASD reflectance for each MCA6 band. 473 nm: $R^2 = 0.93$, regression slope (RS): 0.9783. 551 nm: $R^2 = 0.68$, RS: 1.0654. 661 nm: $R^2 = 0.97$, RS: 1.311, 693 nm: $R^2 = 0.95$, RS: 1.0225, 722: $R^2 = 0.7$, RS: 0.4009, 831: $R^2 = 0.8$, RS: 0.4516.

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